

**BROWNSVILLE NAVIGATION DISTRICT**

**BID DOCUMENTS  
AND  
SPECIFICATIONS FOR**

# **SOUTH YARD TRACK EXPANSION OSTOS ROAD PAVEMENT**



**JANUARY 24, 2022**

**PORT OF**   
**BROWNSVILLE**  
*the port that works*

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# Advertisement for Bids

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

### Notice to Bidders

Notice is hereby given that bids will be received by the Brownsville Navigation District (“BND”) of Cameron County, Texas for road paving, drainage and utility improvements on the “**SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**” project at the Port of Brownsville, Cameron County, Texas.

Bids must be delivered in a sealed envelope labeled with the project name to BND at 1000 Foust Road, Brownsville, Texas 78526 no later than **11:30 A.M. C.S.T. on Tuesday, February 8, 2022**, addressed to Mr. Zeus Yañez, BND Finance Director. Bids will be calculated on a Unit Price basis and must comply with the requirements set out in the **Bid Document**, which may be obtained from Mr. Ariel Chávez II, PE/RPLS, Director of Engineering Services at [achavez@portofbrownsville.com](mailto:achavez@portofbrownsville.com), at (956) 831-4592, or at [www.portofbrownsville.com](http://www.portofbrownsville.com). Bid security in the amount of 5% of the highest bid amount is required as specified in the **Bid Document**. A **Mandatory Pre-Bid Virtual Conference** will be held at **10:30 A.M. C.S.T. on Tuesday, February 1st, 2022**. The link will be made available to interested bidders.

The BND Board of Commissioners **HEREBY RESERVES THE RIGHT** to reject any and all bids, and to select the bid deemed most advantageous to the BND.

**01/24/2022, 01/31/2022**

# Instructions to Bidders

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

### 1. RECEIPT AND OPENING OF BIDS:

The Brownsville Navigation District, Texas, (hereinafter called OWNER), invites bids on the form attached hereto, all blanks of which must be appropriately filled in, in ink.

The OWNER may consider informal and non-responsive, any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all bids. Any bid may be withdrawn prior to the above scheduled time for the opening of bids or authorized postponement thereof. Any bid received after the time and date specified shall not be considered. No BIDDER may withdraw a bid within at least ninety (90) days after the actual date of the opening thereof.

### 2. INSPECTION OF SITE:

Each BIDDER shall visit the site of the proposed work and fully acquaint himself with the existing conditions there relating to construction and labor, and shall fully inform himself as to the facilities involved, the difficulties and restrictions attending the performance of the Contract. The BIDDER should thoroughly examine and familiarize himself with the Drawings, Technical Specifications, and all other Contract Documents. The Contractor, by the execution of the Contract, shall in no way be relieved of any obligation under it due to his failure to receive or examine any form or legal instrument, or to visit the site and acquaint himself with the conditions there existing and the OWNER will be justified in rejecting any claim for extra time, or compensation, or both, based on facts regarding which Contractor should have been on notice as a result thereof.

### 3. PREPARATION OF BID AND USE BID FORMS:

These contract documents include a complete set of bidding documents. The BIDDER shall copy all documents listed in the table of contents under the heading BIDDING DOCUMENTS and shall submit his bid on these forms. A bid shall be comprised of the BIDDING DOCUMENTS completed by the BIDDER plus supplemental information required by the specifications and documents or deemed necessary by the BIDDER to fully describe his offering.

If any of the information submitted as part of the bid is considered to be proprietary by the BIDDER, he shall identify such in his bid.

- a) Preparation. Each bid shall be carefully prepared using the proposal and proposal data forms included as a part of the bidding documents. Entries on the proposal and proposal data forms shall be typed, using dark black ribbon, or legibly written in black ink. All prices shall be stated in words and figures except where the forms provide for figures only. In case of discrepancy, the amount shown in words will govern.

The BIDDER shall acknowledge, in the space provided in the proposal form, receipt of each addendum issued for the specifications and documents during the bidding period.

The BIDDER shall assemble all drawings, catalog data, and other supplementary information necessary to thoroughly describe materials and equipment covered by the proposal, and shall attach such supplemental information to the copies of the specifications and documents submitted.

- b) Signatures. Each BIDDER shall sign the proposal with his usual signature and shall give his full business address. The BIDDER's name stated on the proposal shall be the exact legal name of the firm. The names of all persons signing should also be typed or printed below the signature.

Proposals by partnerships shall be signed with the partnership name followed by the signature and designation of one of the partners or other authorized representative. A complete list of the partners shall be included with the proposal.

Proposals by a corporation shall be signed in the official corporate name of the corporation, followed by the signature and designation of the president, secretary, or other person authorized to bind the corporation.

A proposal by a person who affixes his signature the word "president," "secretary," "agent," or other designation, without disclosing his principal, will be rejected. Satisfactory evidence of the authority of the officer signing in behalf of the corporation shall be furnished. Bidding corporations shall designate the state in which they are incorporated and the address of their principal office.

- c) Submittal. The original proposal (and its accompanying copy) shall be transmitted to arrive at the designated address not later than the date and time stipulated in the Legal Notice and Invitation to Bid.

Submit the original proposal and one signed copy of the proposal to:

Chairman, Board of Commissioners  
Brownsville Navigation District, Texas  
c/o Ariel Chávez II, P.E./R.P.L.S.  
1000 Foust Road  
Brownsville, Texas 78521

Each bid must be submitted in a sealed envelope bearing on the outside the name of the BIDDER, his address, and the name of the project for which the bid is submitted. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed as specified in the bid form.

#### 4. METHOD OF BIDDING: UNIT PRICE.

Prices shall be firm, not subject to qualification, condition or adjustment. Prices shall be in United States dollars. Prices shall be lump sum except where unit prices are requested by the bid forms. If unit price items are required by the proposal, the unit prices for each of the several items in the proposal of each BIDDER shall include its pro-rata share of overhead so that the sum of the products obtained by multiplying the quantity shown for each item by the unit price bid represents

the total bid. Any bid not conforming to the requirement may be rejected as informal and non-responsive. The special attention of all BIDDERS is called to this provision, for should conditions make it necessary to revise the quantities, no limit will be fixed for such increased or decreased quantities nor extra compensation allowed, provided the net monetary value of all such additive and subtractive changes in quantities of such items of work pursuant to public competitive bidding statutes (i.e., difference in cost) shall not increase or decrease the original contract price by more than twenty-five (25%) percent. A proposed decrease only that exceeds twenty-five (25%) percent of the original contract price must be agreed to in advance by the Contractor.

5. DISCLOSURE BY BIDDER:

Each BIDDER shall submit with the bid documents, on the form furnished for that purpose, his Pre-Bid Disclosure Statement showing his experience record in performing the type of work embraced in the contract, his organization and equipment available for the work contemplated, and, when specifically requested by the OWNER, a detailed financial statement. The OWNER shall have the right to take such steps as it deems necessary to determine the ability and responsibility of the BIDDER to perform his obligations under the Contract and the BIDDER shall be responsive in furnishing the OWNER all such information and data for this purpose as it may request. OWNER reserves the right to reject any bid where an investigation of the available evidence or information does not satisfy the OWNER that the BIDDER is responsible to carry out properly the terms of the Contract. This shall also apply to any proposed subcontractor(s).

6. SUBCONTRACTS:

The BIDDER is specifically advised that any person, firm, or other party to whom it is proposed to award a subcontract under this contract must be acceptable to the OWNER, and that a Pre-Bid Disclosure Statement for each proposed subcontractor must also be submitted with the bid documents.

7. BID SECURITY:

Each bid must be accompanied by certified or cashier's check, or a bid bond prepared on the form of the bid bond attached hereto, duly executed by the BIDDER as principal and having as surety therein a surety company approved by the OWNER, authorized to do business in the State of Texas in the amount of not less than five (5%) percent of the bid. Such checks, or bid bonds will be returned to all except the three lowest BIDDERS within fifteen (15) days after the opening of bids, and the remaining checks, or bid bonds will be returned promptly after the OWNER and the accepted BIDDER have executed the contract or if no award has been made, within thirty (30) days after the date of the opening of bids. The bid security will be returned upon demand of the BIDDER at any time thereafter, so long as he has not been notified of the acceptance of his bid.

8. ADDENDA AND INTERPRETATIONS:

No oral interpretations by OWNER and its representatives shall be binding upon OWNER as to the meaning of the plans, specifications, contract documents, or other pre-bid documents.

Every request for such interpretation should be made in writing, addressed to the Engineering

Services Department of the Brownsville Navigation District, and must be received at least ten (10) days prior to the date fixed for the opening of bids in order to be considered. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications which, if issued, will be on file at the Department mentioned above no later than five (5) days prior to the date fixed for opening of bids, and will be mailed by certified mail with return receipt requested to all prospective BIDDERS (at the respective addresses furnished for such purposes), not later than three (3) days prior to said date. It will be the BIDDER's responsibility to inquire as to any addenda issued and failure of any BIDDER to receive any such addenda or interpretation shall not relieve such BIDDER from any obligation under his bid as submitted. All addenda so issued shall become part of the contract documents.

#### 9. TELEGRAPHIC MODIFICATION:

Any BIDDER may modify his bid by telegraphic and/or telefax communication at any time prior to the scheduled closing time for receipt of bids, provided such telegraphic or telefax communication is received by the OWNER prior to the closing time, and provided further, the OWNER is satisfied that a written confirmation of the telegraphic or telefax modification over the signature of the BIDDER was also mailed prior to the closing time. The telegraphic or telefax communication should not reveal the total bid price, but should provide the addition or subtraction, or other modification, so that the final prices or terms will not be known by the OWNER until the original sealed bid is opened.

Revised bids submitted before the opening of bids, whether forwarded by mail, telegram, or telefax if representing an increase in excess of two percent (2%) of the original bid, must have the bid security adjusted accordingly; otherwise the bid will not be considered responsive.

If written confirmation is not received within two (2) days from the closing time, no consideration will be given to the telegraphic or telefax modification.

#### 10. TIME FOR RECEIVING BIDS:

Bids received prior to the advertised hour of opening will be securely kept sealed. The officer whose duty it is to open them will decide when the specified time has arrived, and no bid received thereafter will be considered; except that when a bid arrives by mail after the time fixed for opening, but before the reading of all other bids is completed, and it is shown to the satisfaction of the OWNER that the non-arrival on time was due solely to delay in the mails for which the BIDDER was not responsible, such bid will be received and considered.

BIDDERS are cautioned that, while telegraphic or telefax modifications of bids may be received as provided above, such modifications, if not explicit and if in any sense subject to misinterpretation, shall make the bid so modified or amended, subject to rejection for non-responsiveness.

#### 11. OPENING OF BIDS:

At the time and place fixed for the opening of bids, the OWNER will cause to be opened and publicly read aloud every bid received within the time set for receiving bids, irrespective of any irregularities therein. BIDDERS and other persons properly interested may be present, in person

or by representative.

12. WITHDRAWAL OF BIDS:

Bids may be withdrawn on written, telegraphic, or telefax request dispatched by the BIDDER in time for delivery in the normal course of business to the time fixed for opening; provided, that written confirmation of any telegraphic withdrawal over the signature of the BIDDER is placed in the mail and postmarked prior to the time set for bid opening. The bid security of any BIDDER withdrawing his bid in accordance with the foregoing conditions will be returned promptly.

13. AWARD OF CONTRACT: REJECTION OF BIDS:

The contract will be awarded to the responsive and responsible BIDDER submitting the lowest bid complying with the conditions of the Legal Notice and Invitation for Bids. The BIDDER to whom the award is made will be notified at the earliest possible date. The OWNER, however, reserves the right to reject any and all bids and to waive any informality in bids received whenever such rejection or waiver is in its interest.

The OWNER reserves the right to consider as not responsible any BIDDER who does not habitually perform with his own forces the major portions of the work involved in construction of the improvements embraced in this contract.

14. EXECUTION OF AGREEMENT: PERFORMANCE AND PAYMENT BOND:

Subsequent to the award and within ten (10) days after the prescribed forms are presented for signature, the successful BIDDER shall execute and deliver to the OWNER an agreement in the form included in the contract documents in such number of copies as the OWNER may require.

Having satisfied all conditions of award as set forth elsewhere in these documents, the successful BIDDER shall, within the period specified in the preceding paragraph, furnish a Performance Bond and Payment Bond, each in a penal sum not less than the full amount of the contract as awarded, as security for the faithful performance of the contract, and for the payment of all persons, firms or corporations to whom the Contractor may become legally indebted for labor, materials, tools, equipment, or services of any nature including utility and transportation services, employed or used by him in performing the work. Such bonds shall be in the same form as that included in the contract documents and shall bear the same date as, or a date subsequent to that of the agreement. The current power of attorney for the person who signs for any surety company shall be attached to such bonds. These bonds shall be signed by a guaranty or surety company legally authorized to do business in the State of Texas.

The failure of the successful BIDDER to execute such agreement and to supply the required bonds and insurance certificates within ten (10) days after the prescribed forms are presented for signature, or within such extended period as the OWNER may grant in writing, based upon reasons determined sufficient by the OWNER, shall constitute a default, and the OWNER may either award the contract to the next lowest responsive and responsible BIDDER or readvertise for bids, and may charge against the defaulting BIDDER the difference between the amount of the defaulted bid and the amount for which a contract for the work is subsequently executed,



irrespective of whether the amount thus due exceeds the amount of the bid bond. If a more favorable bid is received by readvertising, the defaulting BIDDER shall have no claim against the OWNER for a refund.

15. LIQUIDATED DAMAGES FOR FAILURE TO ENTER INTO CONTRACT:

The successful BIDDER, upon his failure or refusal to execute and deliver the contract, bonds and insurance certificates required within ten (10) days after he has received notice of the acceptance of his bid, shall forfeit to the OWNER, as liquidated damages (and not as a penalty) for such failure or refusal, the security deposited with his bid.

16. TIME OF COMPLETION AND LIQUIDATED DAMAGES:

BIDDER must agree to commence work on or before a date to be specified in a written "Notice to Proceed" issued by the OWNER and to fully complete the project within the contract time, as provided in Article 3 of the Agreement.

BIDDER must agree also to pay as mutually agreed to liquidated damages, and not as a penalty, the sum of two hundred fifty (\$500.00) per day for each consecutive calendar day thereafter, as provided in said Article 3.

17. NOTICE OF SPECIAL CONDITIONS:

Attention is particularly called to those parts of the contract documents and specifications which deal with the following:

- A. Inspection and testing of materials.
- B. Insurance requirements.
- C. Wage and Hour Provisions.
- D. State Sales and Use Tax Exemption Provisions

18. LAWS AND REGULATIONS:

The BIDDER's attention is directed to the fact that all applicable federal, state and local laws, statutes, ordinances, codes and the rules and regulations of all authorities having jurisdiction over construction of the project shall apply to the contract throughout, and they will be deemed to be included in the contract the same as though herein written out in full.

19. EQUAL EMPLOYMENT OPPORTUNITY:

Attention of BIDDERS is particularly called to the requirement for ensuring that employees and applicants for employment are not discriminated against because of their race, color, religion, sex, handicap, or national origin.

20. PRE-BID CONFERENCE:

A pre-bid meeting between the OWNER, prospective bidders, suppliers, etc., will be held to answer any questions concerning the work. No addenda will be issued at this meeting. Subsequent thereto, if necessary to clear up any written questions, a written addendum will be issued by the OWNER to all pre-bid conference attendees. The pre-bid meeting will be held at the place, time and date indicated in the Invitation to Bid, unless re-scheduled by Addendum. Interested parties are invited to attend.

21. SUBMITTAL OF TRENCH SAFETY DESIGN:

If project includes open trench excavation deeper than 5 feet, contractor shall submit a trench safety system to Engineer for review and approval prior to beginning of construction.

22. INFORMATION TO BE SUBMITTED WITH PROPOSAL:

Each BIDDER shall submit with his proposal pertinent information concerning proposed equipment and materials and proposed construction organization.

- a) Equipment and Materials. In addition to the information submitted on the proposal and proposal data forms, each BIDDER shall submit all specifications, preliminary drawings, and similar descriptive information necessary to describe completely the equipment and materials he proposes to furnish, if applicable.

The proposal shall be based on new equipment and materials which comply with specifications and documents in every respect, unless the BIDDER takes specific exception as provided herein before. If alternate or "equal" equipment and materials are indicated in the proposal, it shall be understood that the OWNER will have the option of selecting any one of the alternates so indicated and such selection shall not be a cause for extra compensation or extension of time.

- b) Contractor's Field Organization. Each BIDDER shall submit with his proposal an organization chart showing the names of field management, supervisory, and technical personnel, and the details of the management, supervisory, and technical organization which he proposes to use for this project. The successful BIDDER's organizational concept will be subject to the review and acceptance of the OWNER. The experience record of the Contractor's field superintendent shall be submitted with the proposal.

23. PREFERENCE LAW:

Proposal evaluation will take into consideration any Preference Laws of the Statutes of Texas.

24. SUBSURFACE CONDITIONS:

Each BIDDER shall be responsible for determining prior to bidding, the types of subsurface materials which will be found. If test borings have been made on the site, the locations and logs of the test borings are included in the plans.

It is to be expressly understood and acknowledged by the BIDDER, that any information on

subsurface materials made available by OWNER for BIDDER'S convenience shall not be a part of the contract documents and there is no expressed or implied guarantee of the data given, nor of the interpretation thereof.

All excavation for this project will be unclassified and the BIDDER shall be responsible for investigating and satisfying himself of subsurface conditions (including the presence or likelihood of encountering rock or rock-like materials and debris) prior to submitting his bid, which shall include any and all costs BIDDER associates with avoiding, managing or removing said subsurface conditions without claim for extra compensation against OWNER.

**25. DISPOSAL OF EXCESS MATERIALS:**

After backfilling and compacting any temporary trenches backfill or removing temporary earthen material, there may be in some instances an excess of soil material over that required to bring the backfill up to the original grade. In such cases where there is an excess of material, BIDDER shall load and haul it away from the job site and dispose of it in a legal manner so as not to trespass, adversely impact any protected wetlands, adversely impact the 100 year flood plain, adversely impact any endangered species, or otherwise create drainage diversions or impoundments. No extra remuneration for this work will be allowed.

**26. EROSION AND SEDIMENT CONTROL MEASURES:**

The BIDDER is expected to conduct his work in such a manner as to minimize any soil erosion or sediment runoff from the construction site. Earth cuts and fills shall have smooth, flat side slopes, as generally indicated on the PLANS, to preclude erosion of the soil. Such operations should be timed consistent with the actual need for doing the work and only to leave raw, unprotected surfaces for a minimum of time.

Existing lawns are to remain intact as far as practical. Such areas as are disturbed shall be duly restored by the BIDDER to as good or better than original condition using the same type of grass, shrubs, or cover as the original. The BIDDER shall be responsible for correcting any erosion that occurs at his sole cost without claim for extra compensation.

As construction progresses, and in accordance with current federal legislation regulating storm water runoff and management from construction sites greater than five acres in size, if applicable, (See: Section 405 of the Water Quality Act of 1987, Section 402(P) as amended), and at locations where erosion with sediment runoff occurs or is likely to occur, the BIDDER shall construct temporary ditches, retainage levees, drains, inlets, or other works to correct the condition. Upon completion of the work, such facilities shall be removed.

During construction, the BIDDER shall take the necessary precautions to see that erosion is controlled and sediment runoff is prevented so as to protect the quality of any neighboring water bodies.

**27. SAFETY PROVISIONS:**

BIDDER shall provide barricades, flares, warning signs, and/or flagmen so as to eliminate danger and inconvenience to the public, railroad and job site personnel. In addition to any other

requirements of the Contract Documents, the BIDDER shall be responsible for familiarity and compliance with all Federal (OSHA), State, Railroad and local safety rules, laws and requirements with particular attention to be given to excavation and trench safety requirements.

**28. PROTECTION OF PROPERTY AND EXISTING UTILITIES:**

Within developed areas, all public and private property along and adjacent to the BIDDER'S operations, including lawns, yards, shrubs, drainage gradients and trees, shall be adequately protected, and when damages occur, they shall be repaired, replaced, or renewed or otherwise put in a condition equal to or better than that which existed before the BIDDER caused the damage or removal.

An attempt has been made to show all known existing utilities on the PLANS, but the possibility remains strong that some underground utilities may exist that have not been shown. The BIDDER, through mandatory contact with local utility owners, shall keep himself informed and take such precautions as necessary to avoid damage.

**29. WAGES AND HOURS:**

The most recent wage rate determination from the U.S. Department of Labor for Cameron County as locally adopted by the BND is a part of these specifications and controls minimum wage, hour and any fringe benefits.

A copy of the wage rate schedule must be posted at the job site in both English and Spanish and kept posted in a conspicuous place on the site of the project at all times during construction. The BIDDER shall familiarize himself with the included General Conditions Section entitled "Wage and Labor Standard Provisions - 100% Locally Funded Construction." Copies of the wage rate schedule are included herein, but the responsibility for posting and keeping posted rests upon the BIDDER.

**30. GUARANTEE:**

The BIDDER shall guarantee the work for a period of one (1) year after date of acceptance in writing by the OWNER. During this period, the BIDDER shall make any repairs and/or replacements of defective materials and corrections due to poor workmanship, all as may be required for full compliance with the Specifications. This guarantee shall apply to all matters reported by the OWNER in writing within said one (1) year period and this guarantee shall be included in the coverage period set forth in the Performance Bond.

**31. SECURITY GRANT CONTRACT PROVISIONS:**

The successful BIDDER shall comply with the Security Grant Contract Provisions as outlined in the next section of these contract documents. In the event these Provisions differ from any other similar requirement in these documents, these provisions shall govern. The successful BIDDER shall, upon request by the OWNER or the OWNER's representative, provide proof of compliance with each such provision, as applicable and as required.

# Intent to Bid Statement

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

January 24, 2022

1. **CONTRACTOR’S INFORMATION:**

Contractor: \_\_\_\_\_

Address: \_\_\_\_\_ Main Phone: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

2. **CONTRACTOR’S INTENT TO BID STATEMENT:**

We, the above-named contractor, hereby declare our intent to bid on the “**SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**” project at the Port of Brownsville.

We acknowledge and understand that a **Mandatory Pre-Bid Virtual Meeting** will be held at the BND Administration Building, 1000 Foust Road, Brownsville, TX and will attend virtually. We assume responsibility to secure the link for said Virtual Meeting.

We hereby request to be included in the bidder’s list and to be notified of the issuance of any Addenda for this project. We also acknowledge and understand that our company information on this form will be made available to the public.

3. **CONTRACTOR’S OFFICER:**

Name: \_\_\_\_\_ Work Phone: \_\_\_\_\_

Title: \_\_\_\_\_ Cel Phone: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Main eMail Address: \_\_\_\_\_

Optional eMail Address: \_\_\_\_\_

# Bid Form

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

Place: Board of Commissioners - Brownsville Navigation District  
1000 Foust Road  
Brownsville, Texas 78521

Due Date: Before **11:30 A.M. C.S.T.; Tuesday, February 8, 2022.**

Proposal of \_\_\_\_\_ hereinafter called BIDDER, a corporation organized and existing under the laws of the State of \_\_\_\_\_, or a partnership or an individual doing business as \_\_\_\_\_.

To: The Brownsville Navigation District, Texas, hereinafter called OWNER.

Gentlemen:

The BIDDER, in compliance with your invitation for bids for the “**SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**” project, having examined the drawings and specifications with related documents and the site of the proposed work, and being familiar with all of the conditions surrounding the construction of the proposed project, including the availability of materials and labor, hereby proposes to furnish all labor, materials and supplies, and to construct the project in accordance with the contract documents, within the time set forth herein, and at the attached unit prices. These price(s) are to cover all expenses incurred in performing the work required under the contract documents, of which this proposal is a part. These price(s) are firm and shall not be subject to adjustment provided this Proposal is accepted within ninety (90) days after the time set for receipt of proposals.

BIDDER hereby agrees to commence work under this contract on or before a date to be specified in a written “Notice to Proceed” to be issued by the OWNER and to fully complete the project within 45 (forty-five) calendar days, as defined in the specifications. BIDDER further agrees to pay as liquidated damages, the sum of five hundred (\$500.00) dollars for each consecutive calendar day thereafter as hereinafter provided in Article 3 of the Agreement.

BIDDER agrees to perform all work for which he contracts as described in the specifications and as shown on the plans, for the attached unit prices:

**SUBCONTRACTORS.** BIDDER proposes that he will perform the majority of the work at the project site with his own forces and that specific portions of the work not performed by the BIDDER will be subcontracted and performed by the following subcontractors.

Subcontracted Work	Name of Subcontractor
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

## SOUTH YARD TRACK EXPANSION - OSTOS ROAD PAVEMENT

BIDDER Agrees to perform all the work described in the Contract Documents  
for the following Unit Prices (which include any and all applicable taxes and fees):

February 8, 2022

### SOUTH YARD TRACK EXTENSION - OSTOS ROAD PAVEMENT

ITEM	DESCRIPTION	EST QTY	UNIT COST	AMOUNT
<b>ASPHALT</b>				
1	3" H.M.A.C. PAVEMENT 10" CROSS SECTION	3,225	SY	
2	7" LIMESTONE BASE W/TX5 GEOGRID	4,459	SY	
3	10" to 1" PLANE ASPH CONC PAV MICRO-MILLING	2,101	SY	
4	2" TO 7" LIMESTONE FILL BASE W/TX5 GEOGRID	1,124	SY	
5	PLANE ASPH CONC PAV (1.0" MICRO-MILLING)	1,124	SY	
6	2" H.M.A.C. PAVEMENT 9" CROSS SECTION	1,234	SY	
<b>SUBTOTAL</b>				
<b>CONCRETE</b>				
7	12" STEEL-REINFORCED CONC. PAVEMENT	1,290	SY	
8	3" TO 5" LIMESTONE BASE	1,290	SY	
9	PLANE ASPH CONC PAV (1.0" MICRO-MILLING)	1,290	SY	
<b>SUBTOTAL</b>				
<b>DRIVEWAY</b>				
10	10" STEEL-REINFORCED CONC. PAVEMENT	1,223	SY	
11	6" LIMESTONE BASE	1,258	SY	
12	6" SUBGRADE PREP PAVEMENT AREA (PROOF ROLLING)	1,258	SY	
<b>SUBTOTAL</b>				
<b>SEWER LINE UPGRADE (MATERIALS FURNISHED BY OWNER)</b>				
13	24" STEEL CASING INSTALLATION	1	LS	
14	18" GRAVITY SEWER PIPE INSTALLATION	1	LS	
15	MAN HOLE INSTALLATION	1	LS	
<b>SUBTOTAL</b>				
<b>TRACK SUBGRADE PREPARATION</b>				
16	TRACK SUBGRADE PREP ON PAVEMENT	373	SY	
17	TRACK SUBGRADE PREP ON NORTH DITCH	159	SY	
<b>SUBTOTAL:</b>				
<b>TOTAL BID :</b>				
<b>TOTAL COST OF MATERIALS:</b>				

BIDDER Acknowledges receipt of the following addenda:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

In case of discrepancy, the unit price amount shall govern.

The above included prices shall include all labor, materials, excavation, bailing, shoring, removal, backfill, overhead, profit, insurance, etc., to cover the finished work of the several kinds called for.

BIDDER understands that the OWNER reserves the right to reject any or all bids and to waive any informalities in the bidding.

BIDDER agrees that this Bid shall be good and may not be withdrawn for a period of ninety (90) days after the scheduled closing time for receiving bids.

The undersigned hereby declares that only the persons or firms interested in the proposal as principal or principals are named herein, and that no other persons or firms than are herein mentioned have any interest in this Proposal or in the contract to be entered into; that this Proposal is made without connection with any other person, company, or parties likewise submitting a Bid or proposal; and that it is in all respects for and in good faith, without collusion or fraud.

Upon receipt of written notice of the acceptance of this Bid, BIDDER will execute the formal contract attached within ten (10) days and deliver the Performance and Payment Bonds and Insurance Certificates as required under the GENERAL CONDITIONS. The Bid security attached in the sum of \_\_\_\_\_ (\$ \_\_\_\_\_ ) is to become the property of the OWNER in the event the contract, bonds, and insurance certificates are not executed or delivered within the time above set forth, as mutually agreed to liquidated damages and not as a penalty for the delay and additional administrative expense to the OWNER caused thereby; otherwise the Bid security will be returned upon the signing of the contract and delivering the approved bonds and insurance certificates.

Respectfully submitted,

By: \_\_\_\_\_

Seal affixed here  
if BID is by a  
Corporation

\_\_\_\_\_  
Title

\_\_\_\_\_  
Address

Attest: \_\_\_\_\_

\_\_\_\_\_



# Bid Bond

---

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

STATE OF TEXAS           §  
  §       KNOW ALL MEN BY THESE PRESENTS:  
COUNTY OF CAMERON   §

THAT WE, the undersigned, \_\_\_\_\_ as Principal, and \_\_\_\_\_ as Surety, are hereby held and firmly bound unto the BROWNSVILLE NAVIGATION DISTRICT, TEXAS, as OWNER in the penal sum of \_\_\_\_\_ for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, successors and assigns.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

The Condition of the above obligation is such that whereas the Principal has submitted to the OWNER a certain BID attached hereto and hereby made a part hereof to enter into a contract in writing, for construction of the **“SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT”** project.

NOW, THEREFORE,

- (a) If said BID shall be rejected, or
- (b) If said BID shall be accepted and the Principal shall execute and deliver a contract in the form of Agreement attached hereto (properly completed in accordance with said BID) and shall furnish payment and performance bonds for his faithful performance of said contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall furnish insurance certificates, and shall in all other respects perform the agreement created by the acceptance of said BID, then this obligation shall be void. Otherwise the same shall remain in force and effect, it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penalty amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its Bond shall be in no way impaired or affected by an extension of the time with which the OWNER may accept such BID; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be assigned by their proper officers, the day and year first set forth above.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Surety

By: \_\_\_\_\_



To Vendors Doing Business with Brownsville Navigation District:

The Texas legislature passed two pieces of legislation that affect the relationship between the Brownsville Navigation District and its vendors. The Board of Commissioners of the Brownsville Navigation District has incorporated these new requirements into the *Code of Ethics* already in place for the District.

The District will now require that any vendor seeking to do business with the Brownsville Navigation District must file certain documents on an annual basis in order to be able to be awarded a purchase contract or a purchase order for goods or services. These forms are:

1. Vendor Registration Form
2. Conflict of Interest Questionnaire

These forms must be re-filed on an annual basis. Copies of the required forms and a full copy of the *Code of Ethics* are available on the District's website at:

[www.portofbrownsville.com](http://www.portofbrownsville.com)

Conflict of Interest Questionnaires can be found at the Texas Ethics Commission web site at:

<http://www.ethics.state.tx.us/forms/CIQ.pdf>

Conflict of Interest Questionnaires must be filed in regard to the Brownsville Navigation District "local government officers" which include the Navigation District Commissioners, the Port Director and CEO and the Deputy Port Directors. A listing of these persons is enclosed. Completed forms are to be filed with my office.

Please do not hesitate to contact me should you have any questions regarding these forms.

Sincerely yours,

Lorena Hernandez, CPA

Director of Finance

(956) 838-7041 Fax (956) 831-5106

[lhernandez@portofbrownsville.com](mailto:lhernandez@portofbrownsville.com)

encl:

Brownsville Navigation District  
1000 Foust Road / Brownsville, Texas 78521 / (956) 831 -4592 / (800) 378-5395 / Fax (956) 831-5106  
[www.portofbrownsville.com](http://www.portofbrownsville.com)

**BROWNSVILLE NAVIGATION DISTRICT ADMINISTRATION**  
**"LOCAL GOVERNMENT OFFICERS"**  
**Board of Navigation and Canal Commissioners**

Sergio Tito Lopez  
Chairman

Ralph Cowen  
Vice Chairman

Esteban Guerra  
Secretary of the Board

John Wood  
Commissioner

John Reed  
Commissioner

**Administration**

Eduardo A. Campirano – Port Director & CEO

Melinda Rodríguez – Deputy Port Director

Arturo Gómez – Deputy Port Director

**Other Administrative Employees**

Ariel Chávez II, PE/RPLS – Director of Engineering Services

Michael Davis – Harbor Master

Margie Recio – Director of Administrative Services

Zeus Yañez – Director of Finance

Carlos L. García – Chief of Police

Jose Herrera – Director of Facilities Maintenance

Jorge Montero – Director of Communications

Antonio Rodríguez – Director of Cargo Services

## Brownsville Navigation District Vendor Registration Form

*Please complete this form to give the District your contact information for use during an RFP process or to open or update a vendor account*

Date:	Name of Person Providing Information:
If you are currently participating in an RFP process for the District, please indicate the RFP title:	
If you are interested in receiving a notice when an RFP is available, please indicate your areas of interest:	
<input type="checkbox"/> Construction Contracts	<input type="checkbox"/> Security Services
<input type="checkbox"/> Property/Liability Insurance	<input type="checkbox"/> Bank Depository
<input type="checkbox"/> Group Insurance	Other:
<input type="checkbox"/> Salvage Offerings	
<input type="checkbox"/> Uniform Service	

Vendor Name	Web Site
Contact Person:	Fax Number:
Phone Number:	eMail Address:
Mailing Address:	Physical Address:

Form of Business <i>(Individual/Sole Proprietor/Partnership/Corporation/Other)</i>	Taxpayer Identification Number:
---	---------------------------------

Please return this form by fax to (956) 831-5106 or by email to [vendor@portofbrownsville.com](mailto:vendor@portofbrownsville.com)

\_\_\_\_\_  
Signature of Person Providing Information

This vendor is not a Listed Company as per: Section 2252 of the Texas Government Code *Federal Debarred List - SAM.gov	_____ Signature of Purchasing Auditor
--	--

# CONFLICT OF INTEREST QUESTIONNAIRE

## FORM CIQ

For vendor or other person doing business with local governmental entity

This questionnaire is being filed in accordance with chapter 176 of the Local Government Code by a person doing business with the governmental entity.

By law this questionnaire must be filed with the records administrator of the local government not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. See Section 176.006, Local Government Code.

A person commits an offense if the person violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.

### OFFICE USE ONLY

Date Received

**1** Name of person doing business with local governmental entity.

**2**

Check this box if you are filing an update to a previously filed questionnaire.

(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than September 1 of the year for which an activity described in Section 176.006(a), Local Government Code, is pending and not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.)

**3** Describe each affiliation or business relationship with an employee or contractor of the local governmental entity who makes recommendations to a local government officer of the local governmental entity with respect to expenditure of money.

**4** Describe each affiliation or business relationship with a person who is a local government officer and who appoints or employs a local government officer of the local governmental entity that is the subject of this questionnaire.

# CONFLICT OF INTEREST QUESTIONNAIRE

For vendor or other person doing business with local governmental entity

**FORM CIQ**

Page 2

**5** Name of local government officer with whom filer has affiliation or business relationship. (Complete this section only if the answer to A, B, or C is YES.)

This section, item 5 including subparts A, B, C & D, must be completed for each officer with whom the filer has affiliation or business relationship. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income from the filer of the questionnaire?

Yes       No

B. Is the filer of the questionnaire receiving or likely to receive taxable income from or at the direction of the local government officer named in this section AND the taxable income is not from the local governmental entity?

Yes       No

C. Is the filer of this questionnaire affiliated with a corporation or other business entity that the local government officer serves as an officer or director, or holds an ownership of 10 percent or more?

Yes       No

D. Describe each affiliation or business relationship.

**6** Describe any other affiliation or business relationship that might cause a conflict of interest.

**7**

\_\_\_\_\_  
Signature of person doing business with the governmental entity

\_\_\_\_\_  
Date

## Texas Government Code Sections 2270.002 and 2252.152

### Disclosure Statement

The undersigned business entity hereby represents and warrants that the following statements are true and correct:

- (a) Pursuant to Section 2270.002, Texas Government Code, we hereby represent that we do not boycott Israel (as defined in Section 2270.002, Texas Government Code) and, subject to or as otherwise required by applicable Federal law, including, without limitation, 50 U.S.C. Section 4607, we agree not to boycott Israel during the term of this purchase agreement.
- (b) We hereby acknowledge that (a) we do not engage in business with Iran, Sudan, or any foreign organization and (b) we are not listed by the Texas Comptroller as described in Section 2252.152, Texas Government Code.

Company Name
Authorized Signature
Print Name and Position with the Company
Date

# Statement of Non-Collusion

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

The undersigned hereby certifies that they are duly authorized to execute this contract, that this company, corporation, firm, partnership or individual has not prepared this BID in collusion with any other Bidder, and that the contents of this BID as to prices, terms or conditions of said BID have not been communicated by the undersigned nor by any employee or agent to any other person engaged in this type of business prior to the official opening of this BID.

Company: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

Bidder: \_\_\_\_\_  
(Signature)

Bidder: \_\_\_\_\_  
(Print Name)

Title: \_\_\_\_\_  
(Print Title)

Signature of Company  
Officer Authorizing this  
Bid: \_\_\_\_\_

Company  
Officer: \_\_\_\_\_  
(Print Name)

Officer's Title: \_\_\_\_\_  
(Print Title)

**Note:** This form must be filled out and submitted with the sealed bid.



# Certificate and Definitions

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

### CERTIFICATE

I certify that all information provided is true and correct as of the date of this statement, that I have not knowingly withheld disclosure of any information requested; and that supplemental statements will be promptly submitted to the Brownsville Navigation District as changes occur.

Certifying Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

### DEFINITIONS

The following definitions of terms should be used in answering the questions set forth below:

- A. "Board Member" – An elected member of any board, commission, or committee appointed by the Brownsville Navigation District of Brownsville, Texas.
- B. "Employee" – Any person employed by the Brownsville Navigation District either on a full time or part-time basis, but not as an independent contractor.
- C. "Firm" – Any entity operated for economic gain, whether professional, industrial or commercial, and whether established to produce or deal with a product or service, including but not limited to, entities operated in the form of sole proprietorship, as self employed person, partnership, corporation, joint stock company, joint venture, receivership or trust, and entities which for purposes of taxation are treated as non-profit organizations.
- D. "Official" – The Chairman, members of the Brownsville Navigation District, General Manager, CEO, Deputy Port Director, Department and Division Heads.
- E. "Ownership Interest" – Legal or equitable interest, whether actually or constructive held, in a firm, including when such interest is held through the agent, trust, estate or holding entity. "Consecutively held" refers to holding or control established through voting trusts, proxies, or special terms of venture of partnership agreements.

Please Complete and Submit to:

Chairman of the Board  
Brownsville Navigation District  
c/o Ariel Chávez II, P.E./ R.P.L.S.  
Director of Engineering Services  
1000 Foust Road  
Brownsville, Texas 78521

# Contractor's Pre-Bid Disclosure Statement

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. This Pre-Bid Disclosure Statement is submitted to the Brownsville Navigation District by:  
 a Corporation,  a Co- partnership, or  an individual.

Address: \_\_\_\_\_ Contractor's #: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

2. Year's in business under present business name: \_\_\_\_\_
3. Years of experience in construction work of the type called for in this contract as:  
 a General Contractor  a Sub-Contractor

4. What projects has your organization completed? List most recent **FIRST**.

Contract Amount	Type of Work	Date Completed	Owner's Name and Address
-----------------	--------------	----------------	--------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

5. What projects does your organization have under way as often as this date?

Contract Amount	Type of Work	Date Completed	Owner's Name and Address
-----------------	--------------	----------------	--------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

6. Have you ever failed to complete any work awarded to you?  Yes  No  
If "Yes", state where and why.

\_\_\_\_\_

\_\_\_\_\_

7. Are you at present in any major litigation or lawsuits involving construction work of any type?  
 Yes  No  
If "Yes", explain: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

8. Explain in detail the manner in which you have inspected the work proposed in this Contract:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. Explain in detail your plan or layout for performing the work proposed in this contract:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10. If this contract is awarded to you, your company's administrative manager for the work will be Mr./Ms. \_\_\_\_\_, and your resident construction superintendent will be Mr./Ms. \_\_\_\_\_.

11. What experience in this type of work is enjoyed by the individual designated as superintendent above?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

12. What portions of the work do you intent to sublet? \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

13. What equipment do you own that is available for the proposed work?

Quantity	Description, Size, Capacity, etc.	Condition	Years in Service	Present Location
----------	-----------------------------------	-----------	------------------	------------------

_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

14. Have you received firm offers for all major items of material and/or equipment within the prices used in preparing your proposal?     Yes     No

The signatory of this questionnaire guarantees the truth and accuracy of all statements herein made and all answers herein expressed.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

By: \_\_\_\_\_

Title: \_\_\_\_\_

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Subscribed and sworn to me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

# Subcontractor's Pre-Bid Disclosure Statement

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. This Pre-Bid Disclosure Statement is submitted to the Brownsville Navigation District by:  
 a Corporation,  a Co- partnership, or  an individual.

Address: \_\_\_\_\_ Contractor's #: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

2. Year's in business under present business name: \_\_\_\_\_

3. Years of experience in construction work of the type called for in this contract as:

a General Contractor  a Sub-Contractor

4. What projects has your organization completed? List most recent **FIRST**.

Contract Amount	Type of Work	Date Completed	Owner's Name and Address
-----------------	--------------	----------------	--------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

5. What projects does your organization have under way as often as this date?

Contract Amount	Type of Work	Date Completed	Owner's Name and Address
-----------------	--------------	----------------	--------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

6. Have you ever failed to complete any work awarded to you?  Yes  No

If "Yes", state where and why.

\_\_\_\_\_  
\_\_\_\_\_

7. Are you at present in any major litigation or lawsuits involving construction work of any type?

Yes  No

If "Yes", explain: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

8. Explain in detail the manner in which you have inspected the work proposed in this Contract:

---

---

---

---

9. Explain in detail your plan or layout for performing the work proposed in this contract:

---

---

---

10. If this contract is awarded to your prime contractor, your company's administrative manager for the work will be Mr./Ms. \_\_\_\_\_, and your resident construction superintendent will be Mr./Ms. \_\_\_\_\_.

11. What experience in this type of work is enjoyed by the individual designated as superintendent above?

---

---

---

12. What portions of the work do you intent to sublet further? \_\_\_\_\_

---

---

---

13. What equipment do you own that is available for the proposed work?

Quantity	Description, Size, Capacity, etc.	Condition	Years in Service	Present Location
----------	-----------------------------------	-----------	------------------	------------------

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14. Have you received firm offers for all major items of material and/or equipment within the prices used in preparing your proposal?  Yes  No

The signatory of this questionnaire guarantees the truth and accuracy of all statements herein made and all answers herein expressed.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

By: \_\_\_\_\_

Title: \_\_\_\_\_

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Subscribed and sworn to me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

# Agreement

---

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

THIS AGREEMENT is dated as of the \_\_\_\_\_ day of \_\_\_\_\_ by and between the BROWNSVILLE NAVIGATION DISTRICT, Texas (hereinafter called OWNER), and \_\_\_\_\_ of \_\_\_\_\_ (hereinafter called CONTRACTOR).

OWNER and CONTRACTOR, in consideration of the mutual covenants hereinafter set forth, agree as follows:

### Article 1. WORK.

CONTRACTOR shall furnish all of the material, supplies, tools, equipment, labor and other services necessary for the construction and completion of the work described herein and complete all the work as specified or indicated in the Contract Documents. The work is generally described as:

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

at the Brownsville Navigation District, Texas.  
(hereinafter referred to as "Work").

### Article 2. ENGINEER.

The project has been designed by the Engineering Department of the Brownsville Navigation District (hereinafter also called ENGINEER) in cooperation with the OWNER.

### Article 3. CONTRACT TIME.

3.1 The Work shall be substantially completed within thirty (30) days from issuance of Notice to Proceed, and shall be completed within forty five (45) days from issuance of Notice to Proceed.

3.2 Liquidated Damages. OWNER and CONTRACTOR recognize that time is of the essence of this Agreement and that OWNER will suffer financial loss if the Work is not substantially complete within the time specified in paragraph 3.1 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. They also recognize the delays, expense and difficulties involved in proving in a legal proceeding the actual loss suffered by OWNER if the Work is not substantially complete on time. Accordingly, instead of requiring such proof, OWNER and CONTRACTOR agree that as liquidated damages for the delay (but not as a penalty) CONTRACTOR shall pay OWNER five hundred (\$500.00) dollars for each calendar day that expires after the time specified in paragraph 3.1 for substantial completion until the Work is substantially complete.

### Article 4. CONTRACT PRICE.

4.1 CONTRACTOR shall perform the Work described in the Contract Documents for the amounts shown in the Bid Proposal, and OWNER shall pay CONTRACTOR in current funds based on the Bid Proposal.



**Article 5. PAYMENT PROCEDURES.**

Contractor shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by OWNER as provided for in the General Conditions.

5.1 Progress Payments. OWNER shall make progress payments on account of the Contract Price on the basis of CONTRACTOR's Applications for Payment on or about the twentieth day after submittal of the Application for Payment each month as provided below. All progress payments shall be on the basis of the progress of the Work measured by the schedule of values provided for in paragraph 14.1 of the General Conditions.

5.1.1 Prior to Substantial Completion progress payments shall be in an amount equal to 90% of the amount requested in the Application for Payment, with 10% remaining as retainage for the project, to be released in accordance paragraph 5.2.

5.1.2 Upon substantial completion, OWNER shall pay an amount sufficient to increase total payments to CONTRACTOR to 90% of the Contract Price, less such amounts OWNER shall determine in accordance with paragraph 14.7 of the General Conditions.

5.2 Final Payment. Upon final completion and acceptance of the Work in accordance with paragraph 14.13 of the General Conditions, OWNER shall pay the remainder of the Contract Price as recommended by OWNER as provided in said paragraph 14.13.

**Article 6. CONTRACTOR'S REPRESENTATIONS.**

In order to induce OWNER to enter into this Agreement CONTRACTOR makes the following representations:

6.1 CONTRACTOR has familiarized himself with the nature and extent of the Contract Documents, Work, locality, and with all local conditions and federal, state and local laws, ordinances, rules and regulations that in any manner may affect cost, progress or performance of the Work.

6.2 CONTRACTOR has made or caused to be made examinations and investigations of information as he deems necessary for the performance of the Work at the Contract Price, within the Contract Time and in accordance with the other terms and conditions of the Contract Documents; and no additional examinations, investigations or similar data are or will be required by CONTRACTOR for such purposes.

6.3 CONTRACTOR has given OWNER written notice of all conflicts, errors or discrepancies that he has discovered in the Contract Documents and the written resolution thereof by OWNER is acceptable to CONTRACTOR.

6.4 CONTRACTOR is skilled and experienced in the type of work described in the Contract Documents.

Article 7. CONTRACT DOCUMENTS.

The Contract Documents which comprise the entire Agreement between OWNER and CONTRACTOR are attached to this Agreement, made a part hereof and consists of the following:

- 7.1 Advertisement for bids.
- 7.2 Instructions to Bidders (pages 1 to 9, inclusive).
- 7.3 Bid Form (pages 1 to 3, inclusive).
- 7.4 Bid Bond.
- 7.5 Statement of Non-collusion.
- 7.6 Disclosure of Interests.
- 7.7 Certificate and Definitions
- 7.6 Contractor's Pre-Bid Disclosure Statement (pages 1 to 3, inclusive).
- 7.9 Subcontractor's Pre-Bid Disclosure Statement (pages 1 to 3, inclusive).
- 7.10 Agreement (pages 1 to 5, inclusive)..
- 7.11 Performance Bond (pages 1 to 3, inclusive)..
- 7.12 Payment Bond (pages 1 to 3, inclusive)..
- 7.13 Certificates of Insurance.
- 7.14 General Conditions (pages 1 to 44, inclusive).
- 7.15 Supplemental General Conditions (pages 1 to 14, inclusive).
- 7.16 Technical Specifications
  - Asphaltic Pavement& Base with Geogrid (pages 1 to 6, inclusive).
  - Concrete Pavement & Limestone Base (pages 1 to 4, inclusive).
  - Earthwork and Site Preparation (pages 1 to 3, inclusive).
  - Subgrade Preparation (pages 1 to 3, inclusive).
  - Trench Safety System (pages 1 to 4, inclusive).

Concrete Pipe – Storm Sewer (pages 1 to 2, inclusive).

Triaxial TX5 General Specifications.

Sanitary Sewer Pipework (pages 1 to 8, inclusive).

7.17 Construction Drawings – (six [6] Sheet, inclusive).

7.18 Notice of Award & Acceptance of Notice.

7.19 Notice to Proceed & Acceptance of Notice.

There are no Contract Documents other than those listed above in this Article 7. The Contract Documents may only be altered, amended or repealed by a Modification (as defined in Article 1 of the General Conditions).

#### Article 8. MISCELLANEOUS.

8.1 Terms used in this Agreement which are defined in Article 1 of the General Conditions shall have the meanings indicated in the General Conditions.

8.2 No assignment by a party hereto of any rights under or interest in the Contract Documents will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

8.3 OWNER and CONTRACTOR each binds himself, his partners, successors, assigns and legal representatives to the other party hereto, his partners, successors, assigns and legal representatives in respect to all covenants, agreements and obligations contained in the Contract Documents.

8.4 The invalidity or unenforceability of any provision of the Contract Documents shall not affect the validity or enforceability of any other provision of the Contract Documents.

8.5 This Agreement and the Contract Documents are subject to all applicable laws, statutes, codes, ordinances, rules and regulations.

8.6 In the event of default by CONTRACTOR under the Contract Documents, OWNER shall have all rights and remedies afforded to it at law or in equity to enforce the terms of the Contract Documents. The exercise of any one right or remedy shall be without prejudice to the enforcement of any other right or remedy allowed at law or in equity.

8.7 If any action at law or in equity is necessary by OWNER to enforce or interpret the terms of the Contract Documents, OWNER shall be entitled to reasonable

attorneys' fees and costs and any necessary disbursements in addition to any other relief to which the OWNER is entitled.

8.8 The Contract Documents constitute the entire agreement between the parties hereto and supersede all prior agreements and understandings between the parties. The Contract can be modified or amended by written agreement of the parties.

8.9 These Contract Documents are governed by the laws of the State of Texas and the parties agree that venue for all lawsuits arising from these Contract Documents shall lie in Cameron County, Texas.

IN WITNESS WHEREOF, the parties hereto have signed this Agreement in triplicate. One counterpart each has been delivered to OWNER and CONTRACTOR. All portions of the Contract Documents have been signed or identified by OWNER and CONTRACTOR, or by ENGINEER on their behalf.

This Agreement will be effective on \_\_\_\_\_.

**BROWNSVILLE NAVIGATION DISTRICT**

By: \_\_\_\_\_  
**Sergio Tito Lopez, Chairman**

By: \_\_\_\_\_

Attest: \_\_\_\_\_

Attest: \_\_\_\_\_

Address for giving notices:  
Attn: Mr. Ariel Chávez II, P.E./R.P.L.S.,  
Director of Engineering Services  
1000 Foust Road  
Brownsville, TX 78521

Address for giving notices:  
Attn:

The Brownsville Navigation District is a governmental entity as defined by Texas Tax Code Section 151.309. District takes the position that this contract is exempt from taxation under Section 151.311 of the Texas Tax Code. The District will provide Contractor with evidence of District's status as a governmental entity, so that Contractor may claim exemption from sales tax for all purchases of tangible personal property used in the performance of this contract. **The parties agree that for purposes of claiming the exemption Contractor is the agent of District within the meaning of 34 Texas Administrative Code Rule 3.322.** However, District and Contractor further agree that (1) to the extent this contract or purchases made to fulfill this contract are taxable, that this is a "separated contract", and that the following amount of money represents that part of the total contract price representative of the value of tangible personal property to be physically incorporated into the project realty: \$ \_\_\_\_\_, and (2) in no event shall District be liable to Contractor for an increase in the Contract Price because of sales taxes.

# Performance Bond

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

KNOW ALL MEN BY THESE PRESENTS:

THAT \_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Address of Contractor)

a \_\_\_\_\_, hereinafter called Principal,  
(Corporation, Partnership, or Individual)

and \_\_\_\_\_  
(Name of Surety)

\_\_\_\_\_  
(Address of Surety)

hereinafter called Surety, are held and firmly bound unto the BROWNSVILLE NAVIGATION DISTRICT, Texas, hereinafter called OWNER, in the penal sum of \_\_\_\_\_ Dollars (\$\_\_\_\_\_) in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain contract with the OWNER, dated the \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_, a copy of which is hereto attached and made a part hereof, for the construction of the:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

NOW, THEREFORE, if the Principal shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term thereof, and any extensions thereof which may be granted by the OWNER, with or without notice to the Surety and during the one year post-construction guaranty period, and if he shall satisfy all claims and demands incurred under such contract, and shall fully indemnify and save harmless the OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

This bond is subject to and governed by Article 5160 of the Texas Revised Civil Statues and all amendments thereto.

IN WITNESS WHEREOF, this instrument is executed in triplicate, each counterpart of which shall be deemed an original, this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

ATTEST: \_\_\_\_\_  
(Principal)

\_\_\_\_\_  
(Principal) Secretary By: \_\_\_\_\_(s)  
(Signature)

(SEAL)

\_\_\_\_\_  
(Witness as to Principal) \_\_\_\_\_  
(Address)  
\_\_\_\_\_  
(Address)  
\_\_\_\_\_

ATTEST: \_\_\_\_\_  
(Surety)

\_\_\_\_\_  
(Surety) Secretary By: \_\_\_\_\_  
(Attorney-in-Fact)

(SEAL)

\_\_\_\_\_  
(Witness as to Surety) \_\_\_\_\_  
(Address)  
\_\_\_\_\_  
(Address)  
\_\_\_\_\_

NOTE: Date of BOND must not be prior to date of Contract. If Contractor is a Partnership, all partners should execute BOND.

ATTACH  
POWER OF ATTORNEY  
TO BE FURNISHED BY CONTRACTOR

# Payment Bond

---

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

---

KNOW ALL MEN BY THESE PRESENTS:

THAT \_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Address of Contractor)

a \_\_\_\_\_, hereinafter called Principal,  
(Corporation, Partnership, or Individual)

and \_\_\_\_\_  
(Name of Surety)

\_\_\_\_\_  
(Address of Surety)

hereinafter called Surety, are held and firmly bound unto the BROWNSVILLE NAVIGATION DISTRICT, Texas, hereinafter called OWNER, in the penal sum of \_\_\_\_\_ Dollars (\$\_\_\_\_\_) in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain contract with the OWNER, dated the \_\_\_\_ day of \_\_\_\_\_, 20\_\_, a copy of which is hereto attached and made a part hereof, for the construction of the \_\_\_\_\_.

NOW, THEREFORE, if the Principal shall promptly make payment to all persons, firms, SUBCONTRACTORS, and corporations furnishing materials for or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extension or modification thereof, including all amounts due for materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such WORK, and all insurance premiums on said WORK, and for all labor, performed in such WORK whether by SUBCONTRACTOR or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose legally perfected claim may be unsatisfied.



This bond is subject to and governed by Article 5160 of the Texas Revised Civil Statutes and all amendments thereto.

IN WITNESS WHEREOF, this instrument is executed in triplicate, each counterpart of which shall be deemed an original, this the \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

ATTEST: \_\_\_\_\_  
(Principal)

\_\_\_\_\_  
(Principal) Secretary                      By: \_\_\_\_\_  
(Signature)

(SEAL)

\_\_\_\_\_  
(Witness as to Principal)                      \_\_\_\_\_  
(Address)  
\_\_\_\_\_  
(Address)  
\_\_\_\_\_

ATTEST: \_\_\_\_\_  
(Surety)

\_\_\_\_\_  
(Surety) Secretary                      By: \_\_\_\_\_  
(Attorney-in-Fact)

(SEAL)

\_\_\_\_\_  
(Witness as to Surety)                      \_\_\_\_\_  
(Address)  
\_\_\_\_\_  
(Address)  
\_\_\_\_\_

NOTE: Date of BOND must not be prior to date of Contract. If Contractor Partnership, all partners should execute BOND.

ATTACH  
POWER OF ATTORNEY  
TO BE FURNISHED BY CONTRACTOR

# **Certificates of Insurance**

---

SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

ATTACH

CERTIFICATES OF INSURANCE

TO BE FURNISHED BY CONTRACTOR

# General Conditions

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

STANDARD  
GENERAL CONDITIONS  
OF THE  
CONSTRUCTION CONTRACT

Prepared by

Engineers' Joint Contract Documents Committee

and

Issued and Published Jointly By

PROFESSIONAL ENGINEERS IN PRIVATE PRACTICE  
A practice division of the  
NATIONAL SOCIETY OF PROFESSIONAL ENGINEERS

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AMERICAN CONSULTING ENGINEERS COUNCIL

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AMERICAN SOCIETY OF CIVIL ENGINEERS

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CONSTRUCTION SPECIFICATION INSTITUTE

The document has been approved and endorsed by:

The Associated General Contractors of America

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## GENERAL CONDITIONS

### ARTICLE 1. DEFINITIONS

Wherever used in these General Conditions or in the other Contract Documents, the following terms have the meanings indicated which are applicable to both the singular and plural thereof:

**Addenda** - Written or graphic instruments issued prior to the opening of Bids which clarify, correct or change the bidding documents or the Contract Documents. These Addenda shall become a part of the Contract Documents and modify the drawings, specifications or other bid documents as indicated. No verbal changes in the Work as shown or described shall become binding.

**Agreement** - The written agreement between OWNER and CONTRACTOR covering the Work to be performed; other Contract Documents are attached to the Agreement and made a part thereof as provided therein.

**Application for Payment** - The form accepted by ENGINEER which is to be used by CONTRACTOR in requesting progress or final payments and which is to include such supporting documentation as is required by the Contract Documents.

**Bid** - The offer or proposal of the bidder submitted on the prescribed form setting forth the prices for the Work to be performed.

**Bonds** - Bid, performance and payment bonds and other instruments of security.

**Change Order** - A document recommended by ENGINEER, which is signed by CONTRACTOR and OWNER and authorizes an addition, deletion or revision in the Work, or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement.

**Contract Documents** - The Agreement, Addenda (which pertain to the Contract Documents), CONTRACTOR's Bid (including documentation accompanying the Bid and any post-Bid documentation submitted prior to the Notice of Award) when attached as an exhibit to the Agreement, the Bonds, these General Conditions, the Supplementary Conditions, the Specifications and the Drawings as the same are more specifically identified in the Agreement, together with all amendments, modifications and supplements issued pursuant to paragraphs 3.4 and 3.5 on or after the Effective Date of the Agreement.

**Contract Price** - The moneys payable by OWNER to CONTRACTOR under the Contract Documents as stated in the Agreement (subject to the provisions of paragraph 11.9.1 in the case of Unit Price Work).

**Contract Time** - The number of days (computed as provided in paragraph 17.2) or the date stated in the Agreement for the completion of the Work.

**CONTRACTOR** - The person, firm or corporation with whom OWNER has entered into

the Agreement.

**Defective** - An adjective which when modifying the word Work refers to Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents, or has been damaged prior to ENGINEER's recommendation of final payment (unless responsibility for the protection thereof), has been assumed by OWNER at Substantial Completion in accordance with paragraph 14.8 or 14.10).

**Drawings** - The drawings which show the character and scope of the Work to be performed and which have been prepared or approved by ENGINEER and are referred to in the Contract Documents.

**Effective Date of the Agreement** - The date indicated in the Agreement on which it becomes effective, but if no such date is indicated it means the date on which the Agreement is signed and delivered by OWNER.

**ENGINEER** - The person, firm or corporation named as such in the Agreement.

**Field Order** - A written order issued by ENGINEER which orders minor changes in the Work in accordance with paragraph 9.5 but which does not involve a change in the Contract Price or the Contract Time.

**General Requirements** - Sections of Division 1 of the Specifications.

**Laws and Regulations; Laws or Regulations** - Laws, rules, regulations, ordinances, codes and/or orders.

**Notice of Award** - The written notice by OWNER to the apparent successful bidder stating that upon compliance by the apparent successful bidder with the conditions precedent enumerated therein, within the time specified, OWNER will sign and deliver the Agreement.

**Notice to Proceed** - A written notice given by OWNER to CONTRACTOR (with a copy to ENGINEER) fixing the date on which the Contract Time will commence to run and on which CONTRACTOR shall start to perform CONTRACTOR's obligations under the Contract Documents.

**OWNER** - The public body or authority, corporation, association, firm or person with whom Contractor has entered into the Agreement and for whom the Work is to be provided.

**Partial Utilization** - Placing a portion of the Work in service for the purpose for which it is intended (or a related purpose) before reaching Substantial Completion for all the Work.

**Project** - The total construction of which the Work to be provided under the Contract Documents may be the whole, or a part as indicated elsewhere in the Contract Documents.

**Resident Project Representative** - The authorized representative of ENGINEER who is assigned to the site or any part thereof.

**Shop Drawings** - All drawings, diagrams, illustrations, schedules and other data which are

specifically prepared by or for CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by CONTRACTOR to illustrate material or equipment for some portion of the Work.

Specifications - Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative details applicable thereto.

Subcontractor - An individual, firm or corporation having a direct contract with CONTRACTOR or with any other Subcontractor for the performance of a part of the Work at the site.

Substantial Completion - The Work (or a specified part thereof) has progressed to the point where, in the opinion of ENGINEER as evidenced by ENGINEER's definitive certificate of Substantial Completion, it is sufficiently complete, in accordance with the Contract Documents, so that the Work (or specified part) can be utilized for the purposes for which it is intended; or if there be no such certificate issued, when final payment is due in accordance with paragraph 14.13. The terms "substantially complete" and "substantially completed" as applied to any Work refer to Substantial Completion thereof.

Supplementary Conditions - The part of the Contract Documents which amends or supplements these General Conditions.

Supplier - A manufacturer, fabricator, supplier, distributor, materialman or vendor.

Underground Facilities - All pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels or other such facilities or attachments, and any encasements containing such facilities which have been installed underground to furnish any of the following services or materials: electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, sewage and drainage removal, traffic or other control systems or water.

Unit Price Work - Work to be paid for on the basis of unit prices.

Work - The entire completed construction or the various separately identifiable parts thereof required to be furnished under the Contract Documents. Work is the result of performing services, furnishing labor and furnishing and incorporating materials and equipment into the construction, all as required by the Contract Documents.

Work Directive Change - A written directive to CONTRACTOR, issued on or after the Effective Date of the Agreement and signed by OWNER and recommended by ENGINEER, ordering an addition, deletion or revision in the Work, or responding to differing or unforeseen physical conditions under which the Work is to be performed as provided in paragraph 4.2 or 4.3 or to emergencies under paragraph 6.22. A Work Directive Change may not change the Contract Price or the Contract Time, but is evidence that the parties expect that the change directed or documented by a Work Directive Change will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Time as provided in paragraph 10.2.



Written Amendment - A written amendment of the Contract Documents, signed by OWNER and CONTRACTOR on or after the Effective Date of the Agreement and normally dealing with the nonengineering or nontechnical rather than strictly Work-related aspects of the Contract Documents.

## ARTICLE 2. PRELIMINARY MATTERS

### Delivery of Bonds:

2.1 When CONTRACTOR delivers the executed Agreements to OWNER, CONTRACTOR shall also deliver to OWNER such Bonds as CONTRACTOR may be required to furnish in accordance with paragraph 5.1.

### Copies of Documents:

2.2 OWNER shall furnish to CONTRACTOR up to ten copies (unless otherwise specified in the Supplementary Conditions) of the Contract Documents as are reasonably necessary for the execution of the Work. Additional copies will be furnished, upon request, at the cost of reproduction.

### Commencement of Contract Time; Notice to Proceed:

2.3 The Contract Time will commence to run on the thirtieth day after the after the effective Date of the Agreement, or if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within thirty days after the Effective Date of the Agreement. In no event will the Contract Time commence to run later than the seventy fifth day after the day the of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

### Starting the Project:

2.4 CONTRACTOR shall start to perform the Work on the date when the Contract Time commences to run, but no Work shall be done at the site prior to the date on which the Contract Time commences to run.

### Before Starting Construction:

2.5 Before undertaking each part of the Work, CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures shown thereon and all applicable field measurements. CONTRACTOR shall promptly report in writing to ENGINEER any conflict, error or discrepancy which CONTRACTOR may discover and shall obtain a written interpretation or clarification from ENGINEER before proceeding with any Work affected thereby. CONTRACTOR shall be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents, if CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

2.6 Within ten days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), CONTRACTOR shall submit to ENGINEER for review:

2.6.1 an estimated progress schedule indicating the starting and

completion dates of the various stages of the Work;

2.6.2 a preliminary schedule of Shop Drawings submissions; and

2.6.3 a preliminary schedule of values for all of the Work which will include quantities and prices of items aggregating the Contract Price and will subdivide the Work into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work which will be confirmed in writing by CONTRACTOR at the time of submission.

2.7 Before any Work at the site is started, Contractor shall deliver to Owner, with a copy to Engineer, certificates (and other evidence of insurance requested by OWNER) which CONTRACTOR is required to purchase and maintain in accordance with paragraphs 5.3, 5.4, and Owner shall deliver to CONTRACTOR certificates (and other evidence of insurance requested by CONTRACTOR) which OWNER is required to purchase and maintain in accordance with paragraphs 5.6 and 5.7.

Preconstruction Conference:

2.8 Within twenty days after the Effective Date of the Agreement, but before CONTRACTOR starts the Work at the site, a conference attended by CONTRACTOR, ENGINEER and others as appropriate will be held to discuss the schedules referred to in paragraph 2.6, to discuss procedures for handling Shop Drawings and other submittals and for processing Applications for Payment, and to establish a working understanding among the parties as to the Work.

Finalizing Schedules:

2.9 At least ten days before submission of the first Application for Payment a conference attended by CONTRACTOR, ENGINEER and others as appropriate will be held to finalize the schedules submitted in accordance with paragraph 2.6. The finalized progress schedule will be acceptable to ENGINEER as providing an orderly progression of the Work to completion within the Contract Time, but such acceptance will neither impose on ENGINEER responsibility for the progress or scheduling of the Work nor relieve CONTRACTOR from full responsibility therefor. The finalized schedule of Shop Drawing submissions will be acceptable to ENGINEER as providing a workable arrangement for processing the submissions. The finalized schedule of values will be acceptable to ENGINEER as to form and substance.

### ARTICLE 3. CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

Intent:

3.1 The Contract Documents comprise the entire agreement between OWNER and CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the law of the place of the Project.

3.2 It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any Work,

materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result will be supplied whether or not specifically called for. When words which have a well-known technical or trade meaning are used to describe Work, materials or equipment such words shall be interpreted in accordance with that meaning. Reference to standard specifications, manuals or codes of any technical society, organization or association, whether such reference be specific or by implication, shall mean the latest standard specification, manual, code or Laws or Regulations in effect at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated. However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of OWNER, CONTRACTOR or ENGINEER, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to ENGINEER, or any of ENGINEER's consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9.15 or 9.16. Clarifications and interpretations of the Contract Documents shall be issued by ENGINEER as provided in paragraph 9.4.

3.3 If, during the performance of the Work, CONTRACTOR finds a conflict, error or discrepancy in the Contract Documents, CONTRACTOR shall so report to ENGINEER in writing at once and before proceeding with the Work affected thereby shall obtain a written interpretation or clarification from ENGINEER. However, CONTRACTOR shall be not be liable to OWNER or ENGINEER for failure to report any conflict, error or discrepancy in the Contract Documents if CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

Amending and Supplementing Contract Documents:

3.4 The Contract Documents may be amended to provide for additions, deletions and revisions in the Work or to modify the terms and conditions thereof in one or more of the following ways:

- 3.4.1 a Formal Written Amendment,
- 3.4.2 a Change Order (pursuant to paragraph 10.4), or
- 3.4.3 a Work Directive Change (pursuant to paragraph 10.1).

As indicated in paragraphs 11.2 and 12.1, Contract Price and Contract Time may only be changed by a Change Order or a Written Amendment.

3.5 In addition, the requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, in one or more of the following ways:

- 3.5.1 a Field Order (pursuant to paragraph 9.5),
- 3.5.2 ENGINEER's approval of a Shop Drawing or sample (pursuant to paragraphs 6.26 and 6.27), or

3.5.3 ENGINEER's written interpretation or clarification (pursuant to paragraph 9.4).

Reuse of Documents:

3.6 Neither CONTRACTOR nor any Subcontractor or Supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with OWNER shall have or acquire any title to or ownership rights in any of the Drawings, Specifications or other documents (or copies of any thereof) prepared by or bearing the seal of ENGINEER; and they shall not reuse any of them on extensions of the Project or any other project without written consent of OWNER and ENGINEER and specific written verification or adaptation by ENGINEER. All drawings, specifications or other documents (or copies of any thereof) are upon completion of the project to become the property of OWNER. Further use thereof without written consent of OWNER is prohibited.

ARTICLE 4. AVAILABILITY OF LANDS: PHYSICAL CONDITIONS: REFERENCE POINTS

Availability of Lands:

4.1 OWNER shall furnish, as indicated in the Contract Documents, the lands upon which the Work is to be performed, rights-of-way and easements for access thereto and such other lands which are designated for the use of CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by OWNER, unless otherwise provided in the Contract Documents. If CONTRACTOR believes that any delay in OWNER's furnishing these lands, rights-of-way or easements entitles CONTRACTOR to an extension of the Contract Time, CONTRACTOR may make a claim therefor as provided in Article 12. CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

Physical Condition:

4.2.1 Explorations and Reports: Reference is made to the Supplementary Conditions for identification of those reports of explorations and tests of subsurface conditions at the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such reports, but not upon nontechnical data, interpretations or opinions contained therein or for the completeness thereof for CONTRACTOR's purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to subsurface conditions at the site.

4.2.2 Existing Structures: Reference is made to the Supplementary Conditions for identification of those drawings of physical conditions in or relating to existing surface or subsurface structures (except Underground Facilities referred to in paragraph 4.3) which are at or contiguous to the site that have been utilized by ENGINEER in preparation of the Contract Documents. CONTRACTOR may rely upon the accuracy of the technical data contained in such drawings, but not for the completeness thereof for CONTRACTOR's purposes. Except as indicated in the immediately preceding sentence and in paragraph 4.2.6, CONTRACTOR shall have full responsibility with respect to physical conditions in or relating to such structures.

4.2.3 Report of Differing Conditions: If CONTRACTOR believes that:

4.2.3.1 any technical data on which CONTRACTOR is entitled to rely as provided in paragraphs 4.2.1 and 4.2.2 is inaccurate, or

4.2.3.2 any physical condition uncovered or revealed at the site differs materially from that indicated, reflected or referred to in the Contract Documents,

CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work in connection therewith (except in an emergency as permitted by paragraph 6.22), notify OWNER and ENGINEER in writing about the inaccuracy or difference.

4.2.4 ENGINEER's Review: ENGINEER will promptly review the pertinent conditions, determine the necessity of obtaining additional explorations or tests with respect thereto and advise OWNER in writing (with a copy to CONTRACTOR) of ENGINEER's findings and conclusions.

4.2.5 Possible Document Change: If ENGINEER concludes that there is a material error in the Contract Documents or that because of newly discovered conditions a change in the Contract Documents is required, a Work Directive Change or a Change Order will be issued as provided in Article 10 to reflect and document the consequences of the inaccuracy or difference.

4.2.6 Possible Price and Time Adjustments: In each such case, an increase or decrease in the Contract Price or an extension or shortening of the Contract Time, or any combination thereof, may be allowable to the extent that they are attributable to any such inaccuracy or difference. If OWNER and CONTRACTOR are unable to agree as to the amount or length thereof, a claim may be made therefor as provided in Articles 11 and 12.

Physical Conditions - Underground Facilities:

4.3.1 Shown or Indicated: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the site is based on information and data furnished to OWNER or ENGINEER by the owners of such Underground Facilities or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:

4.3.1.1. OWNER and ENGINEER shall not be responsible for the accuracy or completeness of any such information or data; and,

4.3.1.2 CONTRACTOR shall have full responsibility for reviewing and checking all such information and data, for locating all Underground Facilities shown or indicated in the Contract Documents, for coordination of the Work with the owners of such Underground Facilities during construction, for the safety and protection thereof as provided in paragraph 6.20 and repairing any damage thereto resulting from the Work, the cost of all of which will be considered as having been included in the Contract Price.

4.3.2 Not Shown or Indicated. If an Underground Facility is uncovered or revealed at or contiguous to the site which was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of, CONTRACTOR shall, promptly after becoming aware thereof and before performing any Work affected thereby (except in an emergency as permitted by paragraph 6.22), identify the owner of such Underground Facility and give written notice thereof to that owner and to OWNER and ENGINEER. ENGINEER will promptly review the Underground Facility to determine the extent to which the Contract Documents should be modified to reflect and document the consequences of the existence of the Underground Facility, and the Contract Documents will be amended or supplemented to the extent necessary. During such time, CONTRACTOR shall be responsible for the safety and protection of such Underground Facility as provided in paragraph 6.20. CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, to the extent that they are attributable to the existence of any Underground Facility that was not shown or indicated in the Contract Documents and which CONTRACTOR could not reasonably have been expected to be aware of. If the parties are unable to agree as to the amount or length thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.

#### Reference Points:

4.4 OWNER shall provide engineering surveys to establish reference points for construction which in ENGINEER's judgment are necessary to enable CONTRACTOR to proceed with the Work. CONTRACTOR shall be responsible for laying out the Work (unless otherwise specified in the General Requirements), shall protect and preserve the established reference points and shall make no changes or relocations without the prior written approval of OWNER. CONTRACTOR shall report to ENGINEER whenever any reference point is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points by professionally qualified personnel.

## ARTICLE 5. BONDS AND INSURANCE

#### Performance and Other Bonds:

5.1 CONTRACTOR shall furnish performance and payment Bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all CONTRACTOR's obligations under the Contract Documents. These bonds shall remain in effect at least until one year after the date when final payment becomes due, except as otherwise provided by Law or Regulation or by the Contract Documents. CONTRACTOR shall also furnish such other Bonds as are required by the Supplementary Conditions. All Bonds shall be in the forms prescribed by Law or Regulation or by the Contract Documents and be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Audit Staff Bureau of Accounts, U.S. Treasury Department. All Bonds signed by an agent must be accompanied by a certified copy of the authority to act.

5.2 If the surety on any Bond furnished by CONTRACTOR is declared a bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the

project is located or it ceases to meet the requirements of paragraph 5.1, CONTRACTOR shall within five days thereafter substitute another Bond or Surety, both of which must be acceptable to OWNER.

Contractor's Liability Insurance:

5.3 CONTRACTOR shall purchase and maintain such comprehensive general liability and other insurance as is appropriate for the Work being performed and furnished and as will provide protection from claims set forth below which may arise out of or result from CONTRACTOR's performance and furnishing of the Work and CONTRACTOR's other obligations under the Contract Documents, whether it is to be performed or furnished by CONTRACTOR, by any Subcontractor, by anyone directly or indirectly employed by any of them to perform or furnish any of the Work, or by anyone for whose acts and/or omissions any of them may be liable:

5.3.1 Claims under workers' or workmen's compensation, disability benefits and other similar employee benefit acts;

5.3.2 Claims for damages because of bodily injury, occupational sickness or disease, or death of CONTRACTOR's employees;

5.3.3 Claims for damages because of bodily injury, sickness or disease, or death of any person other than CONTRACTOR's employees;

5.3.4 Claims for damages insured by personal injury liability coverage which are sustained (a) by any person as a result of an offense directly or indirectly related to the employment of such person by CONTRACTOR, or (b) by any other person for any other reason;

5.3.5 Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom;

5.3.6 Claims arising out of operation of Laws or Regulations for damages because of bodily injury or death of any person or for damage to property; and

5.3.7 Claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.

The insurance required by these paragraphs 5.3 and 5.6 shall include the specific coverages and be written for not less than the limits of liability and coverages provided in the Supplementary Conditions, or required by law, whichever is greater. The comprehensive general liability insurance shall include completed operations insurance. All of the policies of insurance so required to be purchased and maintained (or the certificates or other evidence thereof) shall contain a provision or endorsement that the coverage afforded will not be cancelled, materially changed or renewal refused until at least thirty days' prior written notice has been given to OWNER and ENGINEER by certified mail. All such insurance shall remain in effect until final payment and at all times thereafter when CONTRACTOR may be correcting, removing or replacing defective Work in accordance with paragraph 13.12. In addition, CONTRACTOR shall maintain such completed operations insurance for at least two years after final payment and

furnish OWNER with evidence of continuation of such insurance at final payment and one year thereafter.

Contractual Liability Insurance:

5.4 The comprehensive general liability insurance required by paragraph 5.3 will include contractual liability insurance applicable to CONTRACTOR's obligations under paragraphs 6.30 and 6.31.

Owner's Liability Insurance:

5.5 Owner shall be responsible for purchasing and maintaining OWNER'S own liability insurance and, at OWNER's option, may purchase and maintain such insurance as will protect OWNER against claims which may arise from operations under the Contract Documents.

Property Insurance:

5.6 Unless otherwise provided in the Supplementary Conditions, OWNER shall purchase and maintain property insurance upon the Work at the site to the full insurable value thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall include the interests of OWNER, CONTRACTOR, Subcontractors, ENGINEER and ENGINEER's consultants in the Work, all of whom shall be listed as insureds or additional insured parties, shall insure against the perils of fire and extended coverage and shall include "all risk" insurance for physical loss and damage including theft, vandalism and malicious mischief, collapse and water damage, and such other perils as may be provided in the Supplementary Conditions, and shall include damages, losses and expenses arising out of or resulting from any insured loss or incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers, architects, attorneys and other professionals). If not covered under the "all risk" insurance or otherwise provided in the Supplementary Conditions, CONTRACTOR shall purchase and maintain similar property insurance on portions of the Work stored on and off the site or in transit when such portions of the Work are to be included in an Application for Payment.

5.7 OWNER shall purchase and maintain such boiler and machinery insurance or additional property insurance as may be required by the Supplementary Conditions or Laws and Regulations which will include the interests of OWNER, CONTRACTOR, Subcontractors, ENGINEERS and ENGINEER's consultants in the Work, all of whom shall be listed as insured or additional insured parties.

5.8 All the policies of insurance (or the certificates or other evidence thereof) required to be purchased and maintained by OWNER in accordance with paragraphs 5.6 and 5.7 will contain a provision or endorsement that the coverage afforded will not be cancelled or materially changed or renewal refused until at least thirty days prior written notice has been given to CONTRACTOR by certified mail and will contain waiver provisions in accordance with paragraph 5.11.2.

5.9 OWNER shall not be responsible for purchasing and maintaining any property insurance to protect the interests of CONTRACTORS, Subcontractors or others in the Work to the extent of any deductible amounts that are provided in the Supplementary Conditions. The risk of loss within the deductible amount will be borne by CONTRACTOR. Subcontractor, or others suffering any such loss and if any of them wishes property insurance coverage within the



limits of such amounts, each may purchase and maintain it at the purchaser's own expense.

5.10 If CONTRACTOR requests in writing that other special insurance be included in the property insurance policy, OWNER shall, if possible, include such insurance, and the cost thereof will be charged to CONTRACTOR by appropriate Change Order or Written Amendment. Prior to commencement of Work at the Site, OWNER shall in writing advise CONTRACTOR whether or not such other insurance has been procured by OWNER.

Waiver of Rights:

5.11.1 OWNER and CONTRACTOR waive all rights against each other for all losses and damages caused by any of the perils covered by the policies of insurance provided in response to paragraph 5.6 and 5.7 and any other property insurance applicable to the Work, and also waives all such rights against the Subcontractors. ENGINEER, ENGINEER's consultants and all other parties named as insureds in such policies for losses and damages so caused. As required by paragraph 6.11, each subcontract between CONTRACTOR and a Subcontractor will contain similar waiver provisions by the Subcontractor in favor of OWNER, CONTRACTOR, ENGINEER, ENGINEER's consultants and all other parties named as insureds. None of the above waivers shall extend to the rights that any of the insured parties may have to the proceeds of insurance held by Owner as trustee or otherwise payable under any policy is issued.

5.11.2 OWNER and CONTRACTOR intend that any policies provided in response to paragraph 5.6 and 5.7 shall protect all of the parties insured and provide primary coverage for all losses and damages caused by the perils covered thereby. Accordingly, all such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any of the parties named as insureds or additional insureds, and if the insurers require separate waiver forms to be signed by ENGINEER or ENGINEER's consultant or any Subcontractor, CONTRACTOR will obtain the same, and if such waiver forms are required of any Subcontractor, CONTRACTOR will obtain the same.

Receipt and Application of Proceeds:

5.12. Any insured loss under the policies of insurance required by paragraphs 5.6 and 5.7 will be adjusted with OWNER and made payable to OWNER as trustee for the insureds, as their interests may appear, subject to the requirements of any applicable mortgage clause and of paragraph 5.13. OWNER shall deposit in a separate account any money so received, and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreements is reached the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof and the Work and the cost thereof covered by an appropriate Change Order or Written Amendment.

5.13. OWNER as trustee shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within fifteen days after the occurrence of loss to OWNER's exercise of this power. If such objection be made, OWNER as trustee shall make settlement with the insurers in accordance with such agreement as the parties in interest may reach. If required in writing by any party in interest, OWNER as trustee shall, upon the occurrence of any insured loss, give bond for the proper performance of such duties.

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Acceptance of Insurance:

5.14. If OWNER has any objection to the coverage afforded by or other provisions of the insurance required to be purchased and maintained by CONTRACTOR in accordance with paragraphs 5.3 and 5.4 on the basis of its not complying with the Contract Documents, OWNER shall notify CONTRACTOR in writing thereof within ten days of the date of delivery of such certificates to OWNER in accordance with paragraph 2.7. If CONTRACTOR has any objection to the coverage afforded by or other provisions of the policies of insurance required to be purchased and maintained by OWNER, in accordance with paragraphs 5.6 and 5.7 on the basis of their not complying CONTRACTOR shall notify OWNER in writing thereof within ten days of the date of delivery of such certificates to CONTRACTOR in accordance with paragraph 2.7. OWNER and CONTRACTOR shall each provide to the other such additional information in respect of insurance provided by each as the other may reasonably request. Failure by OWNER or CONTRACTOR to give any such notice of objection within the time provided shall constitute acceptance of such insurance purchased by the other as complying with the Contract Documents.

Partial Utilization - Property Insurance:

5.15. If OWNER finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, such use or occupancy may be accomplished in accordance with paragraph 14.10 provided that no such use or occupancy shall commence before the insurers providing the property insurance have acknowledged notice thereof and in writing effected the changes in coverage necessitated thereby. The insurers providing the property insurance shall consent to such use or occupancy by endorsement on the policy or policies, but the property insurance shall not be cancelled or lapse on account of any such partial use or occupancy.

ARTICLE 6. CONTRACTOR'S RESPONSIBILITIES

Supervision and Superintendence:

6.1. CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction, but CONTRACTOR shall not be responsible for the negligence of others in the design or selection of a specific means, method, technique, sequence or procedure of construction which is indicated in and required by the Contract Documents. CONTRACTOR shall be responsible to see that the finished Work complies accurately with the Contract Documents.

6.2. CONTRACTOR shall keep on the Work at all times during its progress a competent resident superintendent, who shall not be replaced without written notice to OWNER and ENGINEER except under extraordinary circumstances. The superintendent will be CONTRACTOR's representative at the site and shall have authority to act on behalf of CONTRACTOR. All communications given to the superintendent shall be as binding as if given to CONTRACTOR.

Labor, Materials and Equipment:

6.3. CONTRACTOR shall provide competent, suitably qualified personnel to survey

and lay out the Work and perform construction as required by the Contract Documents. CONTRACTOR shall at all times maintain good discipline and order at the site. Except in connection with the safety or protection of persons or the Work or property at the site or adjacent thereto, and except as otherwise indicated in the Contract Documents, all Work at the site shall be performed during regular working hours, and CONTRACTOR will not permit overtime work or the performance of Work on Saturday, Sunday or any legal holiday without OWNER's written consent given after prior written notice to ENGINEER.

6.4. Unless otherwise specified in the General Requirements, CONTRACTOR shall furnish and assume full responsibility for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and completion of the Work.

6.5. All materials and equipment shall be of good quality and new, except as otherwise provided in the Contract Documents. If required by ENGINEER, CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be effective to assign to ENGINEER, or any of ENGINEER's consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9.15 or 9.16.

Adjusting Progress Schedule:

6.6. CONTRACTOR shall submit to ENGINEER for acceptance (to the extent indicated in paragraph 2.9) adjustments in the progress schedule to reflect the impact thereon of new developments; these will conform generally to the progress schedule then in effect and additionally will comply with any provisions of the General Requirements applicable thereto.

Substitutes or "Or-Equal" Items:

6.7.1. Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier the naming of the item is intended to establish the type, function and quality required. Unless the name is followed by words indicating that no substitution is permitted, materials or equipment of other Suppliers may be accepted by ENGINEER if sufficient information is submitted by CONTRACTOR to allow ENGINEER to determine that the material or equipment proposed is equivalent or equal to that named. The procedure for review by ENGINEER will include the following as supplemented in the General Requirements. Requests for review of substitute items of material and equipment will not be accepted by ENGINEER from anyone other than CONTRACTOR. If CONTRACTOR wishes to furnish or use a substitute item of material or equipment, CONTRACTOR shall make written application to ENGINEER for acceptance thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as that specified. The application will state that the evaluation and acceptance of the proposed substitute will not prejudice CONTRACTOR's achievement of

Substantial Completion on time, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with OWNER for work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty. All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by ENGINEER in evaluating the proposed substitute. ENGINEER may require CONTRACTOR to furnish at CONTRACTOR's expense additional data about the proposed substitute.

6.7.2. If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, CONTRACTOR may furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to ENGINEER, if CONTRACTOR submits sufficient information to allow ENGINEER to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by ENGINEER will be similar to that provided in paragraph 6.7.1 as applied by ENGINEER and as may be supplemented in the General Requirements.

6.7.3. ENGINEER will be allowed a reasonable time within which to evaluate each proposed substitute. ENGINEER will be the sole judge of acceptability, and no substitute will be ordered, installed or utilized without ENGINEER's prior written acceptance which will be evidenced by either a Change Order or an approved Shop Drawing. OWNER may require CONTRACTOR to furnish at CONTRACTOR's expense a special performance guaranty or other surety with respect to any substitute. ENGINEER will record time required by ENGINEER and ENGINEER's consultants in evaluating substitutions proposed by CONTRACTOR and in making changes in the Contract Documents occasioned thereby. Whether or not ENGINEER accepts a proposed substitute. CONTRACTOR shall reimburse OWNER for the charges of ENGINEER and ENGINEER's consultants for evaluating each proposed substitute.

Concerning Subcontractors, Suppliers and Others:

6.8.1. CONTRACTOR shall not employ any Subcontractor, Supplier or other person or organization (including those acceptable to OWNER and ENGINEER as indicated in paragraph 6.8.2), whether initially or as a substitute, against whom OWNER or ENGINEER may have reasonable objection. CONTRACTOR shall not be required to employ any Subcontractor, Supplier or other person or organization to furnish or perform any of the Work against whom CONTRACTOR has reasonable objection.

6.8.2. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers or other persons or organizations (including those who are to furnish the principal items of materials and equipment) to be submitted to OWNER in advance of the specified date prior to the Effective Date of the Agreement for acceptance by OWNER and ENGINEER and if CONTRACTOR has submitted a list thereof in accordance with the Supplementary Conditions, OWNER's or ENGINEER's acceptance (either in writing or by failing to make written objection thereto by the date indicated for

acceptance or objection in the bidding documents or the Contractor Documents) of any such Subcontractor, Supplier or other person or organization so identified may be revoked on the basis of reasonable objection after due investigation, in which case CONTRACTOR shall submit an acceptable substitute, the Contract Price may be increased by the difference in the cost occasioned by such substitution and an appropriate Change Order will be issued or Written Amendment signed. All increases or decreases in the Contract Price shall be governed by all state and local statutes, codes, laws, ordinances, rules and regulations governing competitive bidding and Change Orders. No acceptance by OWNER or ENGINEER of any such Subcontractor, Supplier or other person or organization shall constitute a waiver of any right of OWNER or ENGINEER to reject defective Work.

6.9. CONTRACTOR shall be fully responsible to OWNER and ENGINEER for all acts and/or omissions of the Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and/or omissions. Nothing in the Contract Documents shall create any contractual relationship between OWNER or ENGINEER and any such Subcontractor, Supplier or other person or organization, nor shall it create any obligation on the part of OWNER or ENGINEER to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by Laws and Regulations.

6.10. The divisions and sections of the Specifications and the identifications of any Drawings shall not control CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

6.11. All Work performed for CONTRACTOR by a Subcontractor will be pursuant to an appropriate agreement between CONTRACTOR and the Subcontractor which specifically binds the Subcontractor to the applicable terms and conditions of the Contract Documents for the benefit of OWNER and ENGINEER and contains waiver provisions as required by paragraph 5.11. CONTRACTOR shall pay each Subcontractor a just share of any insurance moneys received by CONTRACTOR on account of losses under policies issued pursuant to paragraphs 5.6 and 5.7.

#### Patent Fees and Royalties:

6.12. CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of OWNER or ENGINEER its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by OWNER in the Contract Documents. CONTRACTOR shall indemnify and hold harmless OWNER and ENGINEER and anyone directly or indirectly employed by either of them from and against claims, damages, losses and expenses (including attorneys' fees and court costs) arising out of any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product or device not specified in the Contract Documents, and shall defend all such claims in connection with any alleged infringement of such rights.

Permits:

6.13. Unless otherwise provided in the Supplementary Conditions, CONTRACTOR shall obtain and pay for all construction permits and licenses. OWNER shall assist CONTRACTOR, when necessary, in obtaining such permits and licenses. CONTRACTOR shall pay all governmental charges and inspection fees necessary for the prosecution of the Work, which are applicable at the time of opening of Bids, or if there are no Bids on the Effective Date of the Agreement. CONTRACTOR shall pay all charges of utility owners for connections to the Work, and OWNER shall pay all charges of such utility owners for capital costs related thereto such as plant investment fees.

Laws and Regulations:

6.14.1. CONTRACTOR shall give all notices and comply with all Laws and Regulations applicable to furnishing and performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither OWNER nor ENGINEER shall be responsible for monitoring CONTRACTOR's compliance with any Laws or Regulations.

6.14.2. If CONTRACTOR observes that the Specifications or Drawings are at variance with any Laws or Regulations. CONTRACTOR shall give ENGINEER prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 3.4. If CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such Laws or Regulations, and without such notice to ENGINEER, CONTRACTOR shall bear all costs arising therefrom; however, it shall not be CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings are in accordance with such Laws and Regulations.

Taxes:

6.15. CONTRACTOR shall pay all sales, consumer, use and other similar taxes required to be paid by CONTRACTOR in accordance with the Laws and Regulations of the Place of the Project which are applicable during the performance of the Work.

Use of Premises:

6.16. CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of workers to the Project site and land and areas identified in and permitted by the Contract Documents and other land and areas permitted by Laws and Regulations, rights-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or any of the land or areas contiguous thereto, resulting from the performance of the Work. Should any claim be made against OWNER or ENGINEER by any such owner or occupant because of the performance of the Work, CONTRACTOR shall promptly attempt to settle with such other party by agreement or otherwise resolve the claim by arbitration or at law. CONTRACTOR shall, to the fullest extent permitted by Laws and Regulations, indemnify, hold OWNER and ENGINEER harmless from and against all claims, damages, losses and expenses (including, but not limited to, fees of engineers, architects, attorneys and other professionals and court and arbitration costs) arising directly, indirectly or consequentially out of any action, legal or

equitable, brought by any such other party against OWNER or ENGINEER to the extent based on a claim arising out of CONTRACTOR's performance of the Work.

6.17. During the progress of the Work, CONTRACTOR shall keep the premises free from accumulations of waste materials, rubbish and other debris resulting from the Work. At the completion of the Work, CONTRACTOR shall remove all waste materials, rubbish and debris from and about the premises as well as all tools, appliances, construction equipment and machinery, and surplus materials, and shall leave the site clean and ready for occupancy by OWNER. CONTRACTOR shall restore to original condition all property not designated for alteration by the Contract Documents.

6.18. CONTRACTOR shall not load or permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

Record Documents:

6.19. CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Written Amendments, Change Orders, Work Directive Changes, Field Orders and written interpretations and clarifications (issued pursuant to paragraph 9.4) in good order and annotated to show all changes made during construction. These record documents, together with all approved samples and a counterpart of all approved Shop Drawings, will be available to ENGINEER for reference. Upon completion of the Work, these record documents, samples and Shop Drawings will be delivered to ENGINEER for OWNER.

Safety and Protection:

6.20. CONTRACTOR shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

6.20.1. all employees on the Work and other persons and organizations who may be affected thereby;

6.20.2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and

6.20.3. other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities and Underground Facilities not designated for removal, relocation or replacement in the course of construction.

CONTRACTOR shall comply with all applicable Laws and Regulations of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. CONTRACTOR shall notify owners of adjacent property of Underground Facilities and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property referred to in paragraph 6.20.2 or 6.20.3 caused, directly or indirectly, in whole or in

part, by OWNER and ENGINEER, and by CONTRACTOR, any Subcontractor, Supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by CONTRACTOR. CONTRACTOR's duties and responsibilities for the safety and protection of the Work shall continue until such time as all the Work is completed and ENGINEER has issued a notice to OWNER and CONTRACTOR in accordance with paragraph 14.13 that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

6.21. CONTRACTOR shall designate a responsible representative at the site whose duty shall be the prevention of accidents. This person shall be CONTRACTOR's superintendent unless otherwise designated in writing by CONTRACTOR to OWNER.

#### Emergencies:

6.22. In emergencies affecting the safety or protection of persons or the Work or property at the site or adjacent thereto, CONTRACTOR, without special instruction or authorization from ENGINEER or OWNER, is obligated to act to prevent threatened damage, injury or loss. CONTRACTOR shall give ENGINEER prompt written notice if CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby. If ENGINEER determines that a change in the Contract Documents is required because of the action taken in response to an emergency, a Work Directive Change or Change order will be issued to document the consequences of the changes or variations.

#### Shop Drawings and Samples:

6.23. Not Used

6.24. Not Used

6.25. Not Used

6.26. ENGINEER will review and approve with reasonable promptness Shop Drawings and samples, but ENGINEER's review and approval will be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents and shall not extend to means, methods, techniques, sequences or procedures of construction (except where a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents) or to safety precautions or programs incidents thereto. The review and approval of a separate item as such will not indicate approval of a separate item as such will not indicate approval of the assembly in which the item functions. CONTRACTOR shall make corrections required by ENGINEER and shall return the required number of corrected copies of Shop Drawings and submit as required new samples for review and approval. CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by ENGINEER on previous submittals.

6.27. ENGINEER's review and approval of Shop Drawings or samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless CONTRACTOR has in writing called ENGINEER's attention to each such variation at the time of submission as required by paragraph 6.25.2 and ENGINEER has given written approval of each such variation by a specific written notation thereof incorporated in or accompanying the Shop Drawings or sample approval; nor will any approval by ENGINEER



relieve CONTRACTOR from responsibility for errors or omissions in the Shop Drawings or from responsibility for having complied with the provisions of paragraph 6.25.1

6.28. Where a Shop Drawing or sample is required by the Specifications, any related Work performed prior to ENGINEER's review and approval of the pertinent submission will be the sole expense and responsibility of CONTRACTOR.

Continuing the Work:

6.29. CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with OWNER. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by paragraph 15.5 or as CONTRACTOR and OWNER may otherwise agree in writing.

Indemnification:

6.30. To the fullest extent permitted by Laws and Regulations, CONTRACTOR shall indemnify and hold harmless OWNER and ENGINEER and their consultants, agents and employees from and against all claims, damages, losses and expenses, direct, indirect or consequential (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) arising out of or resulting from the performance of the Work, provided that any such claim, damage, loss or expense (a) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than work itself) including the loss of use resulting therefrom and (b) is caused in whole or in part by any negligent act or omission of CONTRACTOR, any Subcontractor, any person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, or regardless of whether or not it is caused in part by a party indemnified hereunder or arises by or is imposed by Law and Regulations regardless of the negligence of any such party.

6.31. In any and all claims against OWNER or ENGINEER or any of their consultants, agents or employees by any employee of CONTRACTOR, any Subcontractor, any person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, the indemnification obligation under paragraph 6.30 shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for CONTRACTOR or any such Subcontractor or other person or organization under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts.

6.32. The obligations of CONTRACTOR under paragraph 6.30 shall not extend to the liability of ENGINEER, ENGINEER's consultants, agents or employees arising out of the preparation or approval of maps, drawings, opinions, reports, surveys, Change Orders, designs or specifications.

## ARTICLE 7 - OTHER WORK

Related Work at Site:

7.1. OWNER may perform other work related to the Project at the site by OWNER's own forces, have other work performed by utility owners or let other direct contracts therefor which shall contain General Conditions similar to these. If the fact that such other work is to be performed was not noted in the Contract Documents, written notice thereof will be given to CONTRACTOR prior to starting any such other work; and, if CONTRACTOR believes that such performance will involve additional expense to CONTRACTOR or requires additional time and the parties are unable to agree as to the extent thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.

7.2. CONTRACTOR shall afford each utility owner and other contractor who is a party to such a direct contract (or OWNER, if OWNER is performing the additional work with OWNER's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the Work with theirs, CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work. CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter their work with the written consent of ENGINEER and the others whose work will be affected. The duties and responsibilities of CONTRACTOR under this paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of CONTRACTOR in said direct contracts between OWNER and such utility owners and other contractors.

7.3. If any part of CONTRACTOR's Work depends for proper execution or results upon the work of any such other contractor or utility owner (or OWNER), CONTRACTOR shall inspect and promptly report to ENGINEER in writing any delays, defects or deficiencies in such work that renders it unavailable or unsuitable for such proper execution and results. CONTRACTOR's failure so to report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR's Work except for latent or nonapparent defects and deficiencies in the other work.

Coordination:

7.4. If OWNER contracts with others for the performance of other work on the Project at the site, the person or organization who will have authority and responsibility for coordination of the activities among the various prime contractors will be identified in the Supplementary Conditions, and the specific matters to be covered by such authority and responsibility will be itemized, and the extent of such authority and responsibilities will be provided, in the Supplementary Conditions. Unless otherwise provided in the Supplementary Conditions, neither OWNER nor ENGINEER shall not have any authority or responsibility in respect of such coordination.

## ARTICLE 8 - OWNER'S RESPONSIBILITIES

8.1. OWNER shall issue all communications to CONTRACTOR through ENGINEER.

8.2. In case of termination of the employment of ENGINEER, OWNER shall appoint an engineer against whom CONTRACTOR makes no reasonable objection, whose status under

the Contract Documents shall be that of the former ENGINEER. Any dispute in connection with such appointment shall be subject to arbitration.

8.3. OWNER shall furnish the data required of OWNER under the Contract Documents promptly and shall make payments to CONTRACTOR promptly after they are due as provided in paragraphs 14.4 and 14.13.

8.4. OWNER's duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in paragraphs 4.1 and 4.4. Paragraph 4.2 refers to OWNER's identifying and making available to CONTRACTOR copies of reports of explorations and tests of subsurface conditions at the site and in existing structures which have been utilized by ENGINEER in preparing the Drawings and Specifications.

8.5. OWNER's responsibility in respect of purchasing and maintaining liability and property insurance are set forth in paragraphs 5.5 through 5.6.

8.6. OWNER is obligated to execute Change Orders as indicated in paragraph 10.4.

8.7. OWNER's responsibility in respect of certain inspections, tests and approvals is set forth in paragraph 13.4.

8.8. In connection with OWNER's right to stop Work or suspend Work, see paragraphs 13.10 and 15.1. Paragraph 15.2 deals with OWNER's right to terminate services of CONTRACTOR under certain circumstances.

## ARTICLE 9 -ENGINEERS STATUS DURING CONSTRUCTION

### Owner's Representative:

9.1. ENGINEER will be OWNER's representative during the construction period. The duties and responsibilities and the limitations of authority of ENGINEER as OWNER's representative during construction are set forth in the Contract Documents and shall not be extended without written consent of OWNER and ENGINEER.

### Visits to Site:

9.2. ENGINEER will make visits to the site at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. ENGINEER will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. ENGINEER's efforts will be directed toward providing for OWNER a greater degree of confidence that the completed Work will conform to the Contract Documents. On the basis of such visits and on-site observations as an experienced and qualified design professional, ENGINEER will keep OWNER informed of the progress of the Work and will endeavor to guard OWNER against defects and deficiencies in the Work.

### Project Representation:

9.3. If OWNER and ENGINEER agree, ENGINEER will furnish a Resident Project

Representative to assist ENGINEER in observing the performance of the Work. The duties, responsibilities and limitations of authority of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions. If OWNER designates another agent to represent OWNER at the site who is not ENGINEER's agent or employee, the duties, responsibilities and limitations of authority of such other person will be as provided in the Supplementary Conditions.

Clarifications and Interpretations:

9.4. ENGINEER, after consultation with OWNER, will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents (in the form of Drawings or otherwise) as ENGINEER may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents. If CONTRACTOR believes that a written clarification or interpretation justifies an increase in the Contract Price or an extension of the Contract Time and the parties are unable to agree to the amount or extent thereof, CONTRACTOR may make a claim therefor as provided in Article 11 or Article 12.

Authorized Variations in Work:

9.5. ENGINEER may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents. These may be accomplished by a Field Order and will be binding on OWNER, and also on CONTRACTOR who shall perform the Work involved promptly. If CONTRACTOR believes that a Field Order justifies an increase in the Contract Price or an extension of the Contract Time, CONTRACTOR may make a claim therefor as provided in Article 11 or 12.

Rejecting Defective Work:

9.6. ENGINEER will have the authority to disapprove or reject Work which ENGINEER believes to be defective, and will also have authority to require special inspection or testing of the Work as provided in paragraph 13.9, whether or not the Work is fabricated, installed or completed.

Shop Drawings, Change Orders and Payments:

9.7. In connection with ENGINEER's responsibility for Shop Drawings and samples, see paragraphs 6.23 through 6.28 inclusive.

9.8. In connection with ENGINEER's responsibilities as to Change Orders, see Articles 10, 11 and 12.

9.9. In connection with ENGINEER's responsibilities in respect of Applications for Payment, etc., see Article 14.

Determinations for Unit Prices:

9.10. ENGINEER will determine the actual quantities and classifications of Unit Price Work performed by CONTRACTOR. ENGINEER will review with CONTRACTOR ENGINEER's

preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). ENGINEER's written decisions thereon will be final and binding upon OWNER and CONTRACTOR, unless, within ten days after the date of any such decision, either OWNER or CONTRACTOR delivers to the other party to the Agreement and to ENGINEER written notice of intention to appeal from such a decision.

Decisions on Disputes:

9.11. ENGINEER will be the interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. Claims, disputes and other matters relating to the acceptability of the Work or the interpretation of the requirements of the Contract Documents pertaining to the performance and furnishing of the Work and claims under Articles 11 and 12 in respect of changes in the Contract Price or Contract Time will be referred initially to ENGINEER in writing with a request for a formal decision in accordance with this paragraph, which ENGINEER will render in writing within a reasonable time. Written notice of each such claim, dispute and other matter will be delivered by the claimant to ENGINEER and the other party to the Agreement promptly (but in no event later than thirty days) after the occurrence of the event giving rise thereto, and written supporting data will be submitted to ENGINEER and the other party within sixty days after such occurrence unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim.

9.12. When functioning as interpreter and judge under paragraphs 9.10 and 9.11, ENGINEER will not be liable in connection with any interpretation or decision rendered in good faith in such capacity. The rendering of a decision by ENGINEER pursuant to paragraphs 9.10 and 9.11 with respect to any such claim, dispute or other matter (except any which have been waived by the making or acceptance of final payment as provided in paragraph 14.16) will be a condition precedent to any exercise by OWNER or CONTRACTOR of such rights or remedies as either may otherwise have under the Contract Documents or by Laws or Regulations in respect of any such claim, dispute or other matter.

Limitations on ENGINEER's Responsibilities:

9.13. Neither ENGINEER's authority to act under this Article 9 or elsewhere in the Contract Documents nor any decision made by ENGINEER in good faith either to exercise or not exercise such authority shall give rise to any duty or responsibility of ENGINEER to CONTRACTOR, and Subcontractor, any Supplier, or any other person or organization performing any of the Work, or to any surety for any of them.

9.14. Whenever in the Contract Documents the term "as ordered", "as directed", "as required", "as allowed", "as approved" or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper" or "satisfactory" or adjectives of like effect or import are used to describe a requirement, direction, review or judgment of ENGINEER as to the Work, it is intended that such requirement, direction, review or judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective shall not be effective to assign to ENGINEER any duty to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 9.15 or 9.16.

9.15. ENGINEER will not be responsible for CONTRACTOR's means, methods, techniques, sequences or procedures of construction, or the safety precautions and programs

incident thereto and ENGINEER will not be responsible for CONTRACTOR's failure to perform or furnish the Work in accordance with the Contract Documents.

9.16. ENGINEER will not be responsible for the acts and/or omissions of CONTRACTOR or of any Subcontractor, any Supplier, or of any other person or organization performing or furnishing any of the Work.

#### ARTICLE 10 - CHANGES IN THE WORK

10.1. Without invalidating the Agreement and without notice to any surety, OWNER may, at any time or from time to time, order additions, deletions or revisions in the Work; these will be authorized by a Written Amendment, a Change Order, or a Work Directive Change. Upon receipt of any such document, CONTRACTOR shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).

10.2. If OWNER and CONTRACTOR are unable to agree as to the extent, if any, of an increase or decrease in the Contract Price or an extension or shortening of the Contract Time that should be allowed as a result of a Work Directive Change, a claim may be made therefor as provided in Article 11 or Article 12.

10.3. CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any Work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in paragraphs 3.4 and 3.5, except in the case of an emergency as provided in paragraph 6.22 and except in the case of uncovering Work as provided in paragraph 13.9.

10.4. OWNER and CONTRACTOR shall execute appropriate Change Orders (or Written Amendments) covering:

10.4.1. changes in the Work which are ordered by OWNER pursuant to paragraph 10.1, are required because of acceptance of defective Work under paragraph 13.13 or correcting defective Work under paragraph 13.14, or are agreed to by the parties;

10.4.2. changes in the Contract Price or Contract Time which are agreed to by the parties; and

10.4.3. changes in the Contract Price or Contract Time which embody the substance of any written decision rendered by ENGINEER pursuant to paragraph 9.11;

provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, CONTRACTOR shall carry on the Work and adhere to the progress schedule as provided in paragraph 6.29.

10.5. If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Time) is required by the provisions of any Bond to be given to a surety, the giving of any such notice will be CONTRACTOR'S responsibility, and the amount of each applicable Bond will be

adjusted accordingly.

## ARTICLE 11 - CHANGE OF CONTRACT PRICE

11.1. The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to CONTRACTOR for performing the Work. All duties, responsibilities and obligations assigned to or undertaken by CONTRACTOR shall be at his expense without change in the Contract Price.

11.2. The Contract price may only be changed by a Change Order or by a Written Amendment. Any claim for an increase or decrease in the Contract Price shall be based on written notice delivered by the party making the claim to the other party promptly and to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the amount of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by claimant's written statement that the amount claimed covers all known amounts (direct, indirect and consequential) to which the claimant is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Price shall be determined by ENGINEER in accordance with paragraph 9.11 if OWNER and CONTRACTOR cannot otherwise agree on the amount involved. No claim for an adjustment in the Contract Price will be valid if not submitted in accordance with this paragraph 11.2.

11.3. The value of any Work covered by a Change Order or of any claim for an increase or decrease in the Contract Price shall be determined in one of the following ways:

11.3.1. Where the Work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved (subject to the provisions of paragraphs 11.9.1. through 11.9.3. inclusive).

11.3.2. By mutual acceptance of a lump sum (which may include an allowance for overhead and profit not necessarily in accordance with paragraph 11.6.2.1).

11.3.3. On the basis of the Cost of the Work (determined as provided in paragraphs 11.4 and 11.5) plus a CONTRACTOR's Fee for overhead and profit (determined as provided in paragraphs 11.6 and 11.7).

### Cost of the Work:

11.4. The term Cost of the Work means the sum of all costs necessarily incurred and paid by CONTRACTOR in the proper performance of the Work. Except as otherwise may be agreed to in writing by OWNER, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items and shall not include any of the costs itemized in paragraph 11.5:

11.4.1. Payroll costs for employees in the direct employ of CONTRACTOR in the performance of the Work under schedules of job classifications agreed upon by OWNER and CONTRACTOR. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe

benefits which shall include social security contributions, unemployment, excise and payroll taxes, workers' or workmen's compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. Such employees shall include superintendents and foremen at the site. The expenses of performing Work after regular working hours, on Saturday, Sunday or legal holidays, shall be included in the above to the extent authorized by OWNER.

11.4.2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to CONTRACTOR unless OWNER deposits funds with CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to OWNER. All trade discounts, rebates and refunds and all returns from sale of surplus materials and equipment shall accrue to OWNER, and CONTRACTOR shall make provisions so that they may be obtained.

11.4.3. Payments made by CONTRACTOR to the Subcontractors for Work performed by Subcontractors. If required by OWNER, CONTRACTOR shall obtain competitive bids from Subcontractors acceptable to CONTRACTOR and shall deliver such bids to OWNER who will then determine which bid will be accepted. If a subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work Plus a Fee, the Subcontractor's Cost of the Work shall be determined in the same manner as CONTRACTOR's Cost of the Work. All subcontracts shall be subject to the other provisions of the Contract Documents insofar as applicable.

11.4.4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys and accountants) employed for services specifically related to the Work.

11.4.5. Supplemental costs including the following:

11.4.5.1. The proportion of necessary transportation, travel and subsistence expenses of CONTRACTOR's employees incurred in discharge of duties connected with the Work.

11.4.5.2. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office and temporary facilities at the site and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost less market value of such items used but not consumed which remain the property of CONTRACTOR.

11.4.5.3. Rentals of all construction equipment and machinery and the parts thereof whether rented from CONTRACTOR or others in accordance with rental agreements approved by OWNER with the advice of ENGINEER, and the costs of transportation, loading, unloading, installation, dismantling and removal thereof--all in accordance with terms of said rental agreements. The rental of any such equipment, machinery or parts shall cease when the use thereof is no longer necessary for the Work.



11.4.5.4. Sales, consumer, use or similar taxes related to the Work, and for which CONTRACTOR is liable, imposed by Laws and Regulations.

11.4.5.5. Deposits lost for causes other than negligence of CONTRACTOR, any Subcontractor or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.

11.4.5.6. Losses and damages (and related expenses), not compensated by insurance or otherwise, to the Work or otherwise sustained by CONTRACTOR in connection with the performance and furnishing of the Work (except losses and damages within the deductible amounts of property insurance established by OWNER in accordance with paragraph 5.9), provided they have resulted from causes other than the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of OWNER. No such losses, damages and expenses shall be included in the Cost of the Work for the purpose of determining CONTRACTOR's Fee. If, however, any such loss or damage requires reconstruction and CONTRACTOR is placed in charge thereof, CONTRACTOR shall be paid for services a fee proportionate to that stated in paragraph 11.6.2.

11.4.5.7. The cost of utilities, fuel and sanitary facilities at the site.

11.4.5.8. Minor expenses such as telegrams, long distance telephone calls, telephone service at the site, expressage and similar petty cash items in connection with the Work.

11.4.5.9. Cost of premiums for additional Bonds and insurance required because of changes in the Work and premiums for property insurance coverage within the limits of the deductible amounts established by OWNER in accordance with paragraph 5.9.

11.5. The term Cost of the Work shall not include any of the following:

11.5.1. Payroll costs and other compensation of CONTRACTOR's officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR's principal or a branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 11.4.1 or specifically covered by paragraph 11.4.4--all of which are to be considered administrative costs covered by the CONTRACTOR's Fee.

11.5.2. Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the site.

11.5.3. Any part of CONTRACTOR's capital expenses, including interest on CONTRACTOR's capital employed for the Work and charges against CONTRACTOR for delinquent payments.

11.5.4. Cost of premiums for all Bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by subparagraph 11.4.5.9 above).

11.5.5. Costs due to the intentional and/or negligent acts and/or omissions of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts and/or omissions any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied and making good any damage to property.

11.5.6. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraph 11.4.

CONTRACTOR's Fee:

11.6. The CONTRACTOR's Fee allowed to CONTRACTOR for overhead and profit shall be determined as follows:

11.6.1 a mutually acceptable fixed fee; or if none can be agreed upon.

11.6.2 a fee based on the following percentages of the various portions of the Cost of the Work:

11.6.2.1. for costs incurred under paragraphs 11.4.1 and 11.4.2, the CONTRACTOR's Fee shall be fifteen percent;

11.6.2.2. for costs incurred under paragraph 11.4.3, the CONTRACTOR's Fee shall be five percent; and if a subcontract is on the basis of Cost of the Work Plus a Fee, the maximum allowable to CONTRACTOR on account of overhead and profit of all Subcontractors shall be fifteen percent;

11.6.2.3. no fee shall be payable on the basis of costs itemized under paragraphs 11.4.4, 11.4.5 and 11.5;

11.6.2.4. the amount of credit to be allowed by CONTRACTOR to OWNER for any such change which results in a net decrease in cost will be the amount of the actual net decrease plus a deduction in CONTRACTOR's Fee by an amount equal to ten percent of the net decrease; and

11.6.2.5. when both additions and credits are involved in any one change, the adjustment in CONTRACTOR'S Fee shall be computed on the basis of the net change in accordance with paragraphs 11.6.2.1 through 11.6.2.4, inclusive.

11.7. Whenever the cost of any Work is to be determined pursuant to paragraph 11.4 or 11.5, CONTRACTOR will submit in form acceptable to ENGINEER an itemized cost breakdown together with supporting data.

Cash Allowances:

11.8. It is understood that CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be done by such Subcontractors or Suppliers and for such sums within the limit of the allowances as may be acceptable to ENGINEER. CONTRACTOR agrees that:

11.8.1. The allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the site, and all applicable taxes; and

11.8.2. CONTRACTOR's costs for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances. No demand for additional payment on account of any thereof will be valid.

Prior to final payment an appropriate Change Order will be issued as recommended by ENGINEER to reflect actual amounts due CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

Unit Price Work:

11.9.1. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the established unit prices for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by CONTRACTOR will be made by ENGINEER in accordance with Paragraph 9.10.

11.9.2. Each unit price will be deemed to include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.

11.9.3. Where the quantity of any item of Unit Price Work performed by CONTRACTOR differs materially and significantly from the estimated quantity of such item indicated in the Agreement and there is no corresponding adjustment with respect to any other item of Work and if CONTRACTOR believes that CONTRACTOR has incurred additional expense as a result thereof. CONTRACTOR may make a claim for an increase in the Contract Price in accordance with Article 11 if the parties are unable to agree as to the amount of any such increase.

ARTICLE 12 - CHANGE OF CONTRACT TIME

12.1. The Contract Time may only be changed by a Change Order or a Written Amendment. Any claim for an extension or shortening of the Contract Time shall be based on written notice delivered by the party making the claim to the other party and to ENGINEER promptly (but in no event later than thirty days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the extent of the claim with supporting data shall be delivered within sixty days after such occurrence (unless ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by the claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant has reason to believe it is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Time shall be determined by ENGINEER in accordance with paragraph 9.11 if OWNER and CONTRACTOR cannot otherwise agree. No claim for an adjustment in the Contract Time will be valid if not submitted in accordance with the requirements of this paragraph 12.1.

12.2. The Contract Time will be extended in an amount equal to time lost due to delays beyond the control of CONTRACTOR if a claim is made therefor as provided in paragraph 12.1. Such delays shall include, but not be limited to, acts or neglect by OWNER or others performing additional work as contemplated by Article 7, or to fires, floods, labor disputes, epidemics, abnormal weather conditions or acts of God.

12.3. All time limits stated in the Contract Documents are of the essence of the Agreement. The provisions of this Article 12 shall not exclude recovery for damages (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court costs) for delay by either party.

#### ARTICLE 13 - WARRANTY AND GUARANTEE; TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

##### Warranty and Guarantee:

13.1. CONTRACTOR warrants and guarantees to OWNER and ENGINEER that all Work will be in accordance with the Contract Documents and will not be defective. Prompt notice of all defects shall be given to CONTRACTOR. All defective Work, whether or not in place, may be rejected, corrected or accepted as provided in this Article 13.

##### Access to Work:

13.2. ENGINEER and ENGINEER's representatives, other representatives of OWNER, testing agencies and governmental agencies with jurisdictional interests will have access to the Work at reasonable times for their observation, inspecting and testing. CONTRACTOR shall provide proper and safe conditions for such access.

##### Tests and Inspections:

13.3. CONTRACTOR shall give ENGINEER timely notice of readiness of the Work for all required inspections, tests or approvals.

13.4. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) to specifically be inspected, tested or approved, CONTRACTOR shall assume full responsibility therefor, pay all costs in connection therewith and furnish ENGINEER the

required certificates of inspection, testing or approval. CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with OWNER's or ENGINEER's acceptance of a Supplier of materials or equipment proposed to be incorporated in the Work, or if materials or equipment submitted for approval prior to CONTRACTOR's purchase thereof for incorporation in the Work. The cost of all inspections, tests and approvals other than those which are required by the Contract Documents shall be paid by OWNER (unless otherwise specified).

13.5. All inspections, tests or approvals other than those required by Laws or Regulations of any public body having jurisdiction shall be performed by organizations acceptable to OWNER and CONTRACTOR (or by ENGINEER if so specified).

13.6. If any Work (including the work of others) that is to be inspected, tested or approved is covered without written concurrence of ENGINEER, it must, if requested by ENGINEER, be uncovered for observation. Such uncovering shall be at CONTRACTOR's expense unless CONTRACTOR has given ENGINEER timely notice of CONTRACTOR's intention to cover the same and ENGINEER has not acted with reasonable promptness in response to such notice.

13.7. Neither observations by ENGINEER nor inspections, tests or approvals by others shall relieve CONTRACTOR from CONTRACTOR's obligations to perform the Work in accordance with the Contract Documents.

#### Uncovering Work:

13.8. If any Work is covered contrary to the written request of ENGINEER, it must, if requested by ENGINEER, be uncovered for ENGINEER's observation and replaced at CONTRACTOR's expense.

13.9. If ENGINEER considers it necessary or advisable that covered Work be observed by ENGINEER or inspected or tested by others, CONTRACTOR, at ENGINEER's request, shall uncover, expose or otherwise make available for observation, inspection or testing as ENGINEER may require that portion of the Work in question, furnishing all necessary labor, material and equipment. If it is found that such Work is defective, CONTRACTOR shall bear all direct, indirect and consequential costs of such uncovering, exposure, observation, inspection and testing and of satisfactory reconstruction, (including but not limited to fees and charges or engineers, architects, attorneys and other professionals), and OWNER shall be entitled to an appropriate decrease in the Contract Price, and if the parties are unable to agree as to the amount thereof, may make a claim therefor as provided in Article 11. If, however, such Work is not found to be defective, CONTRACTOR may be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing and reconstruction; and, if the parties are unable to agree as to the amount or extent thereof, CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.

#### Owner May Stop the Work:

13.10. If the Work is defective, or CONTRACTOR fails to supply sufficient skilled workers or suitable materials or equipment, or fails to furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been

eliminated; however, this right of OWNER to stop the Work shall not give rise to any duty on the part of OWNER to exercise this right for the benefit of CONTRACTOR or any other party.

Correction or Removal of Defective Work:

13.11. If required by ENGINEER, CONTRACTOR shall promptly, as directed, either correct all defective Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by ENGINEER, remove it from the site and replace it with nondefective Work. CONTRACTOR shall bear all direct, indirect and consequential costs of such correction or removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

One Year Correction Period:

13.12. If within one year after the date of issue of the Certificate of Acceptance or such longer period of time as may be prescribed by Laws or Regulations, any Work is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER's written instruction, either correct such defective Work, or, if it has been rejected by OWNER, remove it from the site and replace it with nondefective Work. If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or the rejected Work removed and replaced, and all direct, indirect and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) will be paid by CONTRACTOR. In special circumstances where a particular item of equipment is placed in continuous service before acceptance of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications or by Written Amendment.

Acceptance of Defective Work:

13.13. If, instead of requiring correction or removal and replacement of defective Work, OWNER (and, prior to ENGINEER's recommendation of final payment), prefers to accept it, OWNER may do so. CONTRACTOR shall bear all direct, indirect and consequential costs attributable to OWNER's evaluation of and determination to accept such defective Work (such costs to be approved by ENGINEER as to reasonableness and to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals). If any such acceptance occurs prior to ENGINEER's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price, and, if the parties are unable to agree as to the amount thereof, OWNER may make a claim therefor as provided in Article 11. If the acceptance occurs after such final payment, an appropriate amount as determined by OWNER will be paid by CONTRACTOR to OWNER.

OWNER May Correct Defective Work:

13.14. If CONTRACTOR fails within a reasonable time after written notice of ENGINEER to proceed to correct and to correct defective Work or to remove and replace rejected Work as required by ENGINEER in accordance with paragraph 13.11, or if CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if CONTRACTOR fails to comply with any other provision of the Contract Documents, OWNER may, after seven days'

written notice to CONTRACTOR, correct and remedy any such deficiency. In exercising the rights and remedies under this paragraph OWNER shall proceed expeditiously. To the extent necessary to complete corrective and remedial action, OWNER may exclude CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend CONTRACTOR's services related thereto, take possession of CONTRACTOR's tools, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere. CONTRACTOR shall allow OWNER, OWNER's representatives, agents and employees such access to the site as may be necessary to enable OWNER to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of OWNER in exercising such rights and remedies will be charged against CONTRACTOR in an amount approved as to reasonableness by ENGINEER, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and OWNER shall be entitled to an appropriate decrease in the Contract Price, and, if the parties are unable to agree as to the amount thereof, OWNER may make a claim therefor as provided in Article 11. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court costs and all costs of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of CONTRACTOR's defective Work. CONTRACTOR shall not be allowed an extension of the Contract Time because of any delay in performance of the Work attributable to the exercise by OWNER of OWNER's rights and remedies hereunder.

#### ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION

##### Schedule of Values:

14.1. The schedule of values established as provided in paragraph 2.9 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to ENGINEER. Progress payments on account of Unit Price Work will be based on the number of units completed.

##### Application for Progress Payment:

14.2. At least twenty days before each progress payment is scheduled (but not more often than once a month), CONTRACTOR shall submit to ENGINEER for review an Application for Payment filled out and signed by CONTRACTOR covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice or other documentation warranting that OWNER has received the materials and equipment free and clear of all liens, charges, security interests and encumbrances (which are hereinafter in these General Conditions referred to as "Liens") and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect OWNER's interest therein, all of which will be satisfactory to OWNER. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

##### CONTRACTOR's Warranty of Title:

14.3. CONTRACTOR warrants and guarantees that title to all Work, materials and

equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to OWNER no later than the time of payment free and clear of all Liens.

Review of Applications for Progress Payment:

14.4. OWNER will, within ten days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to OWNER, or return the Application to CONTRACTOR indicating in writing ENGINEER's reasons for refusing to make payment. In the latter case, CONTRACTOR may make the necessary corrections and resubmit the Application. Ten days after presentation of the Application for Payment with ENGINEER's recommendation, the amount recommended will (subject to the provisions of the last sentence of paragraph 14.7) become due and when due will be paid by OWNER to CONTRACTOR.

14.5. ENGINEER's recommendation of any payment requested in an Application for Payment will constitute a representation by ENGINEER to OWNER, based upon ENGINEER's on-site observations of the Work in progress as an experienced and qualified design professional and on ENGINEER's review of the Application for Payment and the accompanying data and schedules that the Work has progressed to the point indicated, that, to the best of ENGINEER's knowledge, information and belief, the quality of the Work is in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under paragraph 9.10 and to any other qualifications stated in the recommendation); and that CONTRACTOR is entitled to payment of the amount recommended. However, by recommending any such payment ENGINEER will not thereby be deemed to have represented that exhaustive or continuous on-site inspections have been made to check the quality or the quantity of the Work beyond the responsibilities specifically assigned to ENGINEER in the Contract Documents or that there may not be other matters or issues between the parties that might entitle CONTRACTOR to be paid additionally by OWNER or OWNER to withhold payment to CONTRACTOR.

14.6. ENGINEER's recommendation of final payment will constitute an additional representation by ENGINEER to OWNER that the conditions precedent to CONTRACTOR's being entitled to final payment as set forth in paragraph 14.13 have been fulfilled.

14.7. ENGINEER may refuse to recommend the whole or any part of any payment if, in ENGINEER's opinion, it would be incorrect to make such payment, or, because of subsequently discovered evidence or the results of subsequent inspections or tests, nullify any such payment previously recommended to such extent as may be necessary in ENGINEER's opinion to protect OWNER from loss because:

14.7.1. the Work is defective or completed Work has been damaged requiring correction or replacement.

14.7.2. the Contract Price has been reduced by Written Amendment or Change Order.

14.7.3. OWNER has been required to correct defective Work or complete Work in accordance with paragraph 13.14, or



14.7.4. of ENGINEER's actual knowledge of the occurrence of any of the events enumerated in paragraphs 15.2.1 through 15.2.9 inclusive.

OWNER may refuse to make payment in whole or in part of the amount recommended by ENGINEER because claims have been made against OWNER on account of CONTRACTOR's performance or furnishing of the Work or Liens have been filed in connection with the Work or there are other items entitling OWNER to a set-off against the amount recommended, but OWNER must give CONTRACTOR written notice (with a copy to ENGINEER) stating the reasons for such action.

Substantial Completion:

14.8. When CONTRACTOR considers the entire Work ready for its intended use CONTRACTOR shall notify OWNER and ENGINEER in writing that the entire Work is substantially complete (except for items specifically listed by CONTRACTOR as incomplete) and request that ENGINEER issue a certificate of Substantial Completion. Within a reasonable time thereafter, OWNER, CONTRACTOR and ENGINEER shall make an inspection of the Work to determine the status of completion. If ENGINEER does not consider the Work substantially complete, ENGINEER will notify CONTRACTOR in writing giving the reasons therefor. If ENGINEER considers the Work substantially complete, ENGINEER will prepare and deliver to OWNER a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. OWNER shall have ten days after receipt of the tentative certificate during which to make written objection to ENGINEER as to any provisions of the certificate or attached list. If, after considering such objections, ENGINEER concludes that the Work is not substantially complete, ENGINEER will within twenty days after submission of the tentative certificate to OWNER notify CONTRACTOR in writing, stating the reasons therefor. If, after consideration of OWNER's objections, ENGINEER considers the Work substantially complete, ENGINEER will within said twenty days execute and deliver to OWNER and CONTRACTOR a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as ENGINEER believes justified after consideration of any objections from OWNER. At the time of delivery of the tentative certificate of Substantial Completion, ENGINEER will deliver to OWNER and CONTRACTOR a written recommendation as to division of responsibilities pending final payment between OWNER and CONTRACTOR with respect to security, operation, safety, maintenance, heat, utilities, insurance and warranties. Unless OWNER and CONTRACTOR agree otherwise in writing and so inform ENGINEER prior to ENGINEER's issuing the definitive certificate of Substantial Completion, ENGINEER's aforesaid recommendation will be binding on OWNER and CONTRACTOR until final payment.

14.9. OWNER shall have the right to exclude CONTRACTOR from the Work after the date of Substantial Completion, but OWNER shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

Partial Utilization:

14.10. Use by OWNER of any finished part of the Work, which has specifically been identified in the Contract Documents or which OWNER, ENGINEER and CONTRACTOR agree constitutes a separately functioning and usable part of the Work that can be used by OWNER without significant interference with CONTRACTOR's performance of the remainder of the Work,

may be accomplished prior to Substantial Completion of all the Work subject to the following:

14.10.1. OWNER at any time may request CONTRACTOR in writing to permit OWNER to use any such part of the Work which OWNER believes to be ready for its intended use and substantially complete. If CONTRACTOR agrees, CONTRACTOR will certify to OWNER and ENGINEER that said part of the Work is substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. CONTRACTOR at any time may notify OWNER and ENGINEER in writing that CONTRACTOR considers any such part of the Work ready for its intended use and substantially complete and request ENGINEER to issue a certificate of Substantial Completion for that part of the Work. Within a reasonable time after either such request, OWNER, CONTRACTOR and ENGINEER shall make an inspection of that part of the Work to determine its status of completion. If ENGINEER does not consider that part of the Work to be substantially complete, ENGINEER will notify OWNER and CONTRACTOR in writing giving the reasons therefor. If ENGINEER considers that part of the Work to be substantially complete, the provisions of paragraphs 14.8 and 14.9 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.

14.10.2. OWNER may at any time request CONTRACTOR in writing to permit OWNER to take over operation of any such part of the Work although it is not substantially complete. A copy of such request will be sent to ENGINEER and within a reasonable time thereafter OWNER, CONTRACTOR and ENGINEER shall make an inspection of that part of the Work to determine its status of completion and will prepare a list of the items remaining to be completed or corrected thereon before final payment. If CONTRACTOR does not object in writing to OWNER and ENGINEER that such part of the Work is not ready for separate operation by OWNER, ENGINEER will finalize the list of items to be completed or corrected and will deliver such list to OWNER and CONTRACTOR together with a written statement as to the division of responsibilities pending final payment between OWNER and CONTRACTOR with respect to security, operation, safety, maintenance, heat, utilities, insurance, warranties and guarantees for that part of the Work which will become binding upon OWNER and CONTRACTOR at the time when OWNER takes over such operation (unless they shall have otherwise agreed in writing and so informed ENGINEER). During such operation and prior to Substantial Completion of such part of the Work, OWNER shall allow CONTRACTOR reasonable access to complete or correct items on said list and to complete other related Work.

14.10.3. No occupancy or separate operation of part of the Work will be accomplished prior to compliance with the requirements of paragraph 5.15 in respect of property insurance.

Final Inspection:

14.11. Upon written notice from CONTRACTOR that the entire Work or an agreed portion thereof is complete, ENGINEER will make a final inspection with OWNER and CONTRACTOR and will notify CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. CONTRACTOR shall immediately take such measures as are necessary to remedy such deficiencies.

Final Application for Payment:

14.12. After CONTRACTOR has completed all such corrections to the satisfaction of ENGINEER and delivered all maintenance and operating instructions, schedules, guarantees, Bonds, certificates of inspection, marked-up record documents (as provided in paragraph 6.19) and other documents--all as required by the Contract Documents, and after ENGINEER has indicated that the Work is acceptable (subject to the provisions of paragraph 14.16), CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all documentation called for in the Contract Documents, together with complete and legally effective releases or waivers (satisfactory to OWNER) of all Liens arising out of or filed in connection with the Work. In lieu thereof and as approved by OWNER, CONTRACTOR may furnish receipts or releases in full; an affidavit of CONTRACTOR that the releases and receipts include all labor, services, material and equipment for which a Lien could be filed, and that all payrolls, material and equipment bills, and other indebtedness connected with the Work for which OWNER or OWNER's property might in any way be responsible, have been paid or otherwise satisfied; and consent of the surety, if any, to final payment. If any Subcontractor or Supplier fails to furnish a release or receipt in full, CONTRACTOR may furnish a Bond or other collateral satisfactory to OWNER to indemnify OWNER against any Lien.

#### Final Payment and Acceptance:

14.13. If, on the basis of ENGINEER's observation of the Work during construction and final inspection, and ENGINEER's review of the final Application for Payment and accompanying documentation--all as required by the Contract Documents, ENGINEER is satisfied that the Work has been completed and CONTRACTOR's other obligations under the Contract Documents have been fulfilled, ENGINEER will, within ten days after receipt of the final Application for Payment, indicate in writing ENGINEER's recommendation of payment and present the Application to OWNER for payment. Thereupon ENGINEER will give written notice to OWNER and CONTRACTOR that the Work is acceptable subject to the provisions of paragraph 14.16. Otherwise, ENGINEER will return the Application to CONTRACTOR, indicating in writing the reasons for refusing to recommend final payment, in which case CONTRACTOR shall make the necessary corrections and resubmit the Application. Thirty days after presentation to OWNER of the Application and accompanying documentation, in appropriate form and substance, and with ENGINEER's recommendation and notice of acceptability, the amount recommended by ENGINEER will become due and will be paid by OWNER to CONTRACTOR.

14.14. If, through no fault of CONTRACTOR, final completion of the Work is significantly delayed and if ENGINEER so confirms, OWNER shall, upon receipt of CONTRACTOR's final Application for Payment and recommendation of ENGINEER, and without terminating the Agreement, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by OWNER for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if Bonds have been furnished as required in paragraph 5.1, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by CONTRACTOR to ENGINEER with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

#### Contractor's Continuing Obligation:

14.15. CONTRACTOR's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. Neither recommendation of any progress or final payment by ENGINEER, nor the issuance of a certificate of Substantial Completion or Acceptance, nor any payment by OWNER to CONTRACTOR under the Contract Documents, nor any use or occupancy of the Work or any part thereof by OWNER, nor any act of acceptance by OWNER nor any failure to do so, nor any review and approval of a Shop Drawing or sample submission, nor the issuance of a notice of acceptability by ENGINEER pursuant to paragraph 14.13, nor any correction of defective Work by OWNER will constitute an acceptance of Work not in accordance with the Contract Documents or a release of CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents (except as provided in paragraph 14.16).

Waiver of Claims:

14.16. The making and acceptance of final payment will constitute:

14.16.1. a waiver of all claims by OWNER against CONTRACTOR, except claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to paragraph 14.11 or from failure to comply with the Contract Documents or the terms of any special guarantees specified therein; however, it will not constitute a waiver by OWNER of any rights in respect of CONTRACTOR's continuing obligations under the Contract Documents; and

14.16.2. a waiver of all claims by CONTRACTOR against OWNER other than those previously made in writing and still unsettled.

## ARTICLE 15 - SUSPENSION OF WORK AND TERMINATION

Owner May Suspend Work:

15.1. OWNER may, at any time and without cause, suspend the Work or any portion thereof for a period of not more than ninety days by notice in writing to CONTRACTOR and ENGINEER which will fix the date on which Work will be resumed. CONTRACTOR shall resume the Work on the date so fixed. CONTRACTOR may be allowed an increase in the Contract Price or an extension of the Contract Time, or both; directly attributable to any suspension if CONTRACTOR makes an approved claim therefor as provided in Articles 11 and 12.

Owner May Terminate:

15.2. Upon the occurrence of any one or more of the following events:

15.2.1. if CONTRACTOR commences a voluntary case under any chapter of the Bankruptcy Code (Title 11, United States Code), as now or hereafter in effect, or if CONTRACTOR takes any equivalent or similar action by filing a petition or otherwise under any other federal or state law in effect at such time relating to the bankruptcy or insolvency;

15.2.2. if a petition is filed against CONTRACTOR under any chapter of the Bankruptcy Code as now or hereafter in effect at the time of filing, or if a petition is filed seeking any such equivalent or similar relief against CONTRACTOR under any other federal or state law in effect at the time relating to bankruptcy or insolvency;

15.2.3. if CONTRACTOR makes a general assignment for the benefit of creditors;

15.2.4. if a trustee, receiver, custodian or agent of CONTRACTOR is appointed under applicable law or under contract, whose appointment or authority to take charge of property of CONTRACTOR is for the purpose of enforcing a Lien against such property or for the purpose of general administration of such property for the benefit of CONTRACTOR's creditors;

15.2.5. if CONTRACTOR admits in writing an inability to pay its debts generally as they become due;

15.2.6. if CONTRACTOR persistently fails to perform the Work in accordance with the Contract Documents (including but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the progress schedule established under paragraph 29. as revised from time to time);

15.2.7. if CONTRACTOR disregards Laws or Regulations of any public body having jurisdiction;

15.2.8. if CONTRACTOR disregards the authority of ENGINEER; or

15.2.9. if CONTRACTOR otherwise violates in any substantial way any provisions of the Contract Documents;

OWNER may, after giving CONTRACTOR (and the surety, if there be one) seven days' written notice and to the extent permitted by Laws and Regulations, terminate the services of CONTRACTOR, exclude CONTRACTOR from the site and take possession of the Work and of all CONTRACTOR's tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by CONTRACTOR (without liability to CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere, and finish the Work as OWNER may deem expedient. In such case CONTRACTOR shall not be entitled to receive any further payment. If the unpaid balance of the Contract Price exceeds the direct, indirect and consequential costs of completing the Work (including but not limited to fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs) such excess will be kept by OWNER. If such costs exceed such unpaid balance, CONTRACTOR shall pay the difference to OWNER. Such costs incurred by OWNER will be approved as to reasonableness by ENGINEER and incorporated in a Change Order, but when exercising any rights or remedies under this paragraph OWNER shall now be required to obtain the lowest price for the Work performed.

15.3. Where CONTRACTOR's services have been so terminated by OWNER, the termination will not affect any rights or remedies of OWNER against CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due CONTRACTOR by OWNER will not release CONTRACTOR from liability.

15.4. Upon seven days' written notice to CONTRACTOR and ENGINEER, OWNER may, without cause and without prejudice to any other right or remedy, elect to abandon the Work

and terminate the Agreement. In such case, CONTRACTOR shall be paid for all Work executed and any expense sustained plus reasonable termination expenses, which will include, but not be limited to, direct, indirect and consequential costs (including, but not limited to, fees and charges of engineers, architects, attorneys and other professionals and court and arbitration costs).

15.5. If through no act or fault of CONTRACTOR, the Work is suspended for a period of more than ninety days by OWNER or under an order of court or other public authority, or ENGINEER fails to act on any Application for Payment within thirty days after it is submitted, or OWNER fails for thirty days to pay CONTRACTOR any sum finally determined to be due, then CONTRACTOR, may upon seven days written notice to OWNER and ENGINEER terminate the Agreement and recover from OWNER payment for all Work executed and any expense sustained plus reasonable termination expenses. In addition and in lieu of terminating the Agreement, if ENGINEER has failed to act on an Application for Payment or OWNER has failed to make any payment as aforesaid, CONTRACTOR may upon seven day's written notice to OWNER and ENGINEER stop the Work until payment of all amounts then due. The provisions of this paragraph shall not relieve CONTRACTOR of the obligations under paragraph 6.29 to carry on the Work in accordance with the progress schedule and without delay during disputes and disagreements with OWNER.

ARTICLE 16 (Reserved)

#### ARTICLE 17 - MISCELLANEOUS

##### Giving Notice:

17.1. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation in the case of the CONTRACTOR or the General Manager in the case of the OWNER for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

##### Computation of Time:

17.2.1. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

17.2.2. A calendar day of twenty-four hours measured from midnight to the next midnight shall constitute a day.

##### General:

17.3. Should OWNER or CONTRACTOR suffer injury or damage to person or property because of any error, omission or act of the other party or of any of the other party employees or agents or others for whose acts the other party is legally liable, claim will be made in writing to the other party within a reasonable time of the first observance of such injury or damage. The provisions of this paragraph 17.3 shall not be construed as a substitute for or a

waiver of the provisions of any applicable statute of limitations or repose.

17.4. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto, and, in particular but without limitation, the conditions, warranties, guarantees and obligations imposed upon CONTRACTOR by paragraphs 6.30, 13.1, 13.12, 13.14, 14.3 and 15.2 and all of the rights and remedies available to OWNER and ENGINEER thereunder, are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to OWNER and ENGINEER which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee or by other provisions of the Contract Documents, and the provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right and remedy to which they apply. All representations, conditions, warranties and guarantees made in the Contract Documents will survive the execution, final payment and termination or completion of the Agreement. All statements contained in any document required by OWNER, whether delivered at the time of the execution of the Contract Documents or at a later date, shall constitute representations, warranties and guarantees herein.

# Supplementary General Conditions

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

### 1. GENERAL

The Standard General Conditions of the construction Contract prepared by the ENGINEER's Joint Contract documents Committee (No. 1910-8 1990 Edition) shall form a part of this contract, together with the following Supplementary General Conditions. A copy of the Standard General Conditions (No. 1910-8) is bound herewith.

The following supplements modify, change, delete, or add to the General Conditions, where any part of the General Conditions is modified or voided by these articles, the unaltered provisions of that part shall remain in effect.

### 2. DETAILED AMENDMENTS TO THE GENERAL CONDITIONS

The following Articles of the Standard General Conditions are hereby amended as follows:

ARTICLE 1: The definition for Contract Documents is hereby amended to insert the word "General and Supplementary General Conditions", after the word "Agreement"

ARTICLE 2: Add the following definitions:

- a. Standard abbreviations: Wherever reference is made to standard specifications, standard of quality or performance, as established by a recognized national authority, the reference may be by initials as generally recognized throughout the authority.
- b. Addenda: Supplements to, change in or corrections to the Drawings and/or Specifications issued in writing by the Engineer during the period of bidding. These addenda shall become a part of the contract and modify the Drawings and/or Specifications as indicated. No verbal changes in the work as shown or described shall becoming binding.
- c. Alternates: Additions, omissions from, or changes to requirements for the project, each of which shall be bid separately and shall be included in or omitted from the contract at the discretion of the owner.
- d. Furnish: To supply at the job site the material, equipment, etc., referred to. Installation is not required of the supplier by the Specifications, but shall be arranged for by the General CONTRACTOR.
- e. Provide: To furnish and install in the location shown or approved at the job site, the material, equipment, etc., referred to.

### ARTICLE 5: BONDS AND INSURANCE

Delete the last sentence of Article 5.1 delaying with U.S. Treasury Department Listing and substitute the following:



All the surety companies providing bonds for this project must be registered with the Secretary of State of the State of Texas.

Add to Article 5.3 the following subparagraphs:

5.3.1. **COMPENSATION INSURANCE.** The Contractor shall procure and shall maintain during the life of this Contract, Workmen's Compensation Insurance for all of his employees to be engaged in work on this project under this Contract, and in case of any such work sublet, the CONTRACTOR shall require the subcontractor similarly to provide Workmen' Compensation Insurance for all the latter's employees to be engaged in such work unless employees are covered by the protection afforded by the CONTRACTOR's Compensation Insurance. In case of any class of employees engaged in hazardous work on the project, under this Contract and is not protected under the Workmen's Compensation Statute, the CONTRACTOR shall provide and shall cause each subcontractor to provide adequate insurance for employees not otherwise protected.

Worker's Compensation	Which Complies with the Texas Workers Compensation Act as well as all Federal acts applicable to the Contractor's operation at the site.
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Employer's Liability	\$1,000,000.00 for each occurrence.
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5.3.2. **CONTRACTOR'S PUBLIC LIABILITY AND PROPERTY DAMAGE INSURANCE.** The Contractor shall procure and shall maintain during the life of this contract CONTRACTOR's Public Liability Insurance for injuries, including accidental death, to any one person, and subject to the same limit for each person, on account of one accident, and CONTRACTOR's Property Damage Insurance in amount as follows:

Comprehensive General Liability	\$1,000,000.00 Combined Single Limit (\$ 4,000,000.00 if explosives are involved in the performance of the contract)
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Including: Bodily Injury Liability, Personal Injury Liability, Property Damage Liability, Broad Form Property Damage Liability, Contractual Liability, Products/Completed Operations Liability, Liability for Property of Others in the Care, Custody and Control of the Contractor.

Comprehensive Automobile Liability	\$1,000,000.00 Combined Single Limit
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5.3.3. **SUBCONTRACTOR'S PUBLIC LIABILITY AND PROPERTY DAMAGE INSURANCE.** The CONTRACTOR shall require each of his subcontractors to procure and to maintain, during the life of this subcontract, Subcontractor's Public Liability and Property Damage Insurance of the type in subparagraph.

5.3.4. Hereof, in amounts approved by the OWNER.

5.3.5. **SCOPE OF INSURANCE AND SPECIAL HAZARDS.** The insurance required under subparagraph 5.3.2. and 5.3.3. hereof shall provide adequate protection for the Contractor

and his subcontractors respectively against damage claims which may arise from operations under this Contract, whether such operations be by the insured or by anyone against any special hazards which may be encountered in the performance of this contract.

**ARTICLE 6. CONTRACTOR'S RESPONSIBILITIES**

Add to subparagraph 6.5:

The CONTRACTOR shall notify the OWNER in writing of any conflict between the Manufacturer's directors and the Contract Documents and shall not perform any work on any item until such conflict has been resolved.

Upon award of the Contract, the OWNER will, on written request of the CONTRACTOR, furnish the CONTRACTOR with a certificate of exemption from the Limited Sales, Excise and Use Tax in an amount not exceeding the above mentioned bid price for materials or property have been or will be utilized in the performance of the Contract to the full extent of the amount for which a certificate of exemption is requested.

Add the following Subparagraph:

- 6.3.3. The CONTRACTOR shall acquaint himself with all matters and conditions concerning site and existing construction. Any practical criticism or exception regarding feature of the work presented in writing with the Proposal will be considered at that time. If no criticism or exception is given with the Proposal, it shall be assumed that the Contractor agrees that the project, as outlined in the Drawings and Specifications, can be completed satisfactorily. After a Contract Agreement to perform the work has been signed by the CONTRACTOR, it shall then be his responsibility to provide satisfactory work that will meet the full intent of the Contract Documents. The CONTRACTOR shall then pursue this work with the other trades so that all phases of the work may be properly coordinated without delays or damage to any parts of the work.

**ARTICLE 13. WARRANTY AND GUARANTEE: TESTS AND INSPECTIONS: CORRECTIONS, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK.**

Add the following Subparagraph:

- 13.1 Disputes over Improper Functioning. In case of dispute as to the cause of improper functioning of all or any part of the work, the burden of proof that he has complied with the Contract Documents rests with the CONTRACTOR for this work. He shall submit in writing his opinion of the cause of his recommendation for proving the adequacy of his work. The OWNER shall have those tests made, which he deems advisable, by an independent testing laboratory of this choice. If any tests so made indicate a defect in material or workmanship, or that one or more manufactured components of the work are performing below the standard set by the manufacturer's published data and specifications, the entire cost of all such tests shall be paid for the by the CONTRACTOR, and he shall also pay for retesting of the corrected work until it functions satisfactorily.

ARTICLE 14. PAYMENTS AND COMPLETION.

Add the following to Paragraph 14.1 1:

A qualified person representing the CONTRACTOR shall be present at this final inspection to demonstrate the systems and prove the performance of the equipment. Prior to this inspection, all work shall have been completed, tested, balanced and adjusted and in final operating condition.

Make the following change to Paragraph 14.4 "Approval of Payments"

OWNER shall, within twenty (20) days of presentation to him of an approved application for Payment, pay Contractor the amount approved by Engineer.

ARTICLE 16. ARBITRATION. Delete this entire Article.

Add the following Article.

ARTICLE 18. THE CONTRACTOR SHALL COMPLY WITH THE COMPELAND ACT 48, STATUTE 948 AND ALL AMENDMENTS OR MODIFICATIONS OF THE ORIGINAL ACT OF JUNE 13,1934.

3. TEMPORARY FACILITIES

(a) Sanitary Facilities for Workmen

(1) CONTRACTOR, shall provide and maintain suitable weathertight, painted sanitary toilet facilities for all workmen for the entire construction period. Comply with all requirements of applicable health authorities. When toilet facilities are no longer required, promptly remove from the site, disinfect and clean the area as required.

(2) CONTRACTOR shall keep toilet facility swept and supplied with toilet tissue at all times.

(b) Weather Protection

(1) Except where otherwise, specified, CONTRACTOR shall, at all times, provide protection against weather, so as to maintain all work, materials, and fixtures free from injury or damages. All new work likely to be damaged shall be covered or otherwise protected as required.

(c) Work Areas

(1) The CONTRACTOR shall be confined to all working easements provided.

Storage of excavation material and all contractor equipment and material shall remain within the limits of working easements.

4. TEMPORARY UTILITIES

The CONTRACTOR shall furnish all temporary utilities as required, for the completion of the work.

5. CONSTRUCTION SEQUENCE

- (1) That the following sequence of work be used as a basis for preparation to the Construction Schedule.
- (2) To cooperate with and facilitate the Contractor in the whole of the work to be carried out subject to the following being observed:
  - (a) The CONTRACTOR shall, within five (5) calendar days after the date of the Award of Contract, submit a Construction Schedule for the approval of the Owner and Engineer. This Schedule shall outline an orderly sequence of construction as required to meet the completion time stipulated in the contract.
  - (b) The CONTRACTOR shall coordinate his work with that of other contractors whose work may occur at a conflicting time and location. The coordination shall be such that work will be maintained at a normal rate.
  - (c) Satisfactory access or detour roads shall be provided where necessary due to construction.

6. MEASUREMENT

Before ordering any material or doing any work, the CONTRACTOR will verify all measurements of any existing and new work and shall be responsible for their correctness. Any differences which may be found shall be submitted to the Engineer for consideration before proceeding with the work. No extra compensation will be allowed because of differences between actual dimensions and measurements indicated on the working drawings.

7. PROTECTION

- a. The CONTRACTOR shall send proper notices, make all necessary arrangements and perform all other services required for the care, protection and maintenance of all public utilities, including fire plugs, telephone and telegraph poles and wires, and all other items of this character on or about the site, assuming all responsibility and paying all costs for which the OWNER may be liable.
- b. Temporary Drainage. The CONTRACTOR shall construct and maintain all necessary temporary drainage and do all pumping necessary to keep the excavation free of water.
- c. Bracing, Shoring and Sheeting. The CONTRACTOR shall provide all shoring,

bracing, and sheeting as required for safety and for the proper execution of the work; and have same removed when the work is completed.

- d. Fires shall not be built on the premises except by the express consent of the OWNER and City Fire Marshall.

8. CONTRACTOR'S AND SUBCONTRACTOR'S INSURANCE

- a. The CONTRACTOR shall not commence work under this Contract until he has obtained all the insurance required under this paragraph and such insurance has been approved by the OWNER, nor shall the CONTRACTOR allow any subcontractor to commence work on this Contract until the insurance required of the subcontractor has been so obtained and approved.

- b. Compensation Insurance. The CONTRACTOR shall procure and shall maintain, during the life of his Contract, Workmen's Compensation Insurance for all of his employees to be engaged in work on this project under this Contract and, in case of any such work sublet, the Contractor shall require the subcontractor similarly to provide Workmen's Compensation Insurance for all the latter's employees to be engaged in such work unless employees are covered by the protection afforded by the CONTRACTOR's Compensation.

Insurance. In case of any class of employees engaged in hazardous work on the project under this Contract is not protected under the Workmen's Compensation Statute, the CONTRACTOR shall provide and shall cause each subcontractor to provide adequate insurance for employees not otherwise protected.

- c. CONTRACTOR's Public Liability and Property Damage Insurance. The CONTRACTOR shall procure and shall maintain during the life of this contract, Contractor's Public Liability Insurance for injuries, including accidental death, to any one person, and subject to the same limit for each person, on account of one accident, and CONTRACTOR's Property Damage Insurance in amounts as follows:

Comprehensive General Liability	\$1,000,000.00 Combined Single Limit (\$ 4,000,000.00 if explosives are involved in the performance of the contract)
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Including: Bodily Injury Liability, Personal Injury Liability, Property Damage Liability, Broad Form Property Damage Liability, Contractual Liability, Products/Completed Operations Liability, Liability for Property of Others in the Care, Custody and Control of the Contractor.

Comprehensive Automobile Liability \$1,000,000.00 Combined Single Limit

NOTE: Automobile insurance shall cover all automobiles and trucks owned by the CONTRACTOR.

- d. Subcontractor's Public Liability and Property Damage Insurance. The

CONTRACTOR shall require each of his subcontractors to procure and maintain during the life of his subcontract, Subcontractor's Public Liability and Property Damage Insurance of the type specified in subparagraph C hereof, in amounts approved by the OWNER.

- e. Proof of Carriage of Insurance. The CONTRACTOR shall furnish the OWNER with certificates showing the type, amount class of operations covered, effective dates and dates of expiration of policies. Such certificates shall also contain substantially the following statements. "The insurance covered by this certificate will not be concealed or materially altered except after ten days written notice has been received by the OWNER.

9. ACCIDENT PREVENTION

Precaution shall be exercised at all times for the protection of persons (including employees) and property, and hazardous conditions shall be guarded against or eliminated.

10. TIME FOR COMPLETION AND LIQUIDATED DAMAGES

- a. It is hereby understood and mutually agreed, by and between the parties hereto, that the date of beginning, rate of progress and the time for completion of the work to be done thereunder are ESSENTIAL CONDITIONS of this Contract; and it is further mutually understood and agreed, by and between the parties hereto, that the work embraced in this Contract shall be commenced on a date to be specified in the work order.
- b. The CONTRACTOR agrees that said work shall be prosecuted regularly, diligently, and uninterrupted at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the parties hereto, that the time for the completion of the work described herein is a reasonable time for completion of same, taking into consideration the average climatic range and usual industrial conditions prevailing in the locality.
- c. If the said CONTRACTOR shall neglect, fail or refuse to complete the work within the time herein specified, then the said Contractor does hereby agree, as a part consideration for awarding of this Contract, not as a penalty but as liquidated damages for such breach of calendar day that the CONTRACTOR shall be in default after the time stipulated in the Contract for completing the work.
- d. The Damage to OWNER by reason of this contract not being completed as of that date are parties hereto have therefore fixed and limited such damages to the amount stated in the agreement per day for each day the job runs beyond such date and the fixing of such damages constitutes a part of the consideration for the Contract.
- e. It is further agreed that time is of the essence of each and every portion of this contract and of the specifications wherein a definite and certain length of time is fixed for the performance of any act whatsoever; and where, under the Contract,

additional time is allowed for the completion of any work, the new time fixed by such extension shall not be charged with liquidated damages or any excess cost when the delay in the completion of work is due:

- (1) To any preference, priority or allocation order duly issued by the Government.
- (2) To enforceable cause, beyond the control and without the fault or negligence of the CONTRACTOR, including, but not restricted to, acts of God, or the public enemy, acts of the OWNER, acts of another Contractor in the performance of the Contract with OWNER, fires, floods, epidemics, Quarantine restriction, strikes, freights embargoes, and unusually severe weather.
- (3) To any delays of subcontractors and/or material suppliers occasioned by any of the causes specified in (1) and (2).
- (4) Provided, further, that the Contractor shall, within seven (7) days from the beginning of such delay, notify the OWNER, in writing, of the causes of the delay, who shall ascertain the facts and extent of the delay and notify the CONTRACTOR within a reasonable time of its decision in the matter.

11. INSPECTION AND TESTING OF MATERIALS

- a. All materials and equipment/furnished by manufacturers shall be tested, inspected, and certified in accordance with the Contract Documents, laws, ordinances, or any public authority requiring any work to be specifically tested. The cost of such tests, inspections and certifications shall be borne by the CONTRACTOR.
- b. The CONTRACTOR shall cooperate with the testing laboratory to the end that the function and services of the laboratory may be properly performed. The Contractor shall give the OWNER's representative and testing laboratory a minimum of twenty-four (24) hour notice of readiness for all testing as required. Costs of all field tests by such a laboratory shall be borne by the OWNER, unless otherwise stipulated in the Supplementary General Conditions, Article 13.

12. REFERENCE POINTS

The ENGINEER will establish horizontal and vertical controls only (reference points and benchmarks) as shown on the construction plans.

The CONTRACTOR must notify the ENGINEER at least 48 hours prior to starting work on any section or part of the work where controls have not been established or are not identifiable or visible to the CONTRACTOR.

The ENGINEER will upon such advance notice assist the CONTRACTOR in locating and identifying the various CONTRACTOR in location and identifying the various control points and will replace any control points that have been destroyed by others prior to beginning of CONTRACTOR's operations.

After the control points are established and/or identified as outlined above, maintenance

of such control points will be the responsibility of the CONTRACTOR. Any re-staking required for any reason thereafter shall be the final responsibility of the CONTRACTOR.

The CONTRACTOR will provide all other construction staking (cut stakes, blue topping, intermediate string line control, etc.) required to verify grades, depths, thickness and alignment of the various items of construction.

13. SERVICES AT START UP

The CONTRACTOR shall provide the services of technical representative, for the CONTRACTOR furnished equipment, for a sufficient period to assist in start up and initial adjustment of all equipment and to train, advice and consult with the OWNER's operating personnel.

14. PERMITS

Permits, fees and licenses necessary for the pursuit of the work shall be obtained and paid for by the CONTRACTOR.

15. MAINTENANCE OF SITE AND CLEANUP

The work site shall be kept reasonably clean at all times. Surplus materials shall be disposed of by the CONTRACTOR except for the designated to be salvaged. In final cleanup operations, all equipment, scrap materials and temporary structures shall be removed and the site left clean.

16. PROTECTION AND REPLACEMENT OF PROPERTY

Driveways, culverts, storm sewer inlets and laterals, and other public or private property that is destroyed or removed during the construction shall be replaced to its original condition by the CONTRACTOR. Temporary drainage is to be provided as necessary.

17. CONSTRUCTION AREA

CONTRACTOR shall be responsible to maintain and protect in good condition while under construction and exposed areas that become damage shall be CONTRACTOR's responsibility to repair at no cost to owner. This includes construction area being exposed to rainfall, vehicular traffic, etc.

CONTRACTOR shall be responsible for providing temporary access in a safe and approved manner at all times to private properties being affected by this work. After work is complete, any damages, alterations or modifications to existing structures as part of the temporary access construction activities shall be restored to original conditions or repaired as necessary at the sole expense of the CONTRACTOR.

18. PROTECTION OF TREES, AND SHRUBS

Care shall be exercised to prevent damage to trees, plants and shrubs along the work site. No tree, plant or shrub shall be removed unless it interferes unduly with the



construction work. Permission for such removal must first be obtained from the ENGINEER. Provisions of the Technical Specifications shall govern in matters of this nature.

19. BARRICADES AND WARNINGS

Adequate barricades and warning devices shall be provided at the work site. Lights shall be provided between sunset and sunrise when necessary in the opinion of the ENGINEER in accordance with the Traffic Controllers Manual.

20. LOCATION OF & DAMAGE TO EXISTING UTILITIES AND STRUCTURES

The CONTRACTOR is Responsible for locating underground obstacles. It is not represented that the Plans show all sewers, water lines, gas lines, telephone lines, and other underground obstacles. The CONTRACTOR shall exercise caution to prevent damage to existing facilities during the progress of the construction work, taking care to locate same, where possible, in advance of the actual work. The ENGINEER will render all assistance possible to the CONTRACTOR in the matter of determining the location of existing utilities by making available such maps, records and other information as may be accessible to him, when requested to do so, but the accuracy of such information will not be guaranteed. The CONTRACTOR shall make good on all damage to existing utilities resulting from his operations. Where a pipe, duct or other structure of a utility is exposed, which, in the opinion of the ENGINEER requires strengthening, altering or moving, the CONTRACTOR shall perform such work on same, as the ENGINEER may order, which work will be paid for as extra work in accordance with the terms of the Contract relating to extra work. Should the CONTRACTOR, in the layout of his work, encounter any pipe, underground utility, or structure, the location of which has not been furnished to him by the ENGINEER, he shall bring such conditions to the attention of the ENGINEER for his determination of the method to be used to remove or bypass such obstructions.

It is essential that in the event of any damage being caused to existing units then immediate attention be given to their repair, if necessary at the expense of labor and material scheduled to be employed at the new work. Any repair work carried out shall be at the cost of the CONTRACTOR and shall be to the complete satisfaction of the OWNER, who will acknowledge the same in writing.

It is therefore the duty of the CONTRACTOR prior to the commencement of construction to inspect and accurately record in writing to the OWNER and ENGINEER, the conditions of any unit which he reasonably suspect or knows to be damaged, faulty, or defective.

In addition, any such unit(s) so recorded, which in the opinion of the Contractor may deteriorate further as a result of the proposed mode of operations should be protected and/or remedial measures employed as agreed to, and at the cost of the Owner.

21. MATERIALS AND WORKMANSHIP

No material which has been used by the CONTRACTOR for any temporary purpose whatsoever is to be incorporated in the permanent structure without written consent of the ENGINEER. Where materials or equipment are specified by a trade for brand name, it is

not the intention of the Owner to discriminate against an equal product of another manufacturer, but rather to set a definite standard of quality or performance and to establish an equal basis for the evaluation of bids. Where the words "equivalent", "proper" or "equal to" are used, they shall be understood to mean that the thing referred to shall be properly the equivalent of or equal to some other thing, in the opinion of judgment of the ENGINEER. Unless otherwise specified, all materials shall be of the best of their respective kinds and shall be in all cases fully equal to the approved samples.

Notwithstanding that the words "or equal to" or other such expressions may be used in the Specifications in connection with a material, manufactured article or process, the material, article or process specifically designated shall be used, unless a substitute shall be approved in writing by the ENGINEER, and the ENGINEER shall have the right to require the use of such specifically designated material, article or process.

22. CUTTING, PATCHING AND FITTING

The CONTRACTOR shall perform all cutting, patching, or fitting of this work that met be required to make its several parts come together properly and fit it to receive or be received by work or others shown on, or reasonably implied to the drawings and Specifications for the completed structure or facility. The CONTRACTOR shall not endanger any work by cutting, digging or otherwise, and shall not cut or alter the work of others unless specifically noted on the drawings and specifications or authorized in writing by the ENGINEER and the OWNERS of such other work.

23. RIGHT OF ENTRY

The OWNER reserves the right to enter the property or location on which the work herein contracted for is to be constructed or installed, by such agents as it may elect, for the purpose of supervising and inspecting the work, or for the purpose of constructing or installing collateral work as said OWNER may desire.

24. SUPERINTENDENT AND INSPECTION BY OWNER

It is agreed by the CONTRACTOR that the OWNER shall be and is hereby authorized to appoint from time to time subordinate engineers, supervisors, or inspectors, as the said OWNER may deem proper, to inspect the material furnished and work done under this agreement, and to see that the said material is furnished and said work is done in accordance with the Specifications. The CONTRACTOR shall regard and obey the directions and instructions of any sub-coordinate engineers, supervisors, or inspectors as appointed, when such directions are consistent with the obligations of this agreement and these accompanying Specifications, provided, however, that should the CONTRACTOR object to any order by any subordinate engineer, supervisor, or inspector, the CONTRACTOR may, within six (6) days, make written notice to the ENGINEER for his decision. Except, as herein before provided, the authority of subordinate engineers, supervisors, or inspectors shall be limited to the rejection of unsatisfactory work and materials and to the suspension of the work, until the question of acceptability can be referred to the ENGINEER.

25. SUPERINTEDENT BY CONTRACTOR

Except where the CONTRACTOR is an individual and gives his personal superintendent to the work, the CONTRACTOR shall provide a competent superintendent, satisfactory to the OWNER and the ENGINEER, on the work at all times during working hours with full authority to act from him. The CONTRACTOR shall provide an adequate staff for the proper coordination and expediting of his work.

The CONTRACTOR shall provide an on-site representative, satisfactory to the OWNER and the ENGINEER, available at all times (i.e., twenty-four (24) hours per day, seven (7) days per week). The on-site representative shall be stationed close enough to be on the site within 30 minutes of notification. The on-site representative shall have full access to all equipment and material and have full authority necessary to correct any problems, deficiencies, or emergencies which may arise during non-working hours and during the absence of the superintendent.

The name, address, and phone number of both the superintendent and the on-site representative shall be given in writing to the ENGINEER and the Local Public Agency prior to the beginning of construction.

Additional provisions concerning superintendent by the CONTRACTOR are given in General Condition 102 of these Contract Documents.

26. "AS BUILT" DRAWINGS – Not Required

A complete set of contract drawings shall be stapled together and the official "As Built" set on which the CONTRACTOR shall record currently the work carried out through all phases of construction.

The set shall be kept in the office in a neat and clean condition and be available for inspection by the OWNER or ENGINEER at any time during the Contract period. At the completion of the Contract it shall be handed to the ENGINEER accompanied by a letter stating that each drawing has been signed by the CONTRACTOR to the effect that the drawings are a true and accurate record of the work carried out.

27. ACCEPTANCE AND FINAL PAYMENT

Upon written notice that the work is ready for inspections and acceptance, the OWNER shall promptly make such inspection, and when he finds the work acceptable under the Contract fully performed, he shall promptly issue a final certificate over his own signature, stating that the work provided for in this Contract has been completed and is accepted by him under the terms and conditions thereof, and the entire balance found to be due the CONTRACTOR, including the retained percentages, shall be paid to the CONTRACTOR at the office of the OWNER within fifteen (15) days after the date of said final certificate. The CONTRACTOR shall submit satisfactory evidence to the OWNER that all payrolls, material bills, and other indebtedness connected with the work have been paid before the final certificate is issued.

The making and acceptance of the final payment shall constitute a waiver of all claims by the OWNER, other than those arising from unsettled liens, from faulty work appearing

after final payment or from requirements of the Specifications, and of all claims by the CONTRACTOR, except those previously made and still unsettled.

28. GUARANTEE

The work shall be guaranteed to be free from defects due to faulty workmanship or materials for a period of one year from the date of issue of the Certificate of Acceptance. Work found to be improper or imperfect shall be replaced or done without cost to the OWNER within the year guarantee period. Neither the Certificate nor Acceptance, final payment, or any provision of the Contract Documents shall free the CONTRACTOR from his guarantee. Failure to repair or replace faulty work entitles the OWNER to repair or replace the same and recover the costs from the CONTRACTOR and/or his Surety. The CONTRACTOR shall be the sole guarantor of the work installed under this contract and no third party guarantees by subcontractors or suppliers of various components or materials will be acceptable, nor shall agreements with subcontractors or material or component suppliers by the CONTRACTOR reduce the CONTRACTOR's responsibility under this agreement. The Performance Bond shall remain in full force and effect through the guarantee period.

29. PREFERENCE IN EMPLOYMENT

Preference employment shall be given to resident citizens of the area where such persons are available and fully qualified to perform the work to which the employment relates.

30. ANTI-KICKBACK REGULATIONS

The CONTRACTOR shall comply with the Copeland Act 48, Statute 948 and all amendments or modifications of the original act of June 13, 1934.

31. CONTRACTOR'S RESPONSIBILITY

Nothing in these documents shall be constructed as relieving the CONTRACTOR of sole responsibility for coordinating all work, work schedules, and securing proper interface between the various trades, and Subcontractors.

32. BRAND NAMES

The items listed by brand name are to indicate level of quality only and are not a propriety name. They should have added to the listing of a brand name the phrase- "Or Equal".

33. OPERATIONS & MAINTENANCE LITERATURE

All items of equipment required for this contract shall be bid to provide and include as part of the price, literature explaining "Operation & Maintenance" of that item of equipment. If a manufacturer does not print such a standard O & M Manual approved, in writing, by the Manufacturer.

34. MODIFICATIONS OR BID OR WITHDRAWAL PRIOR TO OPENING

At any time prior to bid opening, the CONTRACTOR may, after handing in or submitting his bid, obtain his bid for purposes of modification or withdrawal. Bid opening is defined at the time and date at which bids are received and publicly opened. No bid will be received after that time and date.

35. RETAINAGE AND PROGRESS PAYMENTS

OWNER will make monthly progress payments to CONTRACTOR in response to properly submitted and approved pay requests utilizing the format included in this project manual. Amount due each pay request shall be equal to the Gross amount of work completed to date, less five percent (5%) retainage, less previous payments made on the project.

# Asphaltic Pavement & Base w/GeoGrid

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. **SEQUENCE OF WORK.** The contractor shall plan the work to expeditiously accomplish the pavement improvements and the other appurtenant work. It is not the intent of the Owner to destroy or in any way invade the locations of utilities in place. If any difficulties are encountered, the Contractor shall be responsible to bring it to the attention of the Engineer immediately. Surplus dirt removed from excavation necessary to the construction shall be disposed of by the Contractor. However, where instructed by the Engineer or the Owner, the Contractor shall dispose of the surplus dirt as desired by the landowner.

2. **DESCRIPTION.** This item shall consist of the placing, treatment and compacting of the Limestone flexible base, and placing of a surface course of hot mix asphaltic concrete as herein specified and in conformance with typical sections, lines and grades shown on the plans and defined by the Engineer.

**Subgrade Preparation** is described in the specification for the “Subgrade Preparation”, pages SP-1 through SP-3.

### 3. MATERIALS.

3.1 **Limestone Base.** The material for the Base Course shall be Crushed Limestone, crushed as needed to meet the requirements hereinafter specified, and shall consist of durable coarse aggregate mixed with an approved proportion of Binder Material. The limestone shall conform to the requirements of Type “A” State Grade Crushed Limestone, as specified by “Item 247 – Flexible Base”, of the Texas State Department of Highways and Public Transportation, 1982 Standard Specification for Construction of Streets and Bridges, and shall meet the physical requirements as follows:

3.1.1. Strength: A minimum strength of 50 CBR shall be achieved on the Crushed Limestone Base.

3.1.2. Gradation:

Retained on 1-3/4” square sieve	0%
Retained on 7/8” square sieve	10% to 35%
Retained on 3/8” square sieve	30% to 50%
Retained on #4 sieve	45% to 65%
Retained on #40 sieve	70% to 85%

3.1.3. Material passing the #40 sieve shall be known as “Binder Material”, and shall meet the following requirements:

Maximum Liquid Limit (L.L.)	35%
Maximum Plasticity Index (P.I.)	10%

3.2 **TX5 Triaxial GeoGrid Mechanical Stabilization.** Each Limestone material layer shall be combined with one layer of polymeric TX5 Triaxial GeoGrid grid structure comprised of a regular network of integrally connected, multidirectional tensile elements of appropriate orientation, size and shape with triangular apertures of the size specified to allow interlocking

with the unbound Limestone Base materials. The combination of the two materials shall create an improved or modified composite layer with significantly improved properties and performance capabilities.

3.2.1. Limestone Base Mechanical Stabilizer: GeoGrid. The Limestone Base Mechanical Stabilizer, or Structural Base Material Reinforcement, shall be TriAxial TX5 GeoGrid. The grid shall be integrally formed and produced from a punched sheet of polypropylene which is oriented in three substantially equilateral directions so that the resulting ribs have a high degree of molecular orientation, which continues at least in part through the mass of integral node.

3.2.2. The GeoGrid structure shall have apertures that are triangular in shape, and shall have ribs with depth-to-width ratios greater than 1.0.

3.2.3. The GeoGrid shall have the typical characteristics shown in the table below, and shall be certified in writing by the manufacturer to be TX5:

Properties	<u>TX5 GeoGrid Characteristics</u>			General
	Longitudinal	Diagonal	Transverse	
Rib pitch, mm (in)	40 (1.60)	40 (1.60)	-	
Mid-rib depth, mm (in)	-	1.4 (0.06)	1.2 (0.05)	
Mid-rib width, mm (in)	-	1.0 (0.04)	1.1 (0.04)	
Rib shape				rectangular
Aperture shape				triangular

3.3 **Hot Mix Asphaltic Concrete.** The hot mix asphaltic concrete shall conform to the requirements of the Texas State Department of Highways and Public Transportation 1982 Specifications, Item 340. The paving mixture to be used shall be the type designated on the plans. The Contractor shall provide appropriate documentation from the producer and a commercial laboratory that the hot mix asphaltic concrete used meets these requirements. The asphalt to be used shall be AC-20, 5% to 8% by weight, with a minimum Hveem Stability of 30. Aggregate retained on No. 4 sieve shall be 100% crushed limestone.

#### 4. CONSTRUCTION METHODS:

4.1. **Limestone Base.** Construction methods for the Limestone base shall conform to T.H.D. Spec. #249 "Flexible Base (Delivered)". The Contractor shall not place Limestone on the roadbed until the Engineer has accepted the shaped and compacted subgrade.

The contractor shall maintain the roadbed free of holes, ruts and depressions and in conditions to receive the Limestone. The Limestone base shall be compacted to at least 98% of Standard Proctor density to the full required thickness.

The first density and depth test at a specific location shall be made by a commercial testing laboratory designated by the Owner and said tests shall be paid for by the Owner. If the test fails, all other tests at that location shall be paid for by the Contractor.

#### 4.2. TX5 Triaxial GeoGrid

4.2.1. The Contractor shall inspect the GeoGrid upon delivery to confirm that the proper material has been received. The Contractor shall make sure that the GeoGrid is free of flaws or damage that may have occurred during manufacture, shipping, or handling.

4.2.2. The subgrade Soil or previous Limestone Base layer shall be prepared as indicated on the construction drawing or as directed by the Engineer. The GeoGrid shall be placed after the previous soil of base layer has been shaped to grade as required in the construction documents, and density and surface texture has been approved by the Engineer or the Inspector. The GeoGrid shall be placed longitudinally on the roadway subgrade or base. Each GeoGrid strip shall overlap no less than 6" with the adjacent GeoGrid strip. No material shall be placed on top of a GeoGrid layer until approved by the Engineer or the Inspector.

4.2.3. The GeoGrid shall be installed in accordance with the plans and specifications and any installation guidelines provided by the manufacturer or as directed by the Engineer.

4.2.4. The GeoGrid may be temporarily secured in place with ties, staples, pins, sand bags or backfill as required by fill properties, fill placement procedures or weather conditions or as directed by the Engineer.

4.2.5. A minimum loose fill thickness of 6 inches is required prior to operation of tracked vehicles over the GeoGrid. Turning of tracked vehicles should be kept to a minimum to prevent tracks from displacing the fill and damaging the GeoGrid. When underlying substrata is trafficable with minimal rutting, rubber-tired equipment may pass over the GeoGrid reinforcement at slow speeds (less than 5 mph). Sudden braking and sharp turning movements shall be avoided.

4.3. **Hot Mix Asphaltic Concrete.** The prime coat, tack coat or the asphaltic mixture when placed with a spreading and finishing machine, shall not be placed when the air temperature is below 50 deg F and is falling, but it may be placed when air temperature is above 50 deg F and is rising. The air temperature shall be taken in the shade away from artificial heat. It is further provided that the prime coat, tack coat, or asphaltic mixture shall be placed only when the humidity, general weather conditions, and temperature and moisture condition of the base, in the opinion of the Engineer, are suitable. If the temperature of the asphaltic mixture of a load or any part of a load becomes less than 225 deg F or more than 350 deg F after being dumped from the mixer and prior to passing through the lay-down machine, all or any part of the load may be rejected.

4.3.1. Prime coat. After the Limestone base has been laid to grade, and is approved by the Engineer or the Inspector, a prime coat shall be applied at the rate shown on the plans. The asphaltic material shall be as specified in the plans. The application temperature shall be as provided above. The tack coat for asphaltic concrete specified below shall not be applied on a previously primed flexible base until the primed base has completely cured to the satisfaction of the Engineer.

4.3.2. Tack Coat. Before the asphaltic mixture is laid, the surface upon which the tack coat is to be placed shall be cleaned thoroughly to the satisfaction of the Engineer. The surface shall be given a uniform application of tack coat using asphaltic materials specified in the plans. This tack coat shall be applied, as directed by the Engineer, with an approved sprayer at a rate not less than 0.05 (not to exceed 0.15) gallons per square yard of surface.



All contact surfaces of inlet structures and all joint shall be painted with a thin uniform coat of the asphaltic material meeting the requirements for the tack coat. The tack coat shall be rolled with a pneumatic tire roller when directed by the Engineer.

4.3.3. Transporting Asphaltic Concrete. The asphaltic mixture, prepared as specified above, shall be hauled to the work in tight vehicles previously cleaned of all foreign material. The dispatching of the vehicles shall be arranged so that all material delivered may be placed, and all rolling shall be completed during daylight hours. In cool weather or for long hauls, canvas covers and insulating of the truck bodies may be required. The inside of the truck body may be given a light coat of oil, lime slurry or another material satisfactory to the Engineer, if necessary, to prevent mixture from adhering to the body.

#### 4.3.4. Placing

4.3.4.1. Generally, the asphaltic mixture shall be dumped and spread on the approved prepared surface with specified spreading and finishing machine, in such manner that when properly compacted the finished pavement shall be smooth, of uniform density and shall meet the requirements of the typical cross sections and the surface test. During the application of asphaltic material, care shall be taken to prevent splattering of adjacent pavement, and structures.

4.3.4.2. When the asphaltic mixture is placed in a narrow strip along the edge of an existing pavement, or used to level up small areas of an existing pavement or placed in small irregular areas where the use of a finishing machine is not practical, the finishing machine may be eliminated when authorized by the Engineer, provided a satisfactory surface can be obtained by other approved methods.

4.3.4.3. Flush structures. Adjacent to flush structures, the surface shall be finished uniformly high so that when compacted it shall be slightly above the edge of the flush structure.

#### 4.3.5. Compacting

4.3.5.1. Rolling with the three wheel and tandem rollers shall start longitudinally at the sides and proceed toward the center of the pavement, overlapping on successive trips by at least half the width of the rear wheel unless otherwise directed by the Engineer. Rolling with pneumatic-tire roller shall be done as needed. Rolling shall be continued until no further compression can be obtained and all roller marks are eliminated. One tandem roller, one pneumatic-tire roller, and at least one three-wheel roller, as specified above shall be provided for each job. If the Contractor elects, he may substitute the three axle tandem roller and/or the three-wheel roller; but in no case shall less than three rollers be in use on each job. Additional rollers shall be provided if needed. The motion of the roller shall be slow enough at all times to avoid displacement of the mixture. If any displacement occurs, it shall be corrected at once by the use of rakes and of fresh mixtures where required. The roller shall not be allowed to stand on pavement which has not been fully compacted. To prevent adhesion of the surface mixture to the roller, the wheels shall be kept thoroughly moistened with water, but an excess of water will not be permitted. All rollers must be in good mechanical condition. Necessary precautions shall be taken to prevent the dropping of gasoline, oil, grease or other foreign matter on the pavement, either when the rollers are in operation or when standing.

In lieu of the rolling equipment specified, the Contractor may, upon written permission from the Engineer, operate other compacting equipment that will produce equivalent relative compaction as the specified equipment. If the substituted compaction equipment fails to produce the desired compaction as would be expected of the specified equipment, as determined by the Engineer, its use shall be discontinued.

4.3.6. Opening Traffic. The pavement shall be opened to traffic when directed by the Engineer. If the surface ravel, it shall be the Contractor's responsibility to correct this condition at his expense.

4.3.7. Density Testing - Acceptance, Sampling and Testing of Hot Mix Asphaltic Concrete (Compaction). Hot Mix Asphaltic Concrete will be accepted for density on a lot basis. A lot shall consist of one day's production or 1,200 tons, whichever is less and shall be divided into four equal sublots. One test shall be made for each subplot.

Each lot of pavement will be accepted, with respect to density, when the average field density is equal to or greater than 92% of the average maximum theoretical density as determined in accordance with ASTM D2041, and when no individual determination is less than 91.0% of the average maximum theoretical density. Four field density determinations shall be made for each lot. Cores or sawed samples taken from the pavement shall be used to determine the field density. The density of the cored or sawed samples shall be determined in accordance with ASTM D2726.

The same specimen shall be used for determining both the maximum theoretical density and field density. Specimens used for field density determination shall be carefully crumbled, using heat if necessary, and maximum theoretical density determined in accordance with ASTM D2041. If heating is necessary, the specimen shall be heated to the lowest temperatures required for proper preparation of the sample.

The use of nuclear field density determination shall not be used as the basis for acceptance with respect to density.

4.3.8. Surface Test. Tests for conformity with the specified crown and grade shall be made by the Contractor immediately after final rolling. Any variation exceeding the specified tolerances shall immediately be corrected by removing the defective work and replacing with new material, as directed by the Engineer. Any correction required shall be at sole expense of the Contractor.

For surface course, the finished surface shall not vary more than  $\frac{1}{4}$  inch (6.35mm) when tested with a 16 ft. straightedge applied parallel with, or at right angles to, the centerline.

The finished surfaces of hot mix asphaltic concrete shall not vary from the grade line, elevations and cross sections shown on the plans by more than  $\frac{1}{2}$  inch (12.7mm). The Contractor shall correct pavement areas varying in excess of this amount by removing and replacing the defective work. Skin patching shall not be permitted for correction of low areas nor shall planning be permitted for correction of high areas.

4.3.9. Sampling Pavement. Samples for determination of thickness and density of completed asphaltic pavement surface shall be obtained by the testing laboratory. The

size, number and locations of the samples will be as directed by the Engineer. Samples shall be neatly cut with a saw, core drill or other approved equipment. The Contractor shall, after coring the pavement, provide all labor and materials for patching the core holes as soon as practicable. The Owner will cover the costs of initial testing. However, the costs for any retesting due to failed tests shall be borne by the Contractor.

## **5. MEASUREMENT:**

5.1. Measurement of the “Subgrade Preparation” shall be by the subgrade area actually completed, of each thickness as specified on the construction drawings and in the Bid Proposal. Unit shall be in square yards.

5.2. Measurements of “Limestone Base w/TX5 GeoGrid” shall be by the base area actually completed, of each thickness as specified on the construction drawings and in the Bid Proposal. Unit shall be in square yards.

5.3. Measurements of “Limestone Base” shall be by the base area actually completed, of each thickness as specified on the construction drawings and in the Bid Proposal. Unit shall be in square yards.

5.4. Measurements of “H.M.A.C. Pavement” (Hot Mix Asphaltic Concrete Pavement) shall be by the paved area actually completed, of the thickness specified on the construction drawings and in the Bid Proposal. Unit shall be in square yards.

## **6. PAYMENT:**

6.1 The work performed and materials furnished as prescribed by this item and measured as provided under “Measurements” will be paid for at the unit price bid per square yard of “Subgrade Preparation”, of “Limestone Base w/TX5 GeoGrid”, of “Limestone Base” and of “Hot Mix Asphaltic Pavement”, of each of the thicknesses specified in the Construction Drawings and in the Bid Proposal.

6.2 The unit bid price shall be full compensation for furnishing all material, subgrade preparation, Limestone, Tri-Axial TX5 GeoGrid fabric, freight, heating, mixing, hauling, cleansing of the existing subgrade course, base course or pavement, tack coat, placing asphaltic concrete mixture, rolling and finishing, and for all manipulation, labor, tools, equipment, and incidentals necessary to complete the work to the thicknesses and compaction levels indicated in the drawings, and in conformance with all provisions of these specifications.

# **Concrete Slab & Limestone Base**

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## **SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**

1. **SEQUENCE OF WORK:** The Contractor shall plan the work to expeditiously accomplish the improvements of this contract. It is not the intent of the Owner to destroy or in any way invade the locations of utilities in place. If any difficulties are encountered, the Contractor shall be responsible to bring it to the attention of the Engineer immediately. Surplus dirt removed from excavation necessary to the construction shall be disposed of by the Contractor. However, where instructed by the Engineer or the Owner, the Contractor shall dispose of surplus dirt as desired by the landowner.

2. **DESCRIPTION.** This item shall consist of the placing, treatment and compacting of the Limestone flexible base, and placing of reinforced concrete slab as herein specified and in conformance with typical sections, lines and grades shown on the plans and defined by the ENGINEER.

### **3. MATERIALS.**

3.1. **Limestone Base.** The material for the Base Course shall be Crushed Limestone, crushed as needed to meet the requirements hereinafter specified, and shall consist of durable coarse aggregate mixed with an approved proportion of Binder Material.

3.2. **Concrete.** Concrete characteristics shall conform to the indicated specification, except for the following:

3.2.1. **Compressive Strength:** Concrete shall meet a minimum compressive strength of 5,000 psi in 28 days.

3.2.2. **Slump.** Concrete shall slump no less than 3” and no more than 5”. The Slump test shall be performed on concrete immediately prior to placing.

### **4. CONSTRUCTION METHODS:**

4.1. **Limestone Base.** The Contractor shall not place Limestone on any surface until the Engineer has accepted the shaped and compacted surface that will receive the new Limestone material.

The Contractor shall maintain the Limestone-receiving surface area free of holes, ruts and depressions and in conditions to receive the Limestone. The Limestone base shall be compacted to at least 98% of Standard Proctor density to the full required thickness.

The first density and depth test at a specific location shall be made by a commercial testing laboratory designated by the OWNER and said tests shall be paid by the OWNER. If the test fails, all additional tests at that location shall be paid for by the Contractor.

4.2. **Reinforced Concrete Slab.** Construction methods for Reinforced Concrete Slab shall conform as indicated in the construction drawings and details. All transverse contraction (dummy) joints in the pavement shall be as shown in the construction drawings, and with a formed groove at least two inches deep in the concrete pavement.

4.2.1. Testing. Acceptance, Sampling and the following tests shall be performed by the OWNER-designated Testing Laboratory:

4.2.1.1. Concrete cylinders. 3 cylinders (1 set) per 20 cubic yards of concrete, or at the discretion of the OWNER.

4.2.1.2. Slump. Concrete shall slump no less than 3” and no more than 5”. The Slump test shall be performed on concrete immediately prior to placing.

A Port Inspector shall be present when all tests are made and when all samples are taken. Contractor shall be responsible to provide timely and proper notice to the Port Department of Engineering Services as to the time the laboratory technician(s) will perform the tests.

The finished surfaces reinforced Portland cement concrete shall not vary from the grade line, elevations and cross sections shown on the plans by more than 1/2 inch (12.7 mm). The Contractor shall correct concrete slab areas varying in excess of this amount by removing and replacing the defective work.

All tests necessary to determine conformance with the specified requirements will be performed without cost to the Contractor. However, any required retests due to failed tests shall be paid for by the Contractor.

## 5. MEASUREMENT:

5.1. Measurement of “Limestone Base” shall be by the surface area actually completed, of the thickness required to bring the area below the concrete pads to the required grade for the concrete slab, as specified on the construction drawings and in the Bid Proposal. Unit shall be in square feet.

5.2. Measurement of “Steel-Reinforced Concrete Slab” (Portland Cement Concrete Pavement) on “Steel-Reinforced Concrete Slab” shall be by the surface area actually completed, of the thicknesses specified on the construction drawings and in the Bid Proposal. Unit shall be in square feet.

## 6. PAYMENT:

6.1. The work performed and materials furnished as prescribed by this item and measured as provided under “Measurement” will be paid for at the unit price bid per square yard of Limestone Base, Concrete Pavement and Concrete Driveway, of the thicknesses specified in the Construction Drawings and in the Bid Proposal.

6.2. The unit bid price shall be full compensation for furnishing all material, subgrade lime treatment, Limestone lime treatment, Limestone, lime, freight, heating, mixing, hauling, cleansing of the existing base course or pavement, Portland cement concrete, reinforcing steel, vibrating and finishing, and for all manipulation, labor, tools, equipment, and incidentals necessary to complete the work to the thicknesses and compaction levels indicated in the drawings, and in conformance with all the provisions of these specifications.

# Concrete Pipe - Storm Sewer

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. **DESCRIPTION.** This item shall govern the trench excavation, furnishing concrete Sewer Pipe of the diameters and dimensions and types as shown on the plans, installing said pipe to the lined and grades shown on the plans, backfilling the trenches and disposal of surplus excavated material.

2. **MATERIALS.** All materials shall conform to T.H.D. Spec. #464 “Reinforced Concrete Pipe Culverts”.

Reinforced concrete pipe (RCP) shall conform to ASTM Designation C76, Class III, with mortar or rubber gasket joints.

Plain heavy wall pipe (HWP) shall conform to ASTM Designation C14, Class 3, with mortar or rubber gasket joints.

The Contractor may use in lieu of mortar joint Ram-Nek Flexible Plastic Gasket, or approved equal, meeting Federal Specifications No. SS-S-00210 “Sealing Compounds, Preformed Plastic for Pipe Joints, Type 1 Rope Form”.

3. **CONSTRUCTION METHODS.** Construction methods for concrete sewers shall conform to T.H.D. Spec. #464.

The trench for the sewer pipe shall be excavated to lines and grades shown on the plans or as established by the Engineer. Special care shall be taken not to excavate below the established grade for the bottom of the pipe. If for any reason it is necessary to excavate below the established grade, the trench bottom shall be brought to grade by backfilling with suitable material approved by the Engineer and thoroughly compacting the fill.

No pipe shall be used which is cracked, checked, spilled or damaged or which in any way fails to meet the specifications. The pipe shall be laid so that spigot points in the direct of flow, and if feasible, laying shall start from the lowest point. All pipe shall be laid with spigot centered in the hub and shall be fitted and matched to provide a smooth uniform invert. The inside of the pipe shall be kept clean of foreign material.

Each layer of backfill material shall be at the moisture content required to obtain the proper density, and shall be compacted to the required density by means of mechanical tamps or rammers, except that the use of rolling equipment of the type generally used in compacting embankments will be permitted on those portions which are accessible to such equipment. All backfill required to complete the work shall be compacted by either rolling equipment or mechanical tamps or rammers. Hand tamping will not be accepted as an alternative to mechanical compaction. Care shall be taken to prevent any wedging action of backfill against the pipe.

OR

The Contractor may backfill by placing a layer of dirt no tot exceed 2 feet and water tamping the layer by placing water slowly from the bottom up and obtain additional compaction by the use of either standard mechanical tamps or by dropping a heavy weight.

Regardless of compaction method used, bedding and backfill materials and compacted densities shall be as shown on the plans.

4. **GUARANTEE.** The Contractor will inspect the finished job with a representative of the City ever 90 days for a period of one year after the job has been accepted. If any settlement is found, the contractor shall repair the area at his expense within 7 days after written notice. The performance bond will be in effect for the duration of this guarantee.

5. **MEASUREMENT.** Concrete Pipe will be measured by the linear foot, in place, of the various types and sizes, installed as specified above, in the various depths of trench.

The depth of the trench, where applicable, shall be measured as the vertical distance, in feet, from the original natural ground to the flow line of the pipe. Depth shall be considered in payment only if the Bid Proposal so indicates.

6. **PAYMENT.** Work performed and materials furnished as prescribed by this item, measured as provided above, will be paid for at the contract Unit Price Bid for the various sizes, types, and depths (where indicated), of Concrete Sewer Pipe shown in the Bid Schedule, which price shall be full compensation for all excavation, fine-grading, furnishing, hauling and installing Concrete Sewer Pipe, joint material, concrete, backfill, and disposal of excess excavated material, and for all materials, equipment, tools, labor and incidentals necessary to complete the work.

# Earthwork and Site Preparation

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. **SCOPE.** This section shall cover the removal and disposal of all materials, in open cut and tunnel excavations, and in paving operations, necessary for performing the work as shown on the drawings or called for in the proposal or special provisions, including sheeting and bracing, drainage, and other work incidental to the preparation of the site for subsequent construction work.

2. **PREPARATION OF THE SITE.** Prior to commencing constructions operations, the contractor shall make all the provisions necessary to assure the protection shrubs, planting and grass areas and shall make provisions for maintaining public travel in an acceptable manner.

3. **PROTECTION OF EXISTING IMPROVEMENTS.** Before any excavation is started, adequate protection shall be provided for all lawns, trees, shrubs, landscape work, fences, sidewalks, hydrants, utility poles, street, alley and driveway paving, curbs, storm sewers, ditches, headwalls, catch basins, surface inlets and all other improvements that are to remain in place. Such protection shall be provided as long as necessary to prevent damage from the contractor's operations. Shrubs, bushes, small trees and flowers, which have to be removed to permit excavation for the waterline, shall be protected and replanted or replaced when the backfill is completed. The contractor shall be protected and replanted or replaced when the backfill is completed. The contractor shall exercise every precaution to prevent damage to property within and outside easements. He shall remove all debris and rock from the site and restore the ground surfaces to the original grade after proper compaction, replace or repair all driveways, buildings, fences, retaining walls, culverts, drains, paving, sidewalks, etc. which are removed or damaged during construction. Repair, restoration or replacements of any improvements damaged or removed shall be the obligation of the contractor at no additional cost to Owner.

4. **PERMITS.** The Contractor, or where and when required, the Owner, will obtain any necessary permits for water or wastewater improvements in public and private rights-of-way from pertinent jurisdictional authorities, as required.

5. **DRAINAGE.** The contractor shall make provisions for handling all flows in existing creeks, ditches, sewers, and trenches by pipes, flumes or other approved methods at all times when his operations would, in anyway, interfere with the natural functioning of said creeks, ditches, sewers and drains. The contractor shall at all times during construction provide and maintain sufficient equipment for the disposal of all water which enters the excavation, both in open cut trenches and in tunnels, to render such excavations firm and dry, until structures to be built thereon are completed.

5.1. **Methods.** Methods. Pipe under-drains, well point systems, deep well pumps or other suitable equipment and methods shall be used to keep all excavations firm and dry, at no additional cost to Owner unless otherwise provided in the proposal.



**6. EXCAVATED MATERIALS.** Materials of excavation shall be classified as earth excavation or as rock excavation and shall include whatever materials are encountered to the depth shown on the drawings, or as directed by the engineer.

**6.1. Disposal of Unsuitable Materials.** Excavated materials which are either surplus and not required or are unsuitable for backfilling shall be removed from the site of operations as soon as excavated. All excavated materials so removed shall be disposed of, at no additional cost to Owner at a location to be jointly determined by the Contractor and the Engineer.

**6.2. Storage of Suitable Materials.** Excavated materials suitable and required for backfill shall be stored in neat piles adjacent to the excavation in a manner so as to minimize interference with traffic, but shall not be placed at such heights above, or closeness to, the sidewalls of the excavations to endanger such operations due to slides or cave-ins.

**7. OPEN CUT EXCAVATION.** Open Cut excavation, in earth or other material, shall be safely supported and of sufficient width and depth to provide adequate room for the construction or installation of the work to the lines, grades and dimensions called for on the construction drawings.

**7.1. Trench Preparation.** The trench shall be dug so that the pipe can be laid to the alignment and depth required. It shall be excavated only so far in advance of pipe laying as authorized by the engineer. Unless otherwise ordered by the Owner, all trenches shall be excavated to a width not less than the external diameter of the pipe plus twelve inches (12"). The Contractor shall do all excavation of whatever substances encounter to depths specified. The trench shall be excavated to the depth requires so as to provide for the installation of the pipe bedding material to the depth specified on the drawings and elsewhere in these specifications. Bell holes shall be provided at each joint to permit jointing to be properly made and inspected.

**7.2. Unauthorized Excavation.** Excavation shall not be carried below the required level. Excess excavation below the required level shall be backfill at Contractor's expense with earth, sand or gravel as directed by Owner and shall be compacted to a minimum 95% Standard Proctor density.

**7.3. Earth Excavation.** Earth materials shall be excavated so that the open cut trenches conform with the lines, grades and dimensions shown and/or specified on the drawings.

7.3.1. When the bottom of the excavation is unsuitable as a foundation, it shall be excavated below subgrade and then filled with gravel which shall be mechanically compacted in 6" (six inch) layers to a minimum density of 95% Standard Proctor. Owner or Engineer will determine depth of removal and replacement of unstable soil. Contractor shall furnish pumps or well points to keep excavation free of water and also any necessary sheeting, shoring or bracing in conformance with Section 11 of these Standard Specifications to prevent cave-ins. Basis of payment shall be as indicated in the above mentioned specification.

7.3.2. Excavated earth materials may be used for backfill in conformance with the provisions of Section 6 of these Standard Specifications, subject to the approval of the Engineer.

8. **BORING AND JACKING.** Construction of water or sewer lines by boring and jacking methods will be required as specified in the plans and specifications. In the event line and grade cannot be obtained by boring and jacking, the Contractor will be required, at his expense, to construct a lined mined tunnel in lieu of a tunnel by boring and jacking.

8.1. **Backstop.** The backstop shall be of sufficient strength and shall be positioned to support the thrust of the boring equipment without incurring any vertical or horizontal displacement during the boring operations.

8.2. **Guide Rails.** The guide rails for the boring equipment may be of either timber or steel. They shall be laid accurately to line and grade and maintained in this position until completion of the boring operations.

# **Subgrade Preparation**

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## **SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**

1. **SCOPE.** This item shall consist of preparing the sub-grade, existing sub-base or existing base by pulverizing and compacting the existing material to the required density. This item applies to natural ground, embankment, or existing pavement structures, and shall be constructed as specified herein and in conformance with the typical sections, lines and grades as shown on the drawings, or as established by the Engineer.

### **2. MATERIALS.**

2.1. The Contractor shall prepare the subgrade and, where appropriate, the base to receive the next layer in the pavement section, as shown in the construction drawings.

### **3. EQUIPMENT.**

3.1. The machinery, tools and equipment necessary for proper prosecution of the work shall be on the project and approved by the Engineer prior to the beginning of construction operations. All machinery, tools and equipment used shall be maintained in a satisfactory and workmanlike manner.

### **4. CONSTRUCTION METHODS.**

4.1. **General.** It is the primary objective of this specification to secure a completed course of prepared subgrade or base material containing a homogeneous mix, free from loose or segregated areas, of uniform density and moisture content, well bound for its full specified depth and with a smooth surface suitable for placing subsequent courses. It shall be the responsibility of the Contractor to regulate the sequence of his work, to use the proper amount of moisture, maintain the work and rework the courses as necessary to meet the above requirements.

4.1.1. The roadbeds shall be constructed and shaped to conform to the typical sections, lines and grades as shown on the plans or as established by the Engineer. The material shall be excavated to the secondary grade (proposed bottom of Subgrade Preparation) and removed or windrowed to expose the secondary grade. Any wet or unstable materials below the secondary grade shall be corrected, as directed by the Engineer, by scarifying and compacting, or other methods until satisfactory stability is obtained.

4.1.2. If the Contractor elects to use a cutting and pulverizing machine that will remove the sub-grade material accurately to the secondary grade and pulverize the material at the same time, he will not be required to expose the secondary grade nor windrow the material. However, the Contractor shall be required to roll the sub-grade with a loaded water truck, or as directed by the Engineer, before using the pulverizing machine, and shall correct any soft areas that this rolling may reveal. This method will be permitted only where a machine is provided which will insure that the material is cut uniformly to the proper depth and which has cutters that will plane the secondary grade to a smooth

surface over the entire width of the cut. The machine shall be of such design that a visible indication is given at all times that the machine is cutting to the proper depth.

4.1.3. The material and lime shall be thoroughly mixed by approved road mixers or other approved equipment, and the mixing continued until, in the opinion of the Engineer, a homogeneous friable mixture of material and lime is obtained, such that when all non-slaking aggregates retained on the 3/4" sieve are removed, the remainder of the material shall meet the following requirements when tested from the roadway in the roadway condition by laboratory sieves:

Minimum passing 1-3/4" sieve	100%
Minimum passing 3/4" sieve	85%

The subgrade material layer shall be sprinkled during the spreading operation as directed by the Engineer to provide optimum moisture in the mixing.

4.1.4. Compaction. Compaction of the subgrade layer shall begin immediately after final milling unless approval is obtained from the Engineer. The material shall be aerated or sprinkled as necessary to provide the optimum moisture. Compaction shall begin at the bottom and shall continue until the entire depth of subgrade material is uniformly compacted by the method of compaction hereinafter specified as the "Density Control" method as indicated on the plans.

If the total thickness of the subgrade material cannot be mixed in one operation, the previously mixed material shall be bladed to a windrow just beyond the area to be treated and the next layer prepared as specified above. The first layer of the subgrade material shall be compacted in such a manner that the subgrade material will not be mixed with the underlying material.

When the "Density Control" method of compaction is indicated on the plans the following provisions shall apply:

The course shall be sprinkled as required and compacted to the extent necessary to provide the density specified below as determined by the use of the compaction ratio method:

Description	Density (Standard Proctor)
For prepared sub-grade or existing sub-base that will receive subsequent sub-base or base courses.	Not less than 95% except when otherwise shown on the plans. Optimum moisture tolerance: -1 (dry) to +3 (wet).
For Limestone Base material and Limestone Base that will receive surface courses.	Not less than 98% except when otherwise shown on the plans. Optimum moisture tolerance: -3 (dry) to +3 (wet).

In addition to the requirements specified for density, the full depth of the material shown on the plans shall be compacted to the extent necessary to remain firm and stable under construction equipment. After each section is completed, tests as necessary will be made by the Engineer. If the material fails to meet the density requirements, it shall be

reworked as necessary to meet these requirements. Throughout this entire operation the shape of the course shall be maintained by blading, and the surface upon completion shall be smooth and in conformity with the typical section shown on the plans and to the established lines and grades. Should the material, due to any reason or cause, lose the required stability, density and finish before the next course is placed or the work is accepted, it shall be reprocessed and refinished at the expense of the Contractor.

4.2. Finishing and Preparation for Surfacing. After the final layer or course of the Prepared Subgrade has been compacted, it shall be brought to the required lines and grades in accordance with the typical sections. The completed section shall then be finished by rolling as directed with a pneumatic tire or other suitable roller sufficiently light to prevent hair-line cracking. In case where prepared subgrade or sub-base set up sufficiently to prevent objectionable damage from traffic, such layers may be opened to construction and/or access traffic, and covered by other courses, the day following compaction, unless otherwise directed by the Engineer. If the plans provide for the prepared subgrade material to be sealed or covered by other courses of material, such seal or course shall be applied within 14 days after final compaction is completed, unless otherwise directed by the Engineer.

## 5. MEASUREMENT.

5.1. Preparation of Subgrade, existing sub-base, and existing base shall be measured by the square yard of "Prepared Subgrade", from the limits of treatment as specified on the drawings, and as shown on the typical sections.

## 6. PAYMENT.

6.1. The work performed as prescribed by this item and measured as provided under "Measurement" will be paid for as part of the Lump Sum Base Bid amount, as indicated in the Bid Proposal, and shall not be paid for separately.

6.2. Subgrade Preparation of the depths specified in the drawings will be paid for as part of the Lump Sum Base Bid price, as indicated on the Bid Proposal. The Lump Sum Base Bid price shall include compensation for all correction of secondary sub-grade, for loosening, mixing, pulverizing, spreading, drying, water content of the subgrade material, shaping and maintaining, compacting to specified densities, for all tools, equipment, labor, and for all incidentals necessary to successfully and adequately complete the work.

# **Trench Safety System**

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## **SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT**

### **1. SAFETY POLICY:**

1.1. Each supervisor and/or foreman shall fully understand his degree of responsibility and authority.

1.2. Prior to beginning work employees shall be taught to recognize hazards and safety precautions they must take.

1.3. During construction, supervisors and/or foremen shall conduct inspections, investigate accidents and anticipate hazards. They will meet on-the-job employee safety training and education needs. They will also take precautions to guard against potential hazards. They will insist on worker compensation in safety matters.

### **2. ON-SITE POLICIES:**

The following will be the Trench Safety System Plan to be followed by the Contractor on all applicable pipe trenches within this project.

2.1. Surface encumbrances. Trees, boulders, and other surface encumbrances that are located so as to create a hazard to employees shall be made safe or removed.

#### **2.2. Underground Installations.**

2.2.1. The estimated location of utility installations, such as sewer, telephone, fuel, electric, or waterlines, or any other underground installations that reasonably may be expected to be encountered during excavation work, shall be determined prior to opening an excavation, as far as practicable.

2.2.2. Utility companies shall be contracted and advised of proposed work prior to the start of actual excavation.

2.2.3. When excavation operations approach the estimated location of underground installations, the exact location of the installations shall be determined by acceptable means, such as probing with hand-held tool.

2.2.4. While the excavation is open, underground structures shall be removed, protected, or supported as necessary to safeguard employees.

2.3. Access and Egress. A stairway, ladder, ramp or other safe means of egress shall be located in trench excavations that are five feet or more in depth so as to require no more than 25 feet of lateral travel for employees. A negotiable slope may be used as a means of egress unless climactic conditions (rain, snow, ice) create a hazard to the worker. This ramp will be constructed by controlling the backfill of the pipe trench so that the resulting slope will not exceed 4:1 or have steps higher than eight inches (8").

2.4. Exposure to vehicular traffic. Employees exposed to vehicular traffic shall be provided with, and shall wear, warning vests or other suitable garments marked with or made of reflectorized or high-visibility material.

2.5. Exposure to falling loads. No employees shall be permitted underneath loads handled by lifting or digging vehicles being loaded or unloaded to avoid being struck by any spillage or falling material. Operators may remain in the cabs of vehicles being loaded or unloaded when the vehicles are equipped to provide adequate protection for the operator during loading and unloading operations.

2.6. Warning systems for mobile equipment. When mobile equipment is operated adjacent to an excavation, or when such equipment is required to approach the edge of an excavation, and the operator does not have a clear and direct view of the edge of the excavation, a warning system shall be utilized such as barricades, hand or mechanical signals, or stop logs. If possible, the grade should be away from the excavations.

2.7. Hazardous atmospheres. No hazardous atmospheres are expected. However, if the natural conditions do not provide adequate ventilation of the trench, mechanical blowers will be provided to prevent the concentration of hazardous or oxygen deficient atmospheres.

2.8. Protection against water accumulation.

2.8.1. Employees shall not work in excavations in which there is accumulated water, or in excavations in which water is accumulating, unless these conditions have been anticipated, and adequate precautions have been taken to protect employees against the hazards posed by water accumulations. The precautions necessary to protect employees adequately vary with each situation, but could include special support or shield systems to protect against cave-ins, water removal to control the level of accumulating water, and use of a safety harness and life-line.

2.8.2. If water is controlled or prevented from accumulating by the use of water removal equipment, the water removal equipment and operation shall be monitored by a competent person to ensure proper operation.

2.8.3. If excavation work interrupts the natural drainage of surface water (such as streams), diversion ditches, dikes, or other suitable means shall be used to prevent surface water from entering the excavation and to provide adequate drainage of the area adjacent to the excavation. Excavations subject to runoff from heavy rains will be inspected by a competent person in compliance with items 2.8.1. and 2.8.2. above.

2.9. Stability of adjacent structures.

2.9.1. Where the stability of adjoining buildings, walls, or other structures is endangered by excavation operations, support systems such as shoring, bracing, or underpinning shall be provided to ensure the stability of such structures for the protection of employees.

2.9.2. Excavation below the level of the base or footing of any foundation or retaining wall shall not be permitted except when:

2.9.2.1. A support system, such as underpinning, is provided to ensure the safety of employees and the stability of the structure; or

2.9.2.2. The excavation is in stable rock; or

2.9.2.3. A qualified person, a qualified engineer, or a person under the direction of a qualified engineer, determines, based on accepted engineering practice, that the structure is at such a distance from the excavation so as to be unaffected by the excavation activity; or

2.9.2.4. A qualified person, a qualified engineer or a person under the direction of a qualified engineer determines, based on accepted engineering practice, that such work will not pose a hazard to employees.

2.9.3. Sidewalks and pavements shall not be undermined unless a support system or another method of protection is provided to protect employees from the possible collapse of such structures.

2.10. Protection of employees in excavations.

2.10.1. Employees shall be protected from cave-ins in excavations by the installation or use of an adequate protective system which meets the requirements of the table on page 6. However, the installation or use of a protective system is not required when:

2.10.1.1. Excavations are made in stable rock; or

2.10.1.2. Excavations are less than five feet in depth and examination of the ground by a competent person provides no indication that a cave-in should be expected.

2.10.2. In addition to the protection against cave-ins required in paragraph 2.10.1., adequate protection shall be provided to protect employees against loose rock or soil that could pose a hazard by falling or rolling from an excavation face. Such protection shall consist of scaling to remove loose material; installation of protective barricades at intervals as necessary on the excavation face to stop and contain falling material; or other means that provide equivalent protection.

2.10.3. Employees shall be protected from excavated or other materials or equipment that could pose a hazard by falling or rolling into excavations. Protection shall be provided by placing and keeping such materials or equipment at least two feet (.61m) from the edge of excavations, or be the use of retaining devices that are sufficient to prevent material or equipment from falling or rolling into excavations, or by a combination of both, if necessary.

2.11. Inspections.

2.11.1. Daily inspections of excavations, the adjacent areas, and protective systems shall be made by the superintendent for evidence of a situations of failure of protective systems, hazardous atmospheres, or other hazardous conditions. Inspections shall also be made after every rainstorm or other hazard increasing occurrence. These inspections are only required when employee exposure can be reasonably anticipated.



2.11.2. Where the superintendent finds evidence of a situation that could result in possible cave-ins indications of failure of protective systems, hazardous atmospheres, or other hazardous conditions. Inspections shall also be made after every rainstorm or other hazard increasing occurrence. These inspections are only required when employees exposure can be reasonably anticipated.

2.12. Trenches will be completely backfilled at the end of each working day. No more than a 100 feet of trench shall be open at one time.

### 3. SHORING PROVISIONS:

Shoring will be provided in accordance with the MINIMUM REQUIREMENTS FOR TRENCH SHORING on page 6.

THUMB PENETRATION (ASTM D-2488).

Type "A" soils with an unconfined compressive strength of 1.5 TSF or greater can be readily intended by the thumb, however, they can be penetrated by the thumb only with great effort.

Type "B" soils have a compressive strength between 0.5 TSF and 1.5 TSF3

Type "C" soils with an unconfined compressive strength of 0.5 TSF or less can be easily penetrated several inches by the thumb and can be molded by light finger pressure.

The superintendent will be provided with a CL-700A pocket penetrometer to measure the soil strength, in addition to the thumb test.

4. **MEASUREMENT.** Work under Trench Safety System shall be measured by the linear foot of trench supported or sloped in accordance to this specification

5. **PAYMENT.** Work under Trench Safety System shall be paid for by the linear foot of trench supported or sloped in accordance to this specification. Bid price shall include furnishing of trench jacks or trench box and/or sloping of trenches, as appropriate and pertinent.

# TriAx TX5 General Specifications

## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

### General

1. The Geogrid shall be integrally formed and produced from a punched sheet of polypropylene which is then oriented in three substantially equilateral directions so that the resulting ribs shall have a high degree of molecular orientation, which continues at least in part through the mass of the integral node.
2. The resulting Geogrid structure shall have apertures that are triangular in shape, and have ribs with depth-to-width ratios greater than 1.0.
3. The Geogrid shall have the typical characteristics shown in the table below:

### Geometrical Properties – Triaxial Fabric (Base Bid)

Properties	Longitudinal	Diagonal	Transverse	General
• Rib pitch nominal dimension, mm (in)	40 (1.60)	40 (1.60)	-	
• Mid-rib depth nominal dimension, mm (in)	-	1.4 (0.06)	1.2 (0.05)	
• Mid-rib width nominal dimension, mm (in)	-	1.0 (0.04)	1.1 (0.04)	
• Rib shape				rectangular
• Aperture shape				triangular

### Dimensions and Delivery

The Geogrid Fabric shall be delivered to the jobsite in roll form with each roll individually identified and nominally measuring 4.0 meters (13.1feet) in width and 75 meters (246 feet) in length.

### Notes

1. Nominal dimensions.
2. Resistance to loss of load capacity or structural integrity when subjected to chemically aggressive environments in accordance with EPA 9090 immersion testing.
3. Resistance to loss of load capacity or structural integrity when subjected to 500 hours of ultraviolet light and aggressive weathering in accordance with ASTM D4355-05.

# Sanitary Sewer Pipework

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

1. **SCOPE.** This section shall cover the furnishing, laying, jointing and testing of all sewer pipe, including sewer appurtenances, both in open cut and in tunnels, as shown on the drawings or as directed by the ENGINEER.

2. **MATERIALS.** The materials to be used in pipework shall be furnished by the contractor, as approved by the ENGINEER to meet the requirement of the work of this contract as specified herein.

2.1. **Gravity Sewer Pipe.** Gravity sewer pipe shall be of the type indicated on the drawings, or of any of the other classifications below, if written approval is secured from the ENGINEER. Any pipe found defective, not meeting the specifications, or improperly installed shall be rejected and so marked and shall be replaced by pipe approved by the ENGINEER at no additional cost to the OWNER.

2.1.1. Pipe and fittings shall be manufactured in conformance with the materials and methods described in ASTM Specification D3034. Joint seals shall be compression type rubber gaskets in compliance with the requirements of ASTM Specification D1869.

2.2. **Force Mains.** Pressure sewer pipe may be of any of the following classifications. Any pipe found defective, not meeting the specifications, or improperly installed shall be rejected and so marked and shall be replaced by pipe approved by the Engineer at no additional cost to Owner.

2.2.1. Polyvinyl chloride pipe for force mains shall conform to AWWA Standard "Polyvinyl Chloride (PVC) Pressure Pipe" C-900-75 Class 100 DR-25, latest revision. Fittings for polyvinyl chloride (PVC) pipe shall be "Compact Fittings" short body, tar coated (not cement lined). Transition gaskets shall also be included, unless otherwise noted on the contract bid document or drawings.

2.3. **Watertight Joint Materials.** The contractor must exert every reasonable effort to secure a watertight joint and prevent infiltration of ground water into or ex-filtration of sewage out of all pipe sewers and property service connections. To achieve this, joint material shall be made of the materials as specified herein, unless otherwise set forth in Special Provisions or Proposal. Any joint materials found to be defective or not meeting the specifications shall be rejected and replaced by approved joint materials at no additional cost to OWNER.

2.3.1. Water stop joints shall be Polyvinyl Chloride (PVC) or other similar approved joint materials. Gaskets shall be made of rubber; neoprene is not acceptable.

3. **SERVICE CONNECTIONS.** Property service connections shall be installed using Polyvinyl Chloride Pipe (PVC). The pipe shall be SDR-35 and shall be manufactured in accordance with ASTM D3034. The joints shall be compression type rubber gasket joints conforming to ASTM D1869. The location of all laterals and service lines shall be shown on the plans. Where not approved street grade has been established, the depth of the connection shall be based on the assumed future street grade or on the present street or ground surface, as determined by the ENGINEER. At times when pipe laying is not in process, the open ends of the

pipe shall be closed by a watertight plug or other approved means. This provision shall apply during the noon hour as well as overnight. If water is in the trench, the seal shall remain in place until the trench is pumped completely dry.

4. **LAYING PROCEDURE.** After the trench is excavated to a subgrade as specified, it shall be filled to grade with a minimum 6 inch sand layer. This material shall be mechanically tamped to a density minimum of 90%. This material shall provide a smooth and uniform pipe bed for the entire length of the sewer pipe barrel. Ditching and pipe laying shall be uniformly in a straight line and to uniform elevations unless otherwise specified on the plans. Pipe and fittings shall be carefully handled to avoid damage. Before placing pipe into the trench, the outside of the spigot and the inside of the bell shall be wiped clean and dry, free from oil and grease. Every precaution shall be taken to prevent foreign material from entering the pipe. During layout operation, no debris, tools, clothing or other material shall be placed into the pipe. After placing a length of pipe into the trench, the spigot end shall be centered in the bell, the pipe forced home, brought to the correct alignment and covered with an approved backfill material. When the pipe is installed, metallic tape shall be buried 1 foot above top of pipe for location purposes.

5. **PIPE JOINTING.** In laying the sewer pipe to line and grade, the pipe shall be jointed in accordance with one of the approved jointing methods. OWNER reserves the right, before construction or while construction is in progress, to change the type of joints if its ENGINEER so directs.

5.1. **Polyvinyl Chloride Pipe (PVC) Jointing.** The contractor shall make certain before jointing polyvinyl chloride pipe that the ring groove in the bell of the pipe is clean with no dirt or foreign material that could interfere with proper seating of the ring. Make sure pipe end is clean. wipe with a clean dry cloth around the entire circumference from the end to one (1) inch beyond the reference mark. Lubricate the spigot end of the pipe, using only the lubricant supplied by the manufacturer. Be sure the entire circumference is covered. The coating shall be the equivalent of a brush coat of enamel paint. It can be applied by hand, cloth, pad, sponge, or glove. Do not lubricate the rubber ring or the ring groove in the bell because such lubricant could cause ring displacement. The level end is then inserted into the bell so that it is in contact with the ring. Brace the bell, while the level end is pushed in under the ring, so that previously completed joints in the line will not be closed up. The spigot end is pushed until the reference mark on the spigot end is flush with the end of the bell. If undue resistance to insertion of the level end is encountered or the reference mark does not reach the flush position, disassemble the joint and check the position of the ring. If it is twisted or pushed out of its seat, clean the ring, bell and level end and repeat the assembly steps.

6. **APPURTENANCES.** Appurtenances to the sewer shall be provided and laid in accordance with the drawings and in the manner as specified herein. Appurtenances in addition to those required by the drawings or the proposal, as approved or directed by the ENGINEER, shall be paid for under the appropriate items of the proposal.

6.1. **Branches and Fittings.** Branches and fittings shall be provided and laid as and where directed. T-branches and Y-branches, placed in the sewer for property service connections, shall be located by the contractor, as directed by the ENGINEER, at such points in the sewer so as to result in the property service connection having the shortest length

possible between the sewer and property line or easement line, unless otherwise indicated on the drawings or directed by the ENGINEER.

6.2. **Stubs.** Stubs for future sewer pipe shall be installed as indicated by the drawings. If the specified length of the stub is exceeded, there will be no additional cost to OWNER unless the extra length is ordered by the ENGINEER. Existing sewer pipe stubs shall be removed as required, but only when directed by the ENGINEER.

6.3. **Stacks.** Stacks shall be constructed as and where directed. The height of the stack shall be as indicated on the drawings, set forth in the proposal, as determined by the ENGINEER. The stack shall be encased in concrete in accordance with the "Typical Sewer Details" drawings.

6.4. **Drop Inlets.** Drop inlets to the manhole shall be constructed as and where indicated by the drawings of either of the types shown on the "Typical Sewer Details" drawings, as directed by the ENGINEER.

6.5. **Clean-outs.** Clean-outs on all service laterals shall be installed at the location shown on the plans and in accordance with the Wastewater Construction Standards.

6.6. **Manholes.** Manholes shall be constructed of the type shown on the "Typical Sewer Details" drawings to the elevations shown on the plan- profile sheets, or as directed. The manholes specified shall be Glass Fiber-Reinforced Polyester Manholes for use in sanitary sewer applications. They shall be a one-piece unit of one class, fabricated in a composite laminate. Walls shall be of uniform thickness and shall be free from thin spots and voids. Exterior be of uniform thickness and shall be free from thin spots and voids. Exterior surface shall be free of ridges and sharp protrusions and reinforcement. Interior surface shall be free of ridges and sharp protrusions and reinforcement. Interior surface shall also be smooth and free of ridges so as to facilitate self-cleaning. The exterior surface shall be covered with graded sand to facilitate bonding to the concrete base pad, cement stabilized sand backfill and cement grout used to seal around all incoming lines. The main line over which the manhole cut- out will be set shall be fitted with a seal ring as manufactured by Johns-Manville Manufacturing or equal. The manholes shall conform to ASTM D3753-79, Standard Specifications for Glass Fiber-Reinforced Polyester Manholes and all noted applicable documents. Materials, method of manufactured and manufacturing requirements must all conform to the above mentioned specification. The manufacturer shall submit written certification that their product meets the requirements of ASTM D3753-79 with test results of specified manholes included.

6.7. **Stoppers and Bulkheads.** Open ends of pipes and branches smaller than 15 inches in diameter shall be sealed with stoppers, cemented into place in an acceptable manner using a rubber gasket between the stopper and socket. (See Section 5.1.). Openings 15 inches in diameter and larger shall be closed with brick bulkheads at least 4 inches thick or by other approved methods as authorized. All openings to the pipeline shall be satisfactory protected from the entrance of earth, water or other material. If a temporary bulkhead is constructed to prevent sewage from backing into the trench excavation or to prevent foreign material from entering the sewer from the new sewer trench, the contractor shall be responsible for reconstructing, repairing, or replacing those portions of the existing sewers removed or damaged by his operations. Existing bulkheads shall be removed as indicated by the drawings or set forth in the proposal, but no until directed by the ENGINEER.

6.8. **Plug Valves.** In-line plug valves shall be installed at the locations indicated on and of the type and size designated in the construction drawings. They shall be of the non-lubricated eccentric type, with cast iron construction and resilient faced plugs. Contractor shall obtain the approval of the ENGINEER prior to installation of any plug valves.

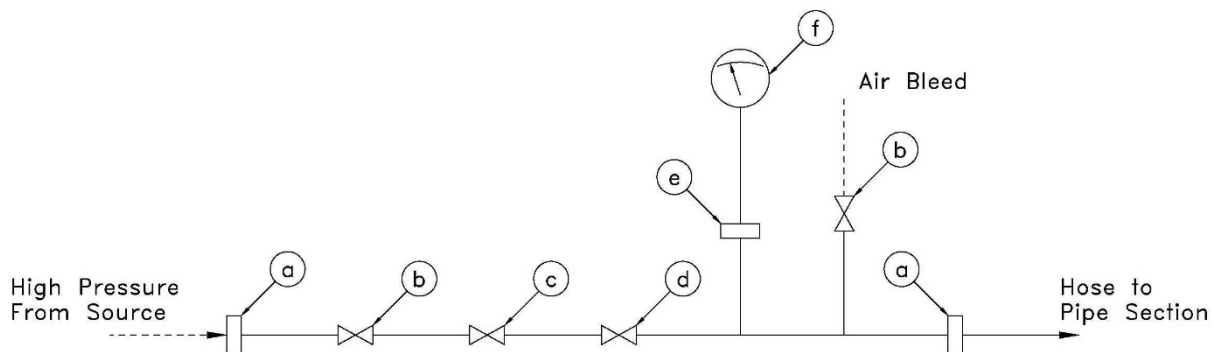
6.9. **Air Release Valves.** Air release valves shall be installed at the locations indicated on the construction drawings. They shall be of a design to release air and gases which accumulate at high points in sewer force mains, with backwash accessories. Air release valves shall be VAL-MATIC Model 48BWA or approved equal. OWNER approval for any air release valve shall be obtained prior to installation.

7. **LOW PRESSURE AIR TESTING.** This shall govern the testing of completed sections of installed gravity sewer pipe using low air pressure. The contractor shall assist in conducting low air pressure test on completed sections of gravity sewer mains. The air test results will be used to evaluate materials and construction methods on the pipe line sections, and successful air test shall be mandatory for the acceptance of the lines.

7.1. **Materials for Air Testing.** The following materials will be utilized for air testing gravity sewer mains:

7.1.1. **Compressor Air Supply:** Any source which will provide at least three hundred (300) cubic feet per minute at one hundred (100) pounds per square inch.

7.1.2. Plugs, valves, pressure gauges, air hose, connections, and other equipment necessary to conduct the air test shall be furnished by the Contractor. The test equipment for air testing will consist of valves, plugs, and pressure gauges used to control the rate at which air flows to the test section and to monitor the air pressure inside the plugs. Test equipment shall be assembled as follows:



- a) Hose connection
- b) Shut-off valve
- c) Throttle valve
- d) Pressure reduction valve
- e) Gauge cock
- f) Monitoring pressure gauge

7.2. **Test Procedures.** The following procedures will be utilized for air testing gravity sewer mains:

7.2.1. Determine section of line to be tested.

7.2.2. Apply air pressure until the pressure inside the pipe reaches 4 psig.

7.2.3. Allow the pressure inside the pipe to stabilize, then bleed back to 3.5 psig.

Table 1. Minimum Test Time for Various Pipe Sizes

Nominal Pipe Size (in)	T (time) Min/100 ft.	Nominal Pipe Size (in)	T (time) Min/100 ft.
3	0.2	21	3.0
4	0.3	24	3.6
6	0.7	27	4.2
8	1.2	30	4.8
10	1.5	33	5.4
12	1.8	36	6.0
15	2.1	39	6.6
18	2.4	42	7.3

7.2.4. At 3.5 psig, the time, temperature and pressure will be observed and recorded. A minimum of five (5) readings will be required for each test. If the time in minutes for the air pressure to decrease from 3.5 psig to 2.5 psig is greater than that shown on Table 1, the pipe shall be presumed free from defect. When these rates are exceeded, pipe breakage, joint leakage, or leaking plugs are indicated, and an inspection must be made to determine the cause. The Contractor shall effect such repairs as may be required to accomplish a successful air test.

**8. HYDROSTATIC TESTING.** This shall govern the testing of completed sections of installed pressure sewer pipe. The contractor shall conduct hydrostatic tests on completed sections of force mains.

**8.1. Materials for Hydrostatic Testing.** All materials and equipment for hydrostatic testing, such as pumps, hoses, valves, pressure gauges, water tanks, etc., shall be provided by the Contractor. The pump(s) utilized shall be capable of delivering 100 psi hydrostatic pressure.

**8.2. Test Procedure.** The Contractor shall perform all the necessary work required in connection with the tests. All pressure sewer pipe, fittings and valves shall be subjected to a hydrostatic pressure of 100 psi. Air testing will not be allowed. The line under test shall be filled with water and brought to the specified test pressure. Water shall be pumped from a reservoir such as a water truck, drum, lift station wet well, or the like, but shall not be fed into the force main directly from a waterline. All air shall be expelled from the section to be tested through the air release valve(s), if such are required on the construction drawings, and the shut-off gate(s) at the air release valves shall be closed before applying the specified test pressure to the line. Once the pressure is achieved, the delivering pump(s) shall be shut down, and the line shall maintain said pressure for no less that two (2) hours, and longer if ordered by the ENGINEER or the jurisdictional agency. These tests shall be performed in the presence of the project inspectors, and pressure maintained until final approval of the test is given by the Owner. The contractor shall effect such repairs as may be required to accomplish a successful hydrostatic test.

**9. LEAKAGE TESTS.** A leakage test may be requested by the ENGINEER at any time to determine whether or not there is excessive infiltration and to assure that the sewer section is substantially water-tight. The ENGINEER may order the Contractor to make leakage tests of as many sections as may be necessary to determine whether the work complies with the criteria for the rate of leakage. A section shall consist of a reach from one manhole to the next manhole provided the manholes are at least 300 feet apart, and preferably 400 feet. Leakage tests shall be conducted over a longer period of time with no reduction in the rate of leakage.

**9.1. Infiltration.** Leakage into the sewer including manholes, shall not exceed a rate of 250 gallons per 24 hours per inch diameter per mile of sewer. There shall be no gushing or spurting streams entering the sewer or manhole and where encountered, they shall be repaired regardless of the rate of infiltration and at no additional cost to the OWNER. Where practicable, the tests for leakage into the sewers shall be made at a time when the ground-water level is at a maximum, but it must be at least one foot (1') above the top of the pipe of the highest elevation in the section being tested.

**9.2. Ex-filtration.** Where the ground-water level is less than one foot (1') above the top of the pipe and where conditions will permit, the sewers shall be subjected to an internal pressure by plugging the pipe at both ends and then filling the sewer and manholes with clean water to a height above the top of the pipe sufficient to obtain satisfactory measurements to determine the rate of leakage. The rate of leakage of the sewers may be determined by either the amount of subsidence in the water surface level or the amount of water required to maintain the original water surface level above the top of the pipe. Leakage from the sewers under test shall not exceed the requirement of leakage into sewers as specified in paragraph 9.1. of this section, except that an allowance of an additional 10 percent of gallonage shall be permitted for each additional 2 feet of head over a basic 2 foot minimum internal head.

**9.3. Requirements of the Contractor.** The Contractor shall construct such weirs or other means of measurements as may be required, shall furnish water, and shall do all necessary pumping to enable the tests to be properly made. When a leakage test fails, the Contractor shall do such other work as may be necessary until the rate of leakage meets the above requirements, as determined by additional leakage tests.

**10. DEFLECTION TESTS.** No sooner than 30 days, nor later than 12 months after a gravity sewer line has been installed and backfilling has been completed, tests for deflection shall be performed. A deflection of more than 5% of the inside diameter of the pipe shall be cause for rejection, and the line will be removed and replaced at the Contractor's expense. A GO NO-GO Deflection Testing Mandrel, to be furnished by the Contractor, and certified by the ENGINEER, shall be used. The testing shall consist of the following:

10.1. Completely flush the line, if required, making sure the pipe is clean of any mud or debris that would hinder the passage of the mandrel.

10.2. During the final flushing of the line, attach a floating block or ball to the end of the mandrel pull rope and float the rope through the line.

10.3. After the rope is threaded through the line, connect the pull rope to the mandrel and place the mandrel in the entrance of the rope.



10.4. Connect a retrieval rope to the back of the mandrel to pull it back if necessary.

10.5. Remove all slack in the pull rope and place a tape marker on the rope at the ends of the pipe where the mandrel will exit, determining the location of the mandrel in the line.

10.6. Using manhole guide pulleys, draw mandrel through the sewer line. If any irregularity of pipe deformation exceeding the allowable 5% is encountered in the line, the line shall be uncovered at that point.

10.7. If an obstructed or over-deflected section is found, locate it; dig down and uncover pipe; inspect the pipe; if any damaged pipe is found, replace it. If pipe is not damaged, re-round the pipe, replace and thoroughly tamp the embedment and initial backfill; replace remainder of backfill.

10.8. Re-test this entire section for deflection.

10.9. Any pipe removed shall be replaced by use of gasketed repair couplings. Each and every deflection test shall be conducted in the presence of representatives of either the OWNER or the ENGINEER.

11. **MEASUREMENT.** Work under Sanitary Sewer Pipework shall be measured as follows:

11.1. Sewer Pipe. Sanitary Sewer Pipe shall be measured by the linear foot of each diameter of pipe installed at two (2) foot depth intervals, with the first depth being zero to six feet (0'-6').

11.2. Fiberglass Manholes. Fiberglass Manholes shall be measured by each unit installed at two (2) foot depth intervals, with the first depth being zero to six feet (0'-6').

11.3. Appurtenances. Other Sanitary Sewer appurtenances, such as plugs, etc., shall be measured by each unit installed.

12. **PAYMENT.** Work under Sanitary Sewer Pipework shall be paid as follows

12.1. Sewer Pipe. Sanitary Sewer Pipe shall be paid by the linear foot of each diameter of pipe of installed at each size and depth indicated in the Bid Proposal and in the Construction Drawings. The price bid shall include furnishing of the pipe, detection tape, and sand or other bedding materials, trenching, trench draining when required, compacting of bedding and backfill, replacing of existing road surfacing to prior condition or better, clean-up, and any necessary work and materials to successfully complete the installation of the pipe. Any work not included in the Bid Proposal shall be subsidiary to this pay item, and shall not be paid for separately.

12.2. Fiberglass Manholes. Fiberglass Manholes shall be paid by each unit installed at the depth indicated on the Bid Proposal or in the Construction Drawings. The price bid shall include furnishing of the fiberglass manhole, concrete for the base, concrete adjustment rings, metallic ring and cover, trenching, trench draining when required, placing of concrete base and ring and cover, compacting, replacing of existing road surfacing to prior condition or better, clean-up, and any necessary work and materials to successfully complete the

installation of each manhole. Any related work not included in the Bid Proposal shall be subsidiary to this pay item, and shall not be paid for separately.

12.3. Appurtenances. Other Sanitary Sewer appurtenances, such as plugs, etc., shall be paid for by each unit installed. Any work related to these appurtenances not included in the Bid Proposal shall be subsidiary to the corresponding pay item, and shall not be paid for separately.

# Notice of Award

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

TO:

PROJECT DESCRIPTION:

**SOUTH YARD TRACK EXPANSION  
– OSTOS ROAD PAVEMENT**

Dear Sir:

The Brownsville Navigation District (“Owner”) has considered the bid submitted by your company for the above referenced project in response to its Invitation for Bids dated 01/24/2022 and 01/31/2022, and the Instructions to Bidders.

You are hereby notified that your bid has been accepted by the Brownsville Navigation District in the amount of \_\_\_\_\_.

You are required by the Instructions to Bidders to execute the Agreement and furnish the required Contractor's Performance Bond, Payment Bond and Certificates of Insurance within ten (10) calendar days from the date of this Notice to you.

If you fail to execute this Agreement and furnish the bonds and insurance certificates within ten (10) days from the date of this Notice, Owner will be entitled to consider all your rights arising out of the Owner's acceptance of your bid as abandoned and as a forfeiture of your BID BOND.

The Owner will be entitled to such other rights as may be granted by law.

You are required to return an acknowledged copy of this NOTICE OF AWARD to the Owner.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

OWNER: BROWNSVILLE NAVIGATION DISTRICT, TEXAS.

By: \_\_\_\_\_  
ARIEL CHAVEZ II, P.E./R.P.L.S.  
Director of Engineering Services

## Acceptance of Notice

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Receipt of the above NOTICE OF AWARD is hereby acknowledged by \_\_\_\_\_  
on this this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

By: \_\_\_\_\_  
OFFICER'S NAME  
Officer's Title

# Notice to Proceed

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## SOUTH YARD TRACK EXPANSION – OSTOS ROAD PAVEMENT

Dated: \_\_\_\_\_

TO:

PROJECT DESCRIPTION:  
**SOUTH YARD TRACK EXPANSION –  
OSTOS ROAD PAVEMENT**

OWNER's Contract No.: \_\_\_\_\_ - \_\_\_\_\_

CONTRACT FOR: [Description of Work]

Dear Sir:

You are hereby notified that the Contract Time under the above contract will commence to run on \_\_\_\_\_ - \_\_\_\_\_. By that date, you are to start performing your obligations under the Contract Documents. In accordance with Article 3 of the Agreement the dates of Substantial Completion and completion and readiness for final payment are \_\_\_\_\_ - \_\_\_\_\_ and \_\_\_\_\_ - \_\_\_\_\_.

Before you may start any Work at the site, paragraph 2.7 of the Standard General Conditions provides that you and Owner must each deliver to the other (with copies to ENGINEER and other identified additional insureds) certificates of insurance which each is required to purchase and maintain in accordance with the Contract Documents.

Also before you may start any Work at the site, you must coordinate the BND Engineering Department for any possible modifications to the contract documents.

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OWNER: BROWNSVILLE NAVIGATION DISTRICT, TEXAS.

By: \_\_\_\_\_  
ARIEL CHAVEZ II, P.E./R.P.L.S.  
Director of Engineering Services

## Acceptance of Notice

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Receipt of the above NOTICE OF AWARD is hereby acknowledged by \_\_\_\_\_  
on this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

By: \_\_\_\_\_  
OFFICER'S NAME  
Officer's Title